

Inequalities for polynomials and their derivatives

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Introduction

1. Recently J. BALÁZS and P. TURÁN [2] have obtained certain interesting inequalities which arise from their consideration of $(0, 2)$ interpolation on π -abscissas $(\pi_n(x) = (1 - x^2)P'_{n-1}(x), P_n(x)$ being the Legendre polynomial of degree $\leq n)$. By $(0, 2)$ interpolation they mean the problem of finding interpolatory polynomials $R_n(x)$ of degree $\leq 2n - 1$ for which

$$(1.1.1) \quad R_n(x_k) = \alpha_k, \quad R'_n(x_k) = \beta_k \quad (k = 1, 2, \dots, n)$$

are prescribed. From this consideration they proved the following

Theorem 1.1.1. *Let n be even and further if we are given for a polynomial $Q_{2n-1}(x)$ of degree $\leq 2n - 1$*

$$(1.1.2) \quad |Q_{2n-1}(x_k)| \leq A, \quad |Q''_{2n-1}(x_k)| \leq B \quad (k = 1, 2, \dots, n)$$

then for $-1 \leq x \leq +1$ we have

$$(1.1.3) \quad |Q_{2n-1}(x)| \leq \pi^6 n A + \frac{\pi^5 B}{n}$$

and

$$(1.1.4) \quad |Q'_{2n-1}(x)| \leq \pi^8 n^{5/2} A + \pi^5 B n^{1/2}.$$

2. The appearance of the exponent $5/2$ in (1.1.4) is unusual. They proved that the results (1.1.3) and (1.1.4) are also best possible in a certain sense. The object of this note is to obtain analogous results when the x_k 's are taken to be the zeros of $(1 - x^2)T_n(x)$, $T_n(x)$ being the Tchebycheff polynomials of the first kind.

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In an earlier work [3] we proved that (for n even) there exists a unique polynomial $R_n(x)$ of degree $\leq 2n+1$ for which

$$(1.2.1) \quad R_n(x_k) = a_k \quad (k = 1, 2, \dots, n+2),$$

$$(1.2.2) \quad R_n''(x_k) = b_k \quad (k = 2, 3, \dots, n+1)$$

are prescribed in advance. Let

$$(1.2.3) \quad 1 = x_1 > x_2 > \dots > x_{n+2} = -1$$

be the zeros of the polynomial $(1-x^2)T_n(x)$, $T_n(x) = \cos(n \arccos x)$. From our earlier work [3] we have

$$(1.2.4) \quad R_n(x) = \sum_{k=1}^{n+2} a_k r_k(x) + \sum_{k=2}^{n+1} b_k q_k(x) \quad (n \text{ even}),$$

where fundamental polynomials $r_k(x)$ and $q_k(x)$ are mentioned in the next section. From the uniqueness theorem [3] it follows that if $Q_{2n+1}(x)$ is an arbitrary polynomial of degree $\leq 2n+1$, then

$$(1.2.5) \quad Q_{2n+1}(x) = \sum_{k=1}^{n+2} Q_{2n+1}(x_k) r_k(x) + \sum_{k=2}^{n+1} Q_{2n+1}''(x_k) q_k(x).$$

Based on this we shall prove the following main theorem:

Theorem 1.2.1. *Suppose the polynomial $Q_{2n+1}(x)$ of degree $\leq 2n+1$ (n even) satisfies:*

$$(1.2.6) \quad |Q_{2n+1}(x_k)| \leq A \quad (k = 1, 2, \dots, n+2),$$

$$(1.2.7) \quad |Q_{2n+1}''(x_k)| \leq \frac{B}{1-x_k^2} \quad (k = 2, 3, \dots, n+1).$$

Then for $-1 \leq x \leq 1$ we have

$$(1.2.8) \quad |Q_{2n+1}(x)| \leq c_1(n^{3/2}A + Bn^{-1/2}) \quad \text{with } (c_1 = 54)$$

and

$$(1.2.9) \quad |Q_{2n+1}'(x)| \leq c_2(n^{5/2}A + Bn^{1/2}) \quad \text{with } c_2 = 251.$$

First we remark that the result (1.2.8) is essentially best possible, i.e. we can find a suitable polynomial $f_0(x)$ of degree $\leq 2n+1$ which satisfies (1.2.6) and (1.2.7) and for a numerical positive c_3

$$(1.2.10) \quad |f_0(d_n)| > c_3(An^{3/2} + Bn^{-1/2})$$

where $d_n = \cos \chi_n$, $\chi_n = \frac{\pi}{2} - \frac{\pi}{4n}$. Thus comparing the results on these two abscissas we find that (1.2.8) is not so good as (1.1.3) although (1.2.8) is best possible as explained above. Nevertheless, the estimation of the derivative in both cases

are equally good. If we apply MARKOV's inequality on (1. 2. 8) in the closed interval $-1 \leq x \leq +1$ we get

$$(1. 2. 11) \quad |Q'_{2n+1}(x)| \leq c_1 (An^{7/2} + Bn^{3/2}).$$

The result stated in (1. 2. 9) is much better than (1. 2. 11). If, however, we consider only closed subintervals of $(-1, 1)$, S. BERNSTEIN's inequality gives from (1. 2. 8) that

$$(1. 2. 12) \quad |Q'_{2n+1}(x)| \leq \frac{2c_1}{\sqrt{\epsilon}} [An^{5/2} + Bn^{1/2}] \quad \text{for} \quad -1 + \epsilon \leq x \leq 1 - \epsilon.$$

Comparing (1. 2. 9) with (1. 2. 12) we observe that both inequalities assert in $-1 + \epsilon \leq x \leq 1 - \epsilon$ essentially the same thing.

2. Preliminaries

1. The explicit forms of the fundamental functions $r_k(x)$ and $q_k(x)$ ($k=2, 3, \dots, n+1$) that we have obtained in [3] are the following:

$$(2. 1. 1) \quad q_k(x) = \frac{(1-x^2)^{1/4} T_n(x)}{2T'_n(x_k)} \left[A_k \int_{-1}^x \frac{T_n(t)}{(1-t^2)^{1/4}} dt + \int_{-1}^x \frac{l_k(t)}{(1-t^2)^{1/4}} dt \right]$$

where

$$(2. 1. 2) \quad A_k \int_{-1}^{+1} \frac{T_n(t)}{(1-t^2)^{1/4}} dt = - \int_{-1}^{+1} \frac{l_k(t)}{(1-t^2)^{1/4}} dt$$

and $l_k(t)$ is the fundamental polynomial of Lagrange interpolation

$$(2. 1. 3) \quad l_k(t) = \frac{T_n(t)}{(t-x_k) T'_n(x_k)} \quad (k=2, 3, \dots, n+1),$$

$$(2. 1. 4) \quad r_k(x) = \frac{(1-x^2)}{2(1-x_k^2)} l_k^2(x) + \frac{(1-x^2) l_k(x) T'_n(x)}{2(1-x_k^2) T'_n(x_k)} + b_k q_k(x) + \\ + \frac{(1-x^2)^{1/4} T_n(x)}{4(1-x_k^2) T'_n(x_k)} \left[A'_k \int_{-1}^x \frac{T_n(t)}{(1-t^2)^{1/4}} dt + \int_{-1}^x \frac{tl'_k(t)}{(1-t^2)^{1/4}} dt \right],$$

where

$$(2. 1. 5) \quad b_k = \frac{n^2}{1-x_k^2} + \frac{1}{(1-x_k^2)^2},$$

$$(2. 1. 6) \quad A'_k \int_{-1}^{+1} \frac{T_n(t)}{(1-t^2)^{1/4}} dt = - \int_{-1}^{+1} \frac{tl'_k(t)}{(1-t^2)^{1/4}} dt.$$

For $k=1$ and $k=n+2$ we have

$$(2.1.7) \quad r_1(x) = \frac{1+x}{2} T_n^2(x) + (1-x^2) T_n(x) T_n'(x) - \frac{(1-x^2)^{1/4} T_n(x)}{2} \int_{-1}^x \frac{T_n'(t)}{(1-t^2)^{1/4}} dt$$

and

$$(2.1.8) \quad r_{n+2}(x) = \frac{1-x}{2} T_n^2(x) - (1-x^2) T_n(x) T_n'(x) - \frac{(1-x^2)^{1/4} T_n(x)}{2} \int_{-1}^x \frac{T_n'(t)}{(1-t^2)^{1/4}} dt.$$

2. We wish to express these fundamental polynomials in another form, suitable to our purpose. For this we denote

$$(2.2.1) \quad P_{2r}(x) = \frac{-\Gamma\left(r-\frac{1}{4}\right)}{\Gamma\left(r+\frac{5}{4}\right)} \sum_{i=0}^{r-1} \frac{\Gamma\left(i+\frac{5}{4}\right)}{\Gamma\left(i+\frac{3}{4}\right)} T_{2i+1}(x) =$$

$$= \text{Polynomial part of } (1-x^2)^{-3/4} \int_{-1}^x \frac{T_{2r}(t)}{(1-t^2)^{1/4}} dt$$

and

$$(2.2.2) \quad V_{2r-1}(x) = \frac{-\Gamma\left(r-\frac{3}{4}\right)}{\Gamma\left(r+\frac{3}{4}\right)} \left[\frac{1}{2} \frac{\Gamma\left(\frac{3}{4}\right)}{\Gamma\left(\frac{1}{4}\right)} + \sum_{i=1}^{r-1} \frac{\Gamma\left(i+\frac{3}{4}\right)}{\Gamma\left(i+\frac{1}{4}\right)} T_{2i}(x) \right] =$$

$$= (1-x^2)^{-3/4} \int_{-1}^x \frac{T_{2r}(t) dt}{(1-t^2)^{1/4}}.$$

Thus $q_k(x)$ and $r_k(x)$ can be written, for $k=2, 3, \dots, n+1$, in the following forms

$$(2.2.3) \quad q_k(x) = \frac{(1-x^2) T_n(x)}{2 T_n'(x_k)} q_{n-1}(x)$$

where

$$(2.2.4) \quad q_{n-1}(x) = A_k P_n(x) + \frac{2}{n} \sum_{r=1}^{\frac{n}{2}} [T_{2r}(x_k) P_{2r}(x) + T_{2r-1}(x_k) V_{2r-1}(x)]$$

and A_k is defined by (2.1.2), further

$$(2.2.5) \quad r_k(x) = \frac{(1-x^2) l_k^2(x)}{1-x_k^2} + b_k q_k(x) + \frac{(1-x^2) T_n(x) s_{n-1}(x)}{4 T_n'(x_k) (1-x_k^2)}$$

where $s_{n-1}(x)$ is given by

$$(2.2.6) \quad s_{n-1}(x) = A'_k P_n(x) - \frac{4}{n} \sum_{r=1}^{\frac{n}{2}} (4r^2 T_{2r}(x_k) P_{2r}(x) + (2r-1)^2 T_{2r-1}(x_k) V_{2r-1}(x)).$$

Here b_k and A'_k are stated in (2.1.5) and (2.1.6).

3. We will prove some results which we require in the estimation of the fundamental polynomials.

Lemma 2.3.1. For $k=2, 3, \dots, n+1$ we have

$$(2.3.1) \quad \int_{-1}^{+1} \frac{l_k(t)}{(1-t^2)^{1/4}} dt \equiv 0,$$

$$(2.3.2) \quad \sum_{k=2}^{n+1} \frac{1}{\sin \theta_k} \int_{-1}^{+1} \frac{l_k(t)}{(1-t^2)^{1/4}} dt \leq 12.$$

This lemma is established in our earlier work [see formula (5.8) and (4.7) in [4]].

Lemma 2.3.2. For $k=2, 3, \dots, n+1$ we have

$$(2.3.3) \quad |d_k| \equiv \left| \int_{-1}^{+1} \frac{t l'_k(t)}{(1-t^2)^{1/4}} dt \right| \leq \frac{4}{\sqrt{n}} \frac{1}{\sqrt{1-x_k^2}}.$$

Proof. From a result of L. FEJÉR we have

$$l'_k(t) = \frac{2}{n} \sum_{r=1}^{n-1} T_r(x_k) T'_r(t).$$

Integration by parts and using the differential equation for $T_r(t)$ yields

$$\int_{-1}^{+1} \frac{t T'_r(t)}{(1-t^2)^{1/4}} dt = \frac{\sqrt{\pi}}{2} r^2 \frac{\Gamma\left(\frac{r}{2} - \frac{1}{4}\right)}{\Gamma\left(\frac{r}{2} + \frac{5}{4}\right)} \quad \text{for } r \text{ even, } = 0 \text{ for } r \text{ odd.}$$

From this the result follows by using ABEL's inequality.

Lemma 2.3.3. For $-1 \leq x \leq +1$ we have

$$(2.3.4) \quad |P_{2r}(x)| \leq 1, \quad |V_{2r-1}(x)| \leq 1,$$

$$(2.3.5) \quad |(1-x^2)^{1/2} P_{2r}(x)| \leq \frac{1}{r}, \quad |(1-x^2)^{1/2} V_{2r-1}(x)| \leq \frac{1}{r} \quad (r \geq 1),$$

$$(2.3.6) \quad |(1-x^2) P'_{2r}(x)| \leq 2, \quad |(1-x^2) V'_{2r-1}(x)| \leq 2,$$

where $P_{2r}(x)$ and $V_{2r-1}(x)$ are defined in (2.2.1) and (2.2.2), respectively.

Proof. We will prove results for $P_{2r}(x)$, the corresponding results for $V_{2r-1}(x)$ are similar. From (2.2.1) we have

$$|P_{2r}(x)| \leq r \frac{\Gamma\left(r - \frac{1}{4}\right)}{\Gamma\left(r + \frac{5}{4}\right)} \frac{\Gamma\left(r + \frac{1}{4}\right)}{\Gamma\left(r - \frac{1}{4}\right)} \leq 1.$$

In order to prove (2.3.5) we observe that $\frac{\Gamma\left(i + \frac{5}{4}\right)}{\Gamma\left(i + \frac{3}{4}\right)}$ is a monotonically increasing

function of i . Using ABEL's inequality we get

$$|(1-x^2)^{1/2} P_{2r}(x)| \leq \frac{\Gamma\left(r - \frac{1}{4}\right)}{\Gamma\left(r + \frac{5}{4}\right)} \frac{\Gamma\left(r + \frac{1}{4}\right)}{\Gamma\left(r - \frac{1}{4}\right)} \max_{1 \leq p \leq r-1} \left| \sum_{i=1}^p \cos(2i+1)\theta \sin \theta \right| \leq \frac{1}{r}.$$

Again, using ABEL's inequality, we have

$$|(1-x^2) P'_{2r}(x)| \leq \left| \frac{(2r-1)}{r + \frac{1}{4}} \max_{1 \leq p \leq r-1} \sum_{i=1}^p \sin(2i+1)\theta \sin \theta \right| \leq 2.$$

This completes the proof of the above Lemma by using the representation of $q_{n-1}(x)$ as given in (2.2.4). From the above lemma we get

Lemma 2.3.4. For $-1 \leq x \leq +1$ we have

$$(2.3.7) \quad |q_{n-1}(x)| \leq 4 + 2C_k n^{3/2},$$

$$(2.3.8) \quad |(1-x^2)^{1/2} q_{n-1}(x)| \leq \frac{4}{n} \log n + 2n^{1/2} C_k,$$

$$(2.3.9) \quad |(1-x^2) q'_{n-1}(x)| \leq 8 + 4n^{3/2} C_k.$$

Here C_k is given by

$$(2.3.10) \quad C_k = \int_{-1}^{+1} \frac{I_k(t)}{(1-t^2)^{1/4}} dt.$$

Let us denote

$$t_1(x) = (1-x^2) T_n(x) q_{n-1}(x).$$

Then from Lemma 2.3.4 we have at once

$$(2.3.11) \quad |t_1(x)| \leq \frac{4}{n} \log n + 2n^{1/2} C_k \quad (-1 \leq x \leq +1),$$

$$(2.3.12) \quad |t'_1(x)| \leq 20 \log n + 10n^{3/2} C_k \quad (-1 \leq x \leq +1).$$

3. Estimates of the fundamental polynomials

1. The above Lemmas lead us to formulate:

Lemma 3.1.1. For $-1 \leq x \leq +1$ we have

$$(3.1.1) \quad \sum_{k=2}^{n+1} |\varrho_k(x)| \leq \sum_{k=2}^{n+1} \frac{|\varrho_k(x)|}{(1-x_k^2)} \leq \frac{14}{n^{1/2}},$$

$$(3.1.2) \quad \sum_{k=2}^{n+1} |\varrho'_k(x)| \leq \sum_{k=2}^{n+1} \frac{|\varrho'_k(x)|}{1-x_k^2} \leq 80n^{1/2}.$$

From (2.2.3), (2.3.10), (2.3.1), (2.3.2) and (2.3.11) we have

$$\sum_{k=2}^{n+1} \frac{|\varrho_k(x)|}{1-x_k^2} \leq \frac{1}{2n} \left[\frac{4}{n} \log n \sum_{k=2}^{n+1} \frac{1}{\sqrt{1-x_k^2}} + 2 \cdot n^{1/2} \cdot 12 \right],$$

Using again the above relations and (2.3.12) we have

$$\sum_{k=2}^{n+1} \frac{|\varrho'_k(x)|}{1-x_k^2} \leq \frac{1}{2n} \left[20 \log n \sum_{k=2}^{n+1} \frac{1}{\sqrt{1-x_k^2}} + 10n^{3/2} \cdot 12 \right] \leq 80n^{1/2}.$$

2. In order to determine the estimate of the fundamental polynomials of the first kind we need the following Lemmas:

Lemma 3.2.1. For $-1 \leq x \leq +1$ we have

$$(3.2.1) \quad \sum_{k=2}^{n+1} \frac{(1-x^2)l_k^2(x)}{1-x_k^2} \leq 8,$$

$$(3.2.2) \quad \sum_{k=2}^{n+1} \left[\frac{(1-x^2)l_k^2(x)}{1-x_k^2} \right]' \leq 36n^2,$$

where dash denotes differentiation with respect to x .

A proof of (3.2.1) is given in our earlier work [4], and (3.2.2) follows very easily by using the inequalities:

$$(3.2.3) \quad |l_k(x)| \leq 2 \quad (-1 \leq x \leq +1),$$

$$(3.2.4) \quad |(1-x^2)^{1/2} l'_k(x)| \leq 2n \quad (-1 \leq x \leq +1).$$

Lemma 3.2.2. For $-1 \leq x \leq +1$ we have

$$(3.2.5) \quad |s_{n-1}(x)| \leq 3n^2 + 2n^{3/2} |d_k|,$$

$$(3.2.6) \quad |(1-x^2)^{1/2} s_{n-1}(x)| \leq 16n + 2n^{1/2} |d_k|,$$

$$(3.2.7) \quad |(1-x^2) s'_{n-1}(x)| \leq 1n^2 + 4n^{3/2} |d_k|,$$

where d_k is defined by (2.3.3), and $s_{n-1}(x)$ is a polynomial in x of degree $\leq n-1$ given by (2.2.6).

The proof of this lemma is clear from Lemma 2.3.3 and (2.1.6), and so we omit the details. Let us denote:

$$(3.2.8) \quad t_2(x) = (1-x^2) T_n(x) s_{n-1}(x);$$

then by the above Lemma 3.2.2 it follows that

$$(3.2.9) \quad |t_2(x)| \leq 16n + 2n^{1/2} |d_k| \quad (-1 \leq x \leq +1),$$

$$(3.2.10) \quad |t'_2(x)| \leq 33n^2 + 10n^{3/2} |d_k| \quad (-1 \leq x \leq +1).$$

3. Next we state:

Lemma 3.3.1. For $-1 \leq x \leq +1$ we have

$$(3.3.1) \quad |r_1(x)| \leq 3n, \quad |r_{n+2}(x)| \leq 3n,$$

$$(3.3.2) \quad |r'_1(x)| \leq 13n^2, \quad |r'_{n+2}(x)| \leq 13n^2.$$

A proof of (3.3.1) is given in our earlier work [formula 6.10, [4]] and (3.3.2) can be obtained easily by a simple computation using similar ideas as in Lemma 2.3.3.

Lemma 3.3.2. For $-1 \leq x \leq +1$, we have

$$(3.3.3) \quad \sum_{k=1}^{n+2} |r_k(x)| \leq C_5 n^{3/2} \quad \text{with } C_5 = 54$$

and

$$(3.3.4) \quad \sum_{k=1}^{n+2} |r'_k(x)| \leq C_6 n^{5/2} \quad \text{with } C_6 = 251.$$

Proof. Using the representation of $r_k(x)$ as given in (2.2.5) we have

$$\begin{aligned} \sum_{k=2}^{n+1} |r_k(x)| &\leq 8 + 2n^2 \sum_{k=2}^{n+1} \frac{|q_k(x)|}{(1-x_k^2)} + \sum_{k=2}^{n+1} \frac{2n^{1/2} |d_k| + 16n}{4n \sqrt{1-x_k^2}} \leq \\ &\leq 8 + 2n^2 \frac{14}{n^{1/2}} + 4n \log n + 4n \sum_{k=2}^{n+1} \frac{1}{k^2} \leq 48n^{3/2}. \end{aligned}$$

Here we have used (2.3.3), (3.2.1), (3.1.1). Combining with (3.3.1) we obtain (3.3.3) with $C_5 = 48$. Again,

$$\begin{aligned} \sum_{k=2}^{n+1} |r'_k(x)| &\leq 36n^2 + 2n^2 \sum_{k=2}^{n+1} \frac{|\varrho'_k(x)|}{(1-x_k^2)} + \sum_{k=2}^{n+1} \frac{33n^2 + 10n^{3/2} |d_k|}{4n \sqrt{1-x_k^2}} \leq \\ &\leq 36n^2 + 2n^2 \cdot 80n^{1/2} + 9n^2 \log n + 20n^2 \leq 225n^{5/2}. \end{aligned}$$

Here we have used (2.3.3), (3.2.2) and (3.1.2). Combining with (3.3.2) we obtain 3.3.4.

Proof of Theorem 1.2.1. From the representation of $Q_{2n+1}(x)$ as given in (1.2.5) we have on using (1.2.6), (1.2.7):

$$|Q_{2n+1}(x)| \leq A \sum_{k=1}^{n+2} |r_k(x)| + B \sum_{k=2}^{n+1} \frac{|Q_k(x)|}{1-x_k^2} \leq C_1 (An^{3/2} + Bn^{1/2}).$$

Here we have used only Lemma 3.1.1 and Lemma 3.3.2. Similarly using the same Lemmas

$$|Q'_{2n+1}(x)| \leq A \sum_{k=1}^{n+2} |r'_k(x)| + B \sum_{k=2}^{n+1} \frac{|\varrho'_k(x)|}{1-x_k^2} \leq C_2 (An^{5/2} + Bn^{1/2}).$$

Now it remains to prove (1.2.10). From our earlier work [5.3, 6.9 [4]] we know

$$(3.3.5) \quad \sum_{k=2}^{n+1} \frac{|Q_k(d_n)|}{1-x_k^2} \leq n^{-1/2},$$

$$(3.3.6) \quad \sum_{k=1}^{n+2} |r_k(d_n)| \leq 2^{-10} n^{3/2},$$

where $d_n = \cos \chi_n$, $\chi_n = \frac{\pi}{2} - \frac{\pi}{4n}$. The polynomial $f_0(x)$ stated in (1.2.10) has the following representation:

$$f_0(x) = \sum_{k=1}^{n+2} A \operatorname{sign} r_k(d_n) r_k(x) + \sum_{k=2}^{n+1} B Q_k(x) \cdot (1-x_k^2)^{-1} \operatorname{sign} Q_k(d_n).$$

Obviously,

$$f_0(x_k) = A \operatorname{sign} r_k(d_n), \quad f_0''(x_k) = B(1-x_k^2)^{-1} \cdot \operatorname{sign} Q_k(d_n).$$

Therefore

$$f_0(d_n) = A \sum_{k=1}^{n+2} |r_k(d_n)| + B \sum_{k=2}^{n+1} \frac{|Q_k(d_n)|}{1-x_k^2} \leq C_3 (An^{3/2} + Bn^{-1/2})$$

from (3.3.5) and (3.3.6). This completes the proof of the theorem.

Note. It is rather easy to prove that

$$\sum_{k=0}^{n+2} |r'_k(0)| \leq C_5 n^{5/2} \quad \text{and} \quad \sum_{k=2}^{n+1} \frac{|\varrho'_k(0)|}{1-x_k^2} \leq C_6 n^{1/2},$$

from which it follows that (1. 2. 9) is also best possible, i.e. we can find a polynomial $f_1(x)$ of degree $\leq 2n+1$ which satisfies (1. 2. 6) and (1. 2. 7) and for a numerical positive C_7

$$f_1'(0) \cong C_7(An^{5/2} + Bn^{1/2}).$$

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