# Regularizing context-free languages by AFL operations: concatenation and Kleene closure 

J. Dassow * A. Mateescu ${ }^{\ddagger}$ G. Paun ${ }^{\ddagger} 9$ A. Salomaa ${ }^{\text {I }}$


#### Abstract

We consider the possibility to obtain a regular language by applying a given operation to a context-free language. Properties of the family of context-free languages which can be "regularized" by concatenation with a regular set or by Kleene closure are investigated here: size, hierarchies, characterizations, closure, decidability.


## 1 Introduction

The core of formal language theory is the study of the Chomsky hierarchy, especially of families of regular and of context-free languages. An important problem in this context is to understand the differences between "regularity" and "contextfreeness". The question is approached, explicitly or implicitly, in many papers.

Here we follow [2], [3], [4], [7] and consider this problem in relation with operations with languages. Usually, the main topic dealt with when investigating operations with languages is the closure of various families (how much an operation can "complicate" a language). A dual natural question is "how much an operation can simplify languages in a given family". In particular, we are interested in transforming in this way context-free languages into regular languages.

Similar problems are investigated in [2], [4], whereas [3], [7] consider numerical measures of non-regularity of context-free languages and the influence of various operations on them.

Here we investigate the possibility of obtaining a regular language starting from a context-free language and using one of the six AFL operations: union, concatenation, intersection - all by regular sets -, Kleene closure, morphisms and inverse

[^0]morphisms. We enter into details only for the right and left concatenation and for Kleene $\#$, namely we study the properties of families of context-free languages which can lead to regular languages by left/right concatenation with regular sets of by Kleene *.

## 2 Notations

For an alphabet $V$, we denote by $V^{*}$ the free monoid generated by $V$ under the operation of concatenation; the null element of $V^{*}$ is denoted by $\lambda$ and $|x|$ denotes the length of $x \in V^{*}$. For $x \in V^{*}, a \in V$, we denote by $|x|_{a}$ the number of occurrences in $x$ of the symbol $a$. We denote also by $R E G, L I N, C F$ the families of regular, linear and context-free languages.

For a language $L$ we denote by $\operatorname{Pref}(L), S u f(L), S u b(L)$ the sets of prefixes, suffixes, respectively subwords of strings in $L$.

The main problem of this paper is the following: given a language $L \in C F$ and an operation with languages, can we use this operation in such a way to obtain a regular language starting from $L$ ?

In this form, the question is trivial for most AFL operations. For instance, for all context-free languages $L \subseteq V^{*}$, the languages
(i) $L \cup V^{*}=V^{*}$,
(ii) $h(L)$ for all $h: V^{*} \longrightarrow\{a\}^{*}$,
(iii) $L \cap R$ for all finite languages $R$,
(iv) $h^{-1}(L)$ for all $h:\{a\}^{*} \longrightarrow V^{*}$,
are regular. The question is not trivial for concatenation and Kleene closure:
(i) Concatenating (on the left side) the non-regular language

$$
L_{1}=\left\{a^{n} b^{m} \mid 1 \leq n \leq m\right\}
$$

with

$$
R=\left\{a^{p} \mid p \geq 1\right\}
$$

we obtain a regular language, but no right or left concatenation of

$$
L_{2}=\left\{a^{n} b^{n} \mid n \geq 1\right\}
$$

with a non-empty set will give a regular language (if $R L_{2} \in R E G$, for some $R$, then take $x \in R$ and intersect $R L_{2}$ with $x a^{*} b^{*}$; the obtained language is not regular, hence $R L_{2}$ is not regular, a contradiction).
(ii) For the above language $L_{2}$, the language $L_{2}^{*}$ is not regular, but for

$$
L_{3}=L_{2} \cup\{a, b\}
$$

we have

$$
L_{3}^{*}=\{a, b\}^{*},
$$

which is regular.
Thus, we are led to consider the families
$C L=\{L \in C F \mid$ there is $R \in R E G, R \neq \emptyset$, such that $R L \in R E G\}$,
$C R=\{L \in C F \mid$ there is $R \in R E G, R \neq \emptyset$, such that $L R \in R E G\}$,
$K=\left\{L \in C F \mid L^{*} \in R E G\right\}$,
$K_{n}=\left\{L \in C F \mid\right.$ there is $1 \leq m \leq n$ such that $\left.\bigcup_{i=1}^{m} L^{i} \in R E G\right\}$, for $n \geq 1$.
We shall investigate here only the families $C L, K, \bar{K}_{n}, n \geq 1$; the results for $C L$ are true also for $C R$, with obvious modifications.

## 3 The size of the families introduced above

The next relations follow from definitions.
Lemma 3.1 (i) $R E G \subseteq C L \subseteq C F$,
(ii) $R E G \subseteq K \subseteq C \bar{F}$,
(iii) $R E G=K_{1} \subseteq K_{2} \subseteq \ldots \subseteq C F$.

Lemma 3.2 $K_{n} \subseteq K$, for all $n \geq 1$.
Proof. Take $L \in K_{n}$. There is $m \leq n$ such that $\bigcup_{i=1}^{m} L^{i} \in R E G$. Clearly, $L^{*}=\left(\bigcup_{i=1}^{m} L^{i}\right)^{*}$, hence also $L^{*}$ is regular, that is $L \in K$.

All these inclusions are proper.
Theorem 3.3 $R E G \subset C L \subset C F$.
Proof. The language $L_{1}$ in the previous section is in $C L$ but it is not regular, whereas the language $L_{2}$ in the previous section is not in $C L \cup C R$.
Lemma 3.4 (i) If an arbitrary language $L \subseteq V^{*}$ satisfies, for some $k \geq 0$, the relation $V^{*} \subseteq L$, then $V^{*} L \in R E G$. In particular, if $\lambda \in L$, then $V^{*} L \in R E G$.
(ii) If an arbitrary language $L \in V^{*}$ satisfies, for some $k_{1}, k_{2} \geq 0, k_{1}, k_{2}$ relatively prime, the relation $V^{k_{1}} \cup V^{k_{3}} \subseteq L$, then $L^{*} \in R E G$.

Proof. (i) Under the previous conditions, we obtain

$$
V^{*} L=V^{*} L_{k}
$$

for $L_{k}=\{x \in L| | x \mid \leq k\}$.
The inclusion $\subseteq$ is obvious. Conversely, take $x, y \in V^{*} L, x \in V^{*}, y \in L$. If $|y| \leq k$, then $y \in \overline{L_{k}}, x y \in V^{*} L_{k}$. If $|y|>k$, then $y=y_{1} y_{2},\left|y_{2}\right|=k$. As $x y_{1} \in V^{*}$, we have again $x y=x y_{1} y_{2} \in V^{*} L_{k}$.

The language $L_{k}$ is finite, hence assertion (i) follows.
(ii) Note that, because $k_{1}, k_{2}$ are relatively prime, there exists $m_{0}, m_{0} \in \mathrm{~N}$, such that for any $n \geq m_{0}$ there are $i, j \in \mathbf{N}$ with $n=i k_{1}+j k_{2}$. Thus $L^{*}$ contains all words $w$ such that $|w| \geq m_{0}$, hence $V^{*}-L^{*}$ is a finite set; consequently, $L^{*}$ is regular.

Corollary 3.5 CL is incomparable with LIN.
Proof. The above considered language $L_{2}$ proves the relation $L I N-C L \neq \emptyset$.
Conversely, take the Dyck language $D$ over $\{a, b\}$. We have $D \in C F-L I N$. It contains the string $\lambda$, hence $D \in C L$ and $C L-L I N \neq \emptyset$ too.

Corollary 3.6 For every context-free language $L, L \subseteq V^{*}$, either $L$ or $V^{*}-L$ is in CL .

Proof. Obvious, as one of $L$ and $V^{*}-L$ contains the null string.
Theorem 3.7 REG $\subset K \subset C F$.
Proof. For all $L \in C F, L \subseteq V^{*}$, the language $L^{\prime}=L \cup V$ is in $K$, as $(L \cup V)^{*}=V^{*}$. For $L \in C F-R E G$ we obtain $L^{\prime} \notin R E G$, hence $K-R E G \neq \emptyset$.

Conversely, the language $L_{2}$ in the previous section is not in $K$ (we have $L_{2}^{*} \cap$ $a^{+} b^{+}=L_{2}$ ), hence $L_{2} \in C F-K$.

Corollary 3.8 $K$ is incomparable with $L I N$.
Proof. For $L \in C F-L I N, L^{\prime} \notin L I N$, but $L_{2} \in L I N-K$.
Theorem 3.9 The inclusions $K_{n} \subset K_{n+1}$ are proper for all $n \geq 1$.
Proof. (1) $n=1$.
The language

$$
L_{a, b}=\left\{\left.x \in\{a, b\}^{*}| | x\right|_{a} \neq|x|_{b}\right\}
$$

is not regular (its complement, $\left\{\left.x \in\{a, b\}^{*}| | x\right|_{a}=|x|_{b}\right\}$, is clearly non-regular), hence it is not in $K_{1}=R E G$.

However,

$$
L_{a, b} \cup L_{a, b} L_{a, b}=\{a, b\}^{+}
$$

The inclusion $\subseteq$ is obvious. Conversely, if $x \in\{a, b\}^{+},|x|_{a} \neq|x|_{b}$, then $x \in L_{a, b}$. If $|x|_{a}=|x|_{b}$, then either $x=a x^{\prime},\left|x^{\prime}\right|_{a}<\left|x^{\prime}\right|_{b}$ or $x=b x^{\prime},\left|x^{\prime}\right|_{a}>\left|x^{\prime}\right|_{b}$. In both cases $x^{\prime} \in L_{a, b}$, and $a, b \in L_{a, b}$, therefore $x \in L_{a, b} L_{a, b}$.

On the other hand, $L_{a, b} \in C F$. Indeed, consider the context-free grammar

$$
G=(\{S, A, B\},\{a, b\}, S, P),
$$

with $P$ containing the following rules:

$$
\begin{gathered}
S \rightarrow A a A, S \rightarrow B b B \\
A \rightarrow A A, A \rightarrow a, A \rightarrow \lambda, A \rightarrow a A b, A \rightarrow b A a \\
B \rightarrow B B, B \rightarrow b, B \rightarrow \lambda, B \rightarrow a B b, B \rightarrow b B a .
\end{gathered}
$$

Clearly, starting by $S \rightarrow A a A$ we generate strings $x$ with $|x|_{a}>|x|_{b}$ and starting by $S \rightarrow B b B$ we obtain strings $x$ with $|x|_{a}<|x|_{b}$ (from $A$ one generates all the strings $x$ with $|x|_{a} \geq|x|_{b}$ and from $B$ one generates all the strings $x$ with $\left.|x|_{a} \leq|x|_{b}\right)$.
(2) $n \geq 2$.

Consider the language

$$
L_{n}=L_{a, b} \cup L_{a, b}\{c\} L_{a, b} \cup M_{n},
$$

for

$$
M_{n}=\left\{\left.x \in\{a, b, c\}^{*}| | x\right|_{c} \geq n\right\}
$$

Clearly, $L_{n} \in C F$, but

$$
L_{n} \cap\{a, b\}^{*}=L_{a, b}
$$

hence $L_{n} \notin R E G$. In fact, for all $k, 1 \leq k \leq n$, we have

$$
\begin{aligned}
\bigcup_{i=1}^{k} L_{n} \cap & \left\{\left.x \in\{a, b, c\}^{*}| | x\right|_{c}=k-1\right\}= \\
= & \left\{x_{1} c x_{2} c \ldots c x_{k} x_{k+1} \mid x_{i} \in\{a, b\}^{+}, 1 \leq i \leq k+1\right. \\
& \left.\left|x_{j}\right| \geq 2,2 \leq j \leq k, \text { and } x_{i} \in L_{a, b}, \text { or } x_{k+1} \in L_{a, b}\right\}
\end{aligned}
$$

Denote this language by $H$. Indeed, $k-1<n$, hence $H \cap M_{n}^{*}=0$; it follows that

$$
H \subseteq \bigcup\left(L_{a, b}\{c\} L_{a, b}\right)^{i} L_{a, b}\left(L_{a, b}\{c\} L_{a, b}\right)^{j}
$$

the union being taken for all $i, j \geq 0$ with $i+j=k-1$.
The language $H$ is not regular: $z_{i}=a^{i}$ caacaa...aaca $a^{i} \in H$ for all $i \geq 1$, but every two strings $z_{i}, z_{j}$ with $i \neq j$ are not congruent (the context ( $b^{i}, b^{i}$ ) accepts only $z_{j}$ ).

However,

$$
\begin{aligned}
\bigcup_{i=1}^{n+1} L_{n}^{i}= & \{a, b\}^{+} \cup M_{n} \cup \\
& \cup \\
& \left\{x_{1} c x_{2} c \ldots x_{r} c x_{r+1} \mid 1 \leq r \leq n-1, x_{i} \in\{a, b\}^{+}\right. \\
& \left.1 \leq i \leq r+1,\left|x_{j}\right| \geq 2,2 \leq j \leq n\right\}
\end{aligned}
$$

hence this language is regular.
The inclusion $\subseteq$ is obvious (note that $M_{n}^{+}=M_{n}$ ). Conversely, $M_{n} \subseteq$ $L_{n},\{a, b\}^{+}=L_{a, b} \cup L_{a, b} L_{a, b}$, and $x_{1} c x_{2} c \ldots x_{r} c x_{r+1} \in L_{a, b}\left(L_{a, b}\{c\} L_{a, b}\right)^{r} L_{a, b}$ for all $1 \leq r \leq n-1, x_{i} \in\{a, b\}^{+}, 1 \leq i \leq r+1,\left|x_{j}\right| \geq 2,2 \leq j \leq r$. (The details are the same as in the first part of the proof.)

In conclusion, $L_{n} \in K_{n+1}-K_{n}$ and the proof is complete.
Theorem $9.10 K_{n} \subset K$ for all $n \geq 1$.
Proof. The language

$$
L=\left\{a^{n} b^{n} \mid n \geq 1\right\} \cup\{a, b\}
$$

is in $K$ but $L \notin K_{n}$ for $n \geq 1$. Indeed, suppose that $\bigcup_{i=1}^{m} L^{i}$ is regular for some $m$. We have

$$
\bigcup_{i=1}^{m} L^{i} \cap a^{*} b^{*}=\left\{x \in a^{*} b^{*}\left|-m \leq|x|_{a}-|x|_{b} \leq m\right\}\right.
$$

and this is not a regular language, a contradiction.
The family $C L$ is quite comprehensive and, in fact, the condition $R \in R E G$ in its definition can be removed:

Theorem 3.11 Assume that $L_{1} \neq \emptyset$ and $L_{2}$ are arbitrary languages over the alphabet $V$ such that $L_{1} L_{2} \in R E G$. Then also $V^{*} L_{2} \in R E G$.
Proof. Let $x \in L_{1}$ be a string such that the conditions

$$
y \in L_{1},|y|<|x|
$$

are satisfied for no string $y$. Since $L_{1} L_{2}$ is regular, so is the left derivative

$$
L_{0}=d_{x}^{l}\left(L_{1} L_{2}\right)
$$

and, hence, also $V^{*} L_{0}$ is regular. Since $x$ is shortest in $L_{1}$, we have also

$$
L_{0}=\left(d_{x}^{l}\left(L_{1}\right)\right) L_{2}
$$

Hence,

$$
V^{*} L_{0}=\left(V^{*} d_{x}^{l}\left(L_{1}\right)\right) L_{2} \subseteq V^{*} L_{2}
$$

But $L_{2} \subseteq L_{0}$ because $\lambda \in d_{x}^{l}\left(L_{1}\right)$. Consequently, $V^{*} L_{2} \subseteq V^{*} L_{0}$, which implies that $V^{*} L_{0}=V^{*} L_{2}$. Since $V^{*} L_{0}$ is regular, so is $V^{*} L_{2}$.

Using right derivatives, it can be shown similarly that if $L_{1} L_{2} \in R E G$ and $L_{2} \neq \emptyset$, then $L_{1} V^{*} \in R E G$.

Remark 1. The proof is effective if one of the shortest strings in $L_{1}$ can be effectively found. This is the case when, for instance, $L_{1}$ is a context-free language.
Corollary 3.12 $K \subset C L$, strict inclusion.
Proof. Take $L \subseteq V^{*}, L \in K$. Therefore $L^{*} \in R E G$. This implies $L^{+}=L^{*}-\{\lambda\} \in$ $R E G$, too. Moreover, $L^{+}=L^{*} L$.

According to the previous theorem, $L^{*} L \in R E G$ implies $V^{*} L \in R E G$, hence $L \in C L$ and we have obtained the inclusion $K \subseteq C L$.

This inclusion is proper. For instance, the language $L_{1}$ considered in Section 2 is in $C L-K$. Indeed, $L_{1}^{*} \cap a^{*} b^{*}=L_{1}$, which is not regular, hence $L_{1}^{*}$ is not regular.

Corollary 3.13 A context-free language $L \subseteq V^{*}$ is in $C L$ if and only if $V^{*} L \in$ $R E G$.

This corollary is useful in showing that languages are not in $C L$, for instance, in the proof of Theorem 8.

Remark 2. The generality of this result ( $L_{1}, L_{2}$ are arbitrary languages) can be compared with the known result (see [5], page 50) that the left quotient of a regular language by an arbitrary language is a regular language, as well as with Lemma 3.1 in [6], which states that also deleting from the strings of a regular language substrings which belong to an arbitrary language, we still obtain a regular language. The previous theorem is in some sense a dual to these results.

A sort of converse of Theorem 5 is natural to be looked for, namely given $L_{1} L_{2}$ regular, it is expected that for any $x \in L_{1}$, also $\left(L_{1}-\{x\}\right) L_{2}$ is regular. However, this is not true.

Theorem 3.14 There are $L_{1}, L_{2} \subseteq\{a, b\}^{*}, L_{1}$ linear, $L_{2}$ regular, and $x \in L_{1}$, such that $L_{1} L_{2}$ is regular, but $\left(L_{1}-\{x\}\right) L_{2}$ is not regular.
Proof. Consider the language

$$
L_{1}=\left\{a^{i} b a^{j} \mid 1 \leq i<j\right\} \cup\{a\}
$$

It is clearly linear and

$$
\begin{array}{r}
L_{1}^{*}=\left\{a^{i_{1}} b a^{i_{2}} b \ldots a^{i_{k}} b a^{i_{k+1}} \mid k \geq 1, i_{1} \geq 1\right. \\
\left.i_{s} \geq 3,1 \leq s \leq k, i_{k+1} \geq 2\right\} \cup a^{*}
\end{array}
$$

Consequently, $L_{1}^{*} \in R E G$. We take $L_{2}=L_{1}^{*}$. Obviously, $L_{1} L_{2}=L_{1}^{+}$is regular, too. However,

$$
\left(L_{1}-\{a\}\right) L_{2} \cap a^{*} b a^{*}=\left\{a^{i} b a^{j} \mid 1 \leq i<j\right\}
$$

which is not a regular language, hence $\left(L_{1}-\{a\}\right) L_{2}$ is not regular.
The next theorem will give a characterization of languages in the family $K$. With this aim, the notion of root of a language in the sense of [1] is used (see also [8], pages 126-127).

Given a language $L \subseteq V^{*}$, we denote by $\operatorname{root}(L)$ the smallest language $L_{0} \subseteq L$ such that $L_{0}^{*}=L^{*}$; it is proved in [1] that such a language exists and it is unique.

Theorem 3.15 A language $L \in C F$ is in $K$ if and only if there is a regular language $L_{0} \subseteq L$ such that $L \subseteq L_{0}^{*}$.

Proof. The if part is obvious ( $L_{0} \subseteq L \subseteq L_{0}^{*}$, hence $L^{*}=L_{0}^{*} \in R E G$ ).
Conversely, we have $\operatorname{root}(L)=\operatorname{root}\left(L^{*}\right)$. For all regular language, $M, \operatorname{root}(M)$ is regular, too [1]. Therefore, for $L \in K$, $\operatorname{root}\left(L^{*}\right) \in R E G$. Thus, we can take $L_{0}=\operatorname{root}(L)=\operatorname{root}\left(L^{*}\right)$, and all conditions in the theorem are satisfied.

## 4 Closure and decidability properties

The families $C L_{1} K, K_{n}, n \geq 2$, have rather poor closure properties.
Theorem 4.1 The family $C L$ is closed under morphisms and Pref, $S u f, S u b$, but it is not closed under union, concatenation, Kleene + , intersection by regular sets, inverse morphisms and mirror image.

Proof.
Morphisms. If $L \in C L, L \subseteq V^{*}$ and $h: V^{*} \longrightarrow U^{*}$, then let $R \in R E G$ be such that $R L \in R E G$. As $h(\bar{R} L)=h(R) h(L)$, we have $h(R L) \in R E G$, hence $h(L) \in C L$.

Pref, $S u f, S u b$. As a consequence of Lemma 3 (i), if by an operation $\alpha$, from a language $L$ we obtain $\alpha(L)$ containing the empty string, then $\alpha(L) \in C L$. This is the case with Pref, $S u b, S u f$.

Union. Consider the languages

$$
\begin{aligned}
& L_{1}=\left\{a^{n} b^{m} \mid 0 \leq n \leq m\right\}, \\
& L_{2}=\left\{c^{n} d^{m} \mid 0 \leq n \leq m\right\},
\end{aligned}
$$

which are both in $C L$ (take $\left.R_{1}=a^{*}, R_{2}=c^{*}\right)$. Since $\{a, b, c, d\}^{*}\left(L_{1} \cup L_{2}\right)$ is not regular, we conclude by Corollary 2 of Theorem 5 that $L_{1} \cup L_{2} \notin C L$.

Concatenation. The languages

$$
\begin{aligned}
& L_{1}=\{b\} \\
& L_{2}=\left\{a^{n} b^{m} \mid 0 \leq n \leq m\right\}
\end{aligned}
$$

are in $C L$, but $L_{1} L_{2}$ is not in $C L$, again by Corollary 2 of Theorem 5.
Kleene + . For the previous language $L_{2}$ we have $L_{2}^{*} \notin C L$ (indeed, $L_{2}^{*} \cap a^{+} b^{+}=$ $L_{2}$ ).

Intersection by regular sets. As we have seen, $D$, the Dyck language over $\{a, b\}$, is in $C L$, but

$$
D \cap a^{+} b^{+}=\left\{a^{n} b^{n} \mid n \geq 1\right\}
$$

which is not in $C L$.
Inverse morphisms. Take the language

$$
L=\left\{(b a a)^{n}(a b)^{m} \mid 0 \leq n \leq m\right\}
$$

It belongs to $C L$. Consider also the morphism

$$
h:\{a, b, c, d, e, f\}^{*} \longrightarrow\{a, b\}^{*}
$$

defined by

$$
h(a)=b a a, h(b)=a b, h(c)=b, h(d)=a a b, h(e)=a a a, h(f)=b a
$$

We obtain

$$
\begin{aligned}
h^{-1}(L)= & \left\{a^{n} b^{m} \mid 0 \leq n \leq m\right\} \cup \\
& \cup\left\{a^{r} c d^{n} e f^{m} c b^{p} \mid r, p \geq 0,0 \leq r+n \leq m+p\right\} \cup \\
& \cup\left\{a^{r} f b d^{n} e f^{m} c b^{p} \mid r, p \geq 0,0 \leq r+n+1 \leq m+p\right\}
\end{aligned}
$$

Again Corollary 2 of Theorem 5 shows that $h^{-1}(L) \notin C L$.
Mirror image. The language $\left\{a^{n} b^{m} \mid 0 \leq n \leq m\right\}$ is in $C L$, but its mirror image is not.
Theorem 4.2 The family $K$ is closed under union, Kleene * and morphisms, but it is not closed under concatenation, intersection by regular sets and inverse morphisms.

Proof. The positive results follow from the next equalities:

$$
\left\{\begin{array}{l}
\left.L_{1} \cup L_{2}\right)^{*}=\left(L_{1}^{*} \cup L_{2}^{*}\right)^{*} \quad \text { (union) } \\
L^{*}()^{*}=L^{*}(\text { Kleene closure), } \\
h(L))^{*}=h\left(L^{*}\right) \quad \text { (morphisms). }
\end{array}\right.
$$

Concatenation. Take the languages

$$
\begin{aligned}
& L_{1}=\left\{a^{n} b^{n} \mid n \geq 1\right\} \cup\{a, b\} \\
& L_{2}=\{c\}
\end{aligned}
$$

both in $K$. However, $L_{1} L_{2} \notin K$, because

$$
\left(L_{1} L_{2}\right)^{*} \cap a^{+} b^{+} c=\left\{a^{n} b^{n} c \mid n \geq 1\right\}
$$

a non-regular language.
Intersection by regular sets. For $L_{1}$ as above we have

$$
L_{1} \cap a^{+} b^{+}=\left\{a^{n} b^{n} \mid n \geq 1\right\}
$$

which is not in $K$.
Inverse morphisms. Consider the language

$$
L=\left\{a^{2 n} b^{2 n} \mid n \geq 1\right\} \cup\{a, b\}
$$

which is in $K$, and the morphism $h:\{a, b\}^{*} \longrightarrow\{a, b\}^{*}$ defined by

$$
h(a)=a a, h(b)=b b
$$

We have

$$
h^{-1}(L)=\left\{a^{n} b^{n} \mid n \geq 1\right\}
$$

which we have seen is not in $K$ $\square$
Theorem 4.3 The families $K_{n}, n \geq 2$, are closed under morphisms and Kleene *, but they are not closed under union, concatenation, intersection by regular sets and inverse morphisms.

## Proof.

Morphisms. Use the equality $h\left(\bigcup_{i=1}^{m} L^{i}\right)=\bigcup_{i=1}^{m} h\left(L^{i}\right), m \geq 1$.
Kleene *. Follows from the inclusion $K_{n} \subseteq K, n \geq 1$.
Union. Take

$$
\begin{aligned}
& L_{1}=\left\{a^{0} b a^{t} \mid s \neq t, s, t \geq 1\right\} \cup a^{*} \\
& L_{2}=\left\{b^{2}\right\}
\end{aligned}
$$

We have

$$
\begin{aligned}
L_{1} \cup L_{1} L_{1}= & \left\{a^{s} b a^{t} \mid s, t \geq 1\right\} \cup a^{*} \cup \\
& \cup\left\{a^{s} b a^{t} b a^{r} \mid s, r \geq 1, t \geq 2\right. \\
& (s, t, r) \notin\{(1,2,1),(1,2,2),(2,2,1),(1,3,1),(2,3,2)\}\}
\end{aligned}
$$

hence $L_{1} \in K_{2}$; clearly, $L_{2} \in K_{1}$. However, $L_{1} \cup L_{2} \notin K_{n}$, for all given $n$. Indeed, assume

$$
L=\bigcup_{i=1}^{m}\left(L_{1} \cup L_{2}\right)^{i} \in R E G
$$

for some $m$. If $m=2 k, k \geq 1$, then we have

$$
L \cap\left(a^{*} b a^{*} b^{2}\right)^{k}=\left\{a^{s} b a^{t} b^{2} \mid s \neq t, s, t \geq 1\right\}^{k}
$$

which is not regular. If $m=2 k+1, k \geq 1$, then

$$
L \cap\left(a^{*} b a^{*} b^{2}\right)^{k} a^{*} b a^{*}=\left\{a^{s} b a^{t} b^{2} \mid s \neq t, s, t \geq 1\right\}^{k}\left\{a^{s} b a^{t} \mid s \neq t, s, t \geq 1\right\}
$$

which is non-regular, too.
Concatenation. For the above languages $L_{1}, L_{2}$, take $L_{1} L_{2}$, then follow an argument similar as for union.

Intersection with regular sets. Take again $L_{1}$ and intersect it by $a^{*} b a^{*}$. We have

$$
\left(\bigcup_{i=1}^{m}\left(L_{1} \cap a^{*} b a^{*}\right)^{i}\right) \cap a^{*} b a^{*}=\left\{a^{*} b a^{t} \mid s \neq t, s, t \geq 1\right\}
$$

which is not regular.
Inverse morphisms. Consider the language

$$
L=\left\{(a b)^{s} b(a b)^{t} \mid s \neq t, s, t \geq 1\right\} \cup(a b)^{*}
$$

and the morphism $h:\{a, b, c, d\}^{*} \longrightarrow\{a, b\}^{*}$, defined by

$$
h(a)=a, h(b)=b a, h(c)=b b a, h(d)=b
$$

As for $L_{1}$, we have $L \in K_{2}$. Clearly,

$$
h^{-1}(L)=\left\{a b^{s-1} c b^{t-1} d \mid s \neq t, s, t \geq 1\right\} \cup\left\{a b^{r} d \mid r \geq 0\right\}
$$

hence, for all $m \geq 1$,

$$
\left(\bigcup_{i=1}^{m} h^{-1}(L)^{i}\right) \cap a b^{*} c b^{*} d=\left\{a b^{-1} c b^{t-1} d \mid s \neq t, s, t \geq 1\right\}
$$

which is not regular, hence $h^{-1}(L) \notin K_{n}$, for $n \geq 2$.

Corollary 4.4 No family $C L, C R, K, K_{n}, n \geq 2$, is an $A F L$ or an anti-AFL.
The following undecidability result is somewhat expected.
Corollary 4.5 It is undecidable whether or not an arbitrarily given context-free language over an alphabet with at least two symbols is in $C L$ (in $K$ or in $K_{n}, n \geq 1$ ).

Proof. Take $L \subseteq\{a, b\}^{*}$ arbitrary in $C F$ and the morphism $h:\{a, b\}^{*} \longrightarrow$ $\{a, b\}^{*}$, defined by

$$
h(a)=b a b, h(b)=b a a b
$$

Since $L=h^{-1}(h(L))$, the language $h(L)$ is regular iff $L$ is regular.
We construct the language

$$
L^{\prime}=\left\{b a^{3} b\right\} h(L) .
$$

Then, $L^{\prime} \in C L$ (and $L^{\prime} \in K, L^{\prime} \in K_{n}, n \geq 1$, respectively) iff $L$ is regular (which is undecidable).

Indeed,

1. $\{a, b\}^{*} L^{\prime} \in R E G$ if and only if $L \in R E G$.

- (if) Obvious.
- (only if) We have

$$
L=h^{-1}\left(d_{b a^{3}}^{b} b\left(S u f\left(\{a, b\}^{*} L^{\prime}\right) \cap\left\{b a^{3} b\right\}\{a, b\}^{*}\right)\right) .
$$

2. $\bigcup_{i=1}^{n} L^{/ i} \in R E G$ if and only if $L \in R E G$, for all $n=2,3, \ldots, \infty$.

- (if) Obvious.
- (only if) We have $L=h^{-1}\left(d_{b a^{3} b}\left(\bigcup_{i=1}^{n} L^{\prime i} \cap\left\{b a^{3} b\right\}\{a, b\}^{*}\right)\right), n \geq 2$.


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Received December 9, 1992


[^0]:    - Otto von Guericke University of Magdeburg, Department of Computer Science, PSF 4120, O-3010 Magdeburg, Germany

    Institute of Mathematics of the Romanian Academy of Sciences, Str. Academiei 14, 70109 Bucuresti, Romania
    $\ddagger$ Research supported by the Academy of Finland, grant nr 11281
    ${ }^{I}$ Institute of Mathematics of the Romanian Academy of Sciences, Str. Academiei 14, 70109 Bucuresti, Romania

    TResearch supported by Alexander von Humboldt Foundation
    IAcademy of Finland and University of Turku, Department of Mathematics, SF - 20500 Turku 50, Finland

