# Jordan model for weak contractions 

PEI YUAN WU

Sz.-NAGY and FoiAş defined in [10] a class of multiplicity-free operators among $C_{0}$ contractions (also cf. [8]). Later on in [1] they developed a "Jordan model" for $C_{0}$ contractions, which resembles in some respects the usual canonical model of a finite matrix. In the present paper we extend both concepts from the context of $C_{0}$ contractions to that of weak contractions.

1. Preliminaries. Let $T$ be a contraction defined on a complex, separable Hilbert space $H$. Denote by $d_{T}=\operatorname{rank}\left(I-T^{*} T\right)^{1 / 2}, d_{T^{*}}=\operatorname{rank}\left(I-T T^{*}\right)^{1 / 2}$ the defect indices of $T$.

Recall that $T$ is called a weak contraction if (i) its spectrum $\sigma(T)$ does not fill the open unit disk $D$, and (ii) $I-T^{*} T$ is of finite trace. Thus in particular $C_{0}(N)$ contractions and $C_{11}$ contractions with finite defect indices are weak contractions. For the theory of $C_{0}(N)$ contractions and $C_{11}$ contractions, we refer the reader to [9]. If $T$ is a completely non-unitary (c.n.u.) weak contraction on $H$, then $d_{T}=d_{T^{*}}$ and we can consider its $C_{0}-C_{11}$ decomposition. Let $H_{0}$ and $H_{1}$ be the invariant subspaces for $T$ such that $T_{0} \equiv T \mid H_{0}$ and $T_{1} \equiv T \mid H_{1}$ are the $C_{0}$ part and $C_{11}$ part of $T$. Note that $T_{0}$ and $T_{1}$ are the operators appearing in the triangulations

$$
T=\left[\begin{array}{cc}
T_{0} & X \\
0 & T_{1}^{\prime}
\end{array}\right] \quad \text { and } \quad T=\left[\begin{array}{cc}
T_{1} & Y \\
0 & T_{0}^{\prime}
\end{array}\right]
$$

of type

$$
\left[\begin{array}{lr}
C_{0} & * \\
0 & C_{1} .
\end{array}\right] \text { and }\left[\begin{array}{lr}
C \cdot 1 & * \\
0 & C_{\cdot 0}
\end{array}\right],
$$

respectively. These triangulations, in term, correspond to the *-canonical factorization and canonical factorization

$$
\Theta(\lambda)=\Theta_{* e}(\lambda) \Theta_{* i}(\lambda), \quad \Theta(\lambda)=\Theta_{i}(\lambda) \Theta_{e}(\lambda) \quad(\lambda \in D)
$$

of the characteristic function $\Theta(\lambda)$ of $T, c f$. [9], Chap. VIII.

[^0]Let $H^{2}$ denote the Hardy space of analytic functions on $D$. For each inner function $\varphi, S_{\varphi}$ denotes the operator on $H^{2} \ominus \varphi H^{2}$ defined by $\left(S_{\varphi} f\right)(\lambda)=P(\lambda f(\lambda))$ for $\lambda \in D$, where $P$ denotes the (orthogonal) projection of $H^{2}$ onto $H^{2} \Theta \varphi H^{2}$. For inner functions $\varphi_{1}$ and $\varphi_{2}, \varphi_{1}=\varphi_{2}$ means that $\varphi_{1}$ and $\varphi_{2}$ differ by a constant factor of modulus one; $\varphi_{1} \mid \varphi_{2}$ means that $\varphi_{1}$ is a divisor of $\varphi_{2} . H^{2} \ominus \varphi H^{2}$ reduces to $\{0\}$ if and only if $\varphi$ is a constant inner function. For a measurable subset $E$ of the unit circle $C, M_{E}$ denotes the operator of multiplication by $e^{i t}$ on the space $L^{2}(E)$ of square-integrable functions on $E$, where the measure considered is the (normalized) Lebesgue measure. For measurable subsets $E_{1}$ and $E_{2}$ of $C, E_{1}=E_{2}$ means that $\left(E_{1} \backslash E_{2}\right) \cup\left(E_{2} \backslash E_{1}\right)$ is of Lebesgue measure zero. If $E=\emptyset$ then $L^{2}(E)$ reduces to $\{0\}$.

For arbitrary operators $T_{1}, T_{2}$ on $H_{1}, H_{2}$, respectively, $T_{1}<T_{2}$ denotes that $T_{1}$ is a quasi-affine transform of $T_{2}$, that is, there exists a one-to-one, continuous linear transformation $X$ from $H_{1}$ onto a dense linear manifold of $H_{2}$ (called quasiaffinity) such that $X T_{1}=T_{2} X . T_{1}$ and $T_{2}$ are quasi-similar if $T_{1}<T_{2}$ and $T_{2}<T_{1}$. For an arbitrary operator $T$ on $H$, let $\mu_{T}$ denote the multiplicity of $T$, that is, the least cardinal number of a subset $K$ of vectors in $H$ for which $H=\bigvee_{n=0}^{\infty} T^{n} K$. In particular, if $\mu_{T}=1$ then $T$ is cyclic and the vector in $K$ is a cyclic vector for $T$. Note that both $S$ and $M_{E}$ are cyclic and that quasi-similar operators have equal multiplicities.
2. Jordan model. The following theorem, gives the Jordan model for $C_{0}$ contractions (cf. [1] and [10]).

Theorem 1. Let T be a $C_{0}$ contraction on a separable Hilbert space, with defect indices $d_{T}=d_{T^{*}}$. Then $T$ is quasi-similar to a uniquely determined operator of the form

$$
S_{\varphi_{1}} \oplus S_{\varphi_{2}} \oplus \ldots \oplus S_{\varphi_{m}} \oplus \ldots
$$

where the $\varphi_{j}$ 's are inner functions satisfying $\varphi_{j+1} \mid \varphi_{j}(j=1,2, \ldots)$. Moreover, $\varphi_{1}$ is the minimal function of $T$, and if there are just $m$ ( $0 \leqq m \leqq \infty$ ) non-constant $\varphi_{j}$ 's, then $m=\mu_{T}=\mu_{T^{*}} \leqq d_{T}=d_{T^{*}}$.

Next we consider $C_{11}$ contractions. In this case a "Jordan model" can also be given.

Theorem 2. Let $T$ be a c.n.u. $C_{11}$ contraction on a separable Hilbert space, with defect indices $d_{T}=d_{T^{*}}$. Then $T$ is quasi-similar to a uniquely determined operator of the form

$$
\begin{equation*}
M_{E_{1}} \oplus M_{E_{2}} \oplus \ldots \oplus M_{E_{n}} \oplus \ldots \tag{1}
\end{equation*}
$$

where the $E_{k}$ 's are measurable subsets of $C$ satisfying $E_{k+1} \subseteq E_{k}(k=1,2, \ldots)$. If there are just $n(0 \leqq n \leqq \infty) \quad E_{k}$ 's with nonzero measure, then $n=\mu_{T}=\mu_{T^{*}} \leqq$ $\leqq d_{T}=d_{T^{*}}$.

We start the proof with the following
Lemma 1. Let $T_{1}$ and $T_{2}$ be operators on $H_{1}$ and $H_{2}$, respectively. Then $\max \left\{\mu_{T_{1}}, \mu_{T_{2}}\right\} \leqq \mu_{T_{2} \oplus T_{2}} \leqq \mu_{T_{1}}+\mu_{T_{2}}$.

Proof. Let $K=\left\{x_{x} \oplus y_{\alpha}\right\}_{\alpha \in A}$ be a subset of vectors in $H_{1} \oplus H_{2}$ such that $H_{1} \oplus H_{2}=\bigvee_{n=0}^{\infty}\left(T_{1} \oplus T_{2}\right)^{n} K$. Then $K_{1} \equiv\left\{x_{\alpha}\right\}_{\alpha \in A}$ is a subset of $H_{1}$ satisfying $H_{1}=$ $=\bigvee_{n=0}^{\infty} T_{1}^{n} K_{1}$. It follows that $\mu_{T_{1}} \leqq \mu_{T_{1} \oplus T_{2}}$. By symmetry we have $\mu_{T_{2}} \leqq \mu_{T_{1} \oplus T_{2}}$, and hence $\max \left\{\mu_{T_{1}}, \mu_{T_{2}}\right\} \leqq \mu_{T_{1} \oplus T_{2}}$.

To prove the second inequality, let $K_{1}=\left\{x_{\alpha}\right\}_{\alpha \in \Lambda} \subseteq H_{1}$ and $K_{2}=\left\{y_{\beta}\right\}_{\beta \in \Omega} \subseteq H_{2}$ be such that $H_{1}=\bigvee_{n=0}^{\infty} T_{1}^{n} K_{1}$ and $H_{2}=\bigvee_{n=0}^{\infty} T_{2}^{n} K_{2}$, respectively. Then $K=$ $=\left\{x_{\alpha} \oplus 0,0 \oplus y_{\beta}\right\}_{\alpha \in \Lambda, \beta \in \Omega}$ is a subset of $H_{1} \oplus H_{2}$ satisfying $H_{1} \oplus H_{2}=\bigvee_{n=0}^{\infty}\left(T_{1} \oplus T_{2}\right)^{n} K$. It follows that $\mu_{T_{1} \oplus T_{2}} \leqq \mu_{T_{1}}+\mu_{T_{2}}$.

Note that the inequalities in Lemma 1 actually occur. For example, if $T_{1}=T_{2}$ is a simple unilateral shift then $\mu_{T_{1}}=\mu_{T_{2}}=1$ and $\mu_{T_{1} \oplus T_{2}}=2$ (cf. [15], p. 308); if $T_{1}=T_{2}$ is the adjoint of a simple unilateral shift then $\mu_{T_{1}}=\mu_{T_{2}}=1$ and $\mu_{r_{1} \oplus T_{2}}=1$ (cf. [6], Problem 126).

Lemma 2. If there are just $n(0 \leqq n \leqq \infty) E_{k}$ 's with nonzero measure in the operator $\quad T=M_{E_{1}} \oplus M_{E_{2}} \oplus \ldots \oplus M_{E_{n}} \oplus \ldots$, where $E_{k+1} \subseteq E_{k} \quad(k=1,2, \ldots)$, then $n=\mu_{T}=\mu_{T^{*}}$.

Proof. By the first inequality in Lemma 1, it suffices to consider the case $n<\infty$, that is, we have to show that if $T=M_{E_{1}} \oplus \ldots \oplus M_{E_{n}}, n<\infty$, then $n=\mu_{T}$. Inequality $\mu_{T} \leqq n$ is obvious. To prove that $\mu_{T} \geqq n$, let us make use of the direct integral representation of the Hilbert-space $H=L^{2}\left(E_{1}\right) \oplus \ldots \oplus L^{2}\left(E_{n}\right)$, associated with the unitary operator $T$, that is, let

$$
H=\int_{\sigma(T)}^{\oplus} H_{\lambda} d m \quad \text { with } \quad T^{* k} T^{h}\{x(\lambda)\}=\left\{\lambda^{k} \lambda^{h} x(\lambda)\right\}
$$

where $m$ denotes the Lebesgue measure on $\sigma(T) \leqq C$. Let $N=\mu_{T}$. If $K=$ $=\left\{x_{1}, \ldots, x_{N}\right\}$ satisfies $H=\bigvee_{m=0}^{\infty} T^{m} K$ then $K_{\lambda}=\left\{x_{1}(\lambda), \ldots, x_{N}(\lambda)\right\}$ is a set of vectors in $H_{\lambda}$ such that $H_{\lambda}=\bigvee_{m=0}^{\infty}\left\{\lambda^{m} x_{1}(\lambda), \ldots, \lambda^{m} x_{N}(\lambda)\right\}$ for almost all $\lambda$ in $\sigma(T)$. But for $\lambda$ in $E_{n}, H_{\lambda}$ is an $n$-dimensional space. Hence we have $\mu_{T}=N \geqq n$, completing the proof.

Proof of Theorem 2. Part of this theorem is implicitly contained in the work of Sz.-NAGY and Foiaş [9]. Indeed, since $T$ is quasi-similar to the dual residual part of its minimal unitary dilation ([9], p. 72), by [9], pp. 88-89 we can infer that $T$ is quasi-similar to an operator of the form (1) and $n \leqq d_{T^{*}}$ (also cf. [9], pp. 271-273 for the case $d_{T}=d_{T^{*}}<\infty$ ). The uniqueness follows from the multiplicity theory of normal operators [5] and the fact that quasi-similar normal operators are unitarily equivalent. Lemma 2 furnishes the proof of the remaining part.

In light of these results we can generalize the notion of Jordan operators to the following

Definition. An operator $T$ is called a Jordan operator if it is of the form

$$
S_{\varphi_{1}} \oplus \ldots \oplus S_{\varphi_{m}} \oplus \ldots \oplus M_{E_{1}} \oplus \ldots \oplus M_{E_{n}} \oplus \ldots
$$

where the $\varphi_{j}$ 's are inner functions satisfying $\varphi_{j+1} \mid \varphi_{j}(j=1,2, \ldots)$, and the $E_{k}$ 's are measurable subsets of $C$ satisfying $E_{k+1} \subseteq E_{k}(k=1,2, \ldots)$.

Combining Theorems 1 and 2 we obtain
Theorem 3. Let $T$ be a weak contraction on a separable Hilbert space, with defect indices $d_{T}=d_{T^{*}}$. Then $T$ is quasi-similar to a uniquely determined Jordan operator

$$
\begin{equation*}
S_{\varphi_{1}} \oplus \ldots \oplus S_{\varphi_{m}} \oplus \ldots \oplus M_{E_{1}} \oplus \ldots \oplus M_{E_{n}} \oplus \ldots \tag{2}
\end{equation*}
$$

If there are $m(0 \leqq m \leqq \infty)$ non-constant $\varphi_{j}$ 's and $n(0 \leqq n \leqq \infty) E_{k}$ 's with nonzero measure, then $\mu_{T}=\mu_{T^{*}}=\max \{m, n\}$. Moreover, if $T$ is c.n.u., then its corresponding Jordan operator is also a weak contraction and $\mu_{T}=\mu_{T^{*}}=\max \{m, n\} \leqq d_{T}=d_{T^{*}}$ hold.

We will call the uniquely determined Jordan operator the Jordan model for $T$.
We start the proof of Theorem 3 with the following
Lemma 3. Let $T_{1}, T_{1}^{\prime}$ be $C_{0}$ contractions on $H_{1}, H_{1}^{\prime}$ and let $T_{2}, T_{2}^{\prime}$ be unitary operators on $H_{2}, H_{2}^{\prime}$, respectively. If $T_{1} \oplus T_{2}$ ïs a quasi-affine transform of $T_{1}^{\prime} \oplus T_{2}^{\prime}$, then $T_{1}$ is quasi-similar to $T_{1}^{\prime}$ and $T_{2}$ is unitarily equivalent to $T_{2}^{\prime}$.

Proof. Let $X: H_{1} \oplus H_{2} \rightarrow H_{1}^{\prime} \oplus H_{2}^{\prime}$ be a quasi-affinity such that $X\left(T_{1} \oplus T_{2}\right)=$ $=\left(T_{1}^{\prime} \oplus T_{2}^{\prime}\right) X$. For any $h \in H_{1}$, let $h_{1} \oplus h_{2}=X(h \oplus 0)$, where $h_{1} \in H_{1}^{\prime}$ and $h_{2} \in H_{2}^{\prime}$. Since $T_{1}^{\prime}$, being a $C_{0}$ contraction, is of class $C_{0}$., we have $\left(T_{1}^{\prime n} h_{1}\right) \oplus\left(T_{2}^{\prime n} h_{2}\right)=$ $=\left(T_{1}^{\prime} \oplus T_{2}^{\prime}\right)^{n} X(h \oplus 0)=X\left(T_{1} \oplus T_{2}\right)^{n}(h \oplus 0)=X\left(T_{1}^{n} h \oplus 0\right) \rightarrow 0$ as $n \rightarrow \infty$. Thus $T_{2}^{\prime n} h_{2} \rightarrow 0$ as $n \rightarrow \infty$. Since $T_{2}^{\prime}$ is of class $C_{1}$., this implies that $h_{2}=0$, and hence that $X(h \oplus 0) \in H_{1}^{\prime}$. Thus with respect to the decompositions $H_{1} \oplus H_{2}$ and $H_{1}^{\prime} \oplus H_{2}^{\prime}, X$ is triangulated as

$$
X=\left[\begin{array}{ll}
X_{1} & Z \\
0 & X_{2}
\end{array}\right]
$$

By considering the adjoint, a similar argument as above shows that $Z=0$. Hence we obtain quasi-affinities $X_{1}: H_{1} \rightarrow H_{1}^{\prime}$ and $X_{2}: H_{2} \rightarrow H_{2}^{\prime}$ such that $X_{1} T_{1}=T_{1}^{\prime} X_{1}$ and $X_{2} T_{2}=T_{2}^{\prime} X_{2}$, that is, $T_{1} \prec T_{1}^{\prime}$ and $T_{2}<T_{2}^{\prime}$. By the uniqueness of the Jordan model for $C_{0}$ contractions, we infer that $T_{1}$ is quasi-similar to $T_{1}^{\prime}$ ( $c f$. [1], Theorem 1). On the other hand, that $T_{2}$ is unitarily equivalent to $T_{2}^{\prime}$ follows from [4], Lemma 4.1.

Lemma 4. The operator $S_{\varphi} \oplus M_{E}$ on the space $\left(H^{2} \ominus \varphi H^{2}\right) \oplus L^{2}(E)$ is cyclic.
Proof. Let $f$ be an essentially bounded function in $L^{2}(E)$, which is cyclic for $M_{E}$. If $E \neq C$, such is the identity function $1\left(e^{i t}\right)=e^{i t}$ on $E$. If $E=C$ then it is well known that the cyclic vectors for the bilateral shift are those functions $f \in L^{2}$ for which $|f|>0$ a.e. and $\int \log |f|=-\infty$; we may assume that $f$ is essentially bounded, for otherwise let $F=\left\{e^{i t}:\left|f\left(e^{i t}\right)\right| \geqq 1\right\}$. Consider $\chi_{C \backslash_{F}} f+\chi_{F}$. Let $P$ be the (orthogonal) projection of $H^{2}$ onto $H^{2} \ominus \varphi H^{2}$, and let 1 also denote the identity function in $H^{2}$. We want to show that $P(1) \oplus f$ is a cyclic vector for $S_{\varphi} \oplus M_{E}$.

Let $K=\bigvee_{n=0}^{\infty}\left(S_{\varphi} \oplus M_{E}\right)^{n}(P(1) \oplus f)$. For each $h \in H^{2}$, let $\left\{p_{n}\right\}$ be a sequence of polynomials such that $p_{n} \rightarrow \varphi h$ in $L^{2}$-norm. Since $f$ is essentially bounded, we have $p_{n} f \rightarrow \varphi h f$, and hence $P\left(p_{n}\right) \oplus p_{n} f \rightarrow P(\varphi h) \oplus \varphi h f=0 \oplus \varphi h f$. This shows that $0 \oplus \varphi h f$ is in $K$ for any $h \in H^{2}$.

Now let $g$ be an arbitrary function in $L^{2}(E)$. Since $f$ is a cyclic vector for $M_{E}$, there exists a sequence of polynomials $\left\{q_{n}\right\}$ such that $q_{n} f \rightarrow \bar{\varphi} g$ in $L^{2}$-norm. Then $\varphi q_{n} f \rightarrow \varphi \bar{\varphi} g=g$. By what we proved before, we conclude that $0 \oplus g \in K$ for any $g \in L^{2}(E)$. On the other hand, since it is clear that $P(h) \oplus h f \in K$ for any $h \in H^{2}$, we have $P(h) \oplus 0=(P(h) \oplus h f)-(0 \oplus h f) \in K$. Hence we obtain $\left(H^{2} \ominus \varphi H^{2}\right) \oplus L^{2}(E)=K$, which completes the proof.

Proof of Theorem 3. Let $T=U \oplus T^{\prime}$ be the decomposition of $T$ into the direct sum of its unitary part $U$ and its c.n.u. part ${ }^{\prime} T^{\prime}$. Since $T^{\prime}$ is also a weak contraction, we may consider its $C_{0}$ part $T_{0}$ and $C_{11}$ part $T_{1}$. It was proved in [16] that $T^{\prime}$ is quasi-similar to $T_{0} \oplus T_{1}$. Hence $T$ is quasi-similar to $T_{0} \oplus T_{1} \oplus U$. By Theorem 1, Lemma 3 and the multiplicity theory of normal operators [5], we conclude that $T$ is quasi-similar to a uniquely determined Jordan operator (2).

If $T$ is quasi-similar to (2), then $T^{*}$ is quasi-similar to

$$
S_{\varphi_{\tilde{1}}} \oplus \ldots \oplus S_{\varphi_{\tilde{m}}} \oplus \ldots \oplus M_{E \tilde{1}} \oplus \ldots \oplus M_{E \tilde{n}} \oplus \ldots
$$

where $\varphi_{j}^{\sim}(\lambda)=\overline{\varphi_{j}(\bar{\lambda})}(j=1,2, \ldots)$ and $E_{k}^{\sim}=\left\{e^{i t}: e^{-i t} \in E_{k}\right\} \quad(k=1,2, \ldots)$. Hence it is clear that to show that $\mu_{T}=\mu_{T^{*}}=\max \{m, n\}$, we have only to show that $\mu_{T}=\max \{m, n\}$. For convenience, we assume that $n \leqq m$. Let $d_{T_{0}}$ and $d_{T_{1}}$ denote the defect indices of $T_{0}$ and $T_{1}$, respectively. By Theorem 1 and Lemma 1 we have $m=\mu_{T_{0}} \leqq \mu_{T_{0} \oplus T_{1} \oplus U}=\mu_{T}$. If $m=\infty$ then we have already had the result. Hence
we may assume that $m<\infty$. Since (2) is unitarily equivalent to

$$
\left(S_{\varphi_{1}} \oplus M_{E_{1}}\right) \oplus \ldots \oplus\left(S_{\varphi_{n}} \oplus M_{E_{n}}\right) \oplus M_{E_{n+1}} \oplus \ldots \oplus M_{E_{m}},
$$

using Lemmas 1 and 4 we have $\mu_{T} \leqq \underbrace{1+\ldots+1}_{n}+\underbrace{1+\ldots+1}_{m-n}=m$. Thus $\mu_{T}=m=$ $=\max \{m, n\}$. The case $m<n$ is similarly proved.

Now we assume that $T$ is c.n.u., that is, $T=T_{0} \oplus T_{1}$. We show that the Jordan model (2) is a weak contraction. Indeed, it is enough to show that $S \equiv S_{\varphi_{1}} \oplus \ldots \oplus S_{\varphi_{m}} \oplus \ldots$ is weak. But $S$ is the Jordan model of $T_{0}$, which is a weak $C_{0}$ contraction, so the assertion follows from the results of $\S 8$ of [2]. By Theorems 1 and 2 we have $m=\mu_{T_{0}} \leqq d_{T_{0}}$ and $n=\mu_{T_{1}} \leqq d_{T_{1}}$. Since $d_{T_{0}} \leqq d_{T}$ and $d_{T_{1}} \leqq d_{T}$ (cf. [9] p. 302) we obtain $\max \{m, n\} \leqq d_{T}=d_{T^{*}}$, completing the proof.

We make some remarks to conclude this section.
By Theorem 3 and Lemma 3 we infer that for weak contractions $T_{1}, T_{2}$, if $T_{1}$ is a quasi-affine transform of $T_{2}$ then $T_{1}$ and $T_{2}$ are quasi-similar to each other.

For c.n.u. weak contractions the unitary part of the Jordan model has an absolutely continuous spectrum.

If $T$ is a c.n.u. weak contraction with finite defect indices, then in the Jordan model of $T$ we have $E_{k}=\left\{e^{i t}: \operatorname{rank} \Delta\left(e^{i t}\right) \geqq k\right\}(k=1,2, \ldots, n)$, where $\Delta\left(e^{i t}\right)=$ $=\left[I-\Theta\left(e^{i t}\right)^{*} \Theta\left(e^{i t}\right)\right]^{1 / 2}$ and $\Theta(\lambda)$ denotes the characteristic function of $T$. Indeed, since the characteristic function $\Theta_{1}(\lambda)$ of the $C_{11}$ part $T_{1}$ is the purely contractive part of the outer factor $\Theta_{e}(\lambda)$ of $\Theta(\lambda)$, if $\Delta_{1}\left(e^{i t}\right)=\left[I-\Theta_{1}\left(e^{i t}\right) * \Theta_{1}\left(e^{i t}\right)\right]^{1 / 2}$ then $\operatorname{rank} \Delta\left(e^{i t}\right)=\operatorname{rank} \Delta_{1}\left(e^{i t}\right)$ a.e.. Thus the assertion follows from [9] Theorem VI. 6.1. In particular, $E_{1}=\left\{e^{i t}: \Theta\left(e^{i t}\right)\right.$ is not isometric $\}$ and $n=$ ess sup rank $\Delta\left(e^{i t}\right)$.
3. Multiplicity-free operators. A $C_{0}$ contraction $T$ is called multiplicity-free if $\mu_{T}=1$, or equivalently, $T$ has a cyclic vector. Some of the equivalent conditions for multiplicity-free $C_{0}$ contractions are gathered in the next theorem (cf. [10] and [13]).

Theorem 4. Let T be a $C_{0}$ contraction on a separable Hilbert space. Then the following conditions are equivalent to each other:
(i) $T$ is multiplicity-free;
(ii) $T$ is quasi-similar to $S_{\varphi}$ for some inner function $\varphi$;
(iii) $\{T\}^{\prime}$ is commutative.

Here $\{T\}^{\prime}$ denotes the commutant of $T$.
We generalize this to the following
Theorem 5. Let $T$ be a c.n.u. weak contraction on a separable Hilbert space. Let $T_{0}$ and $T_{1}$ denote the $C_{0}$ and $C_{11}$ part of $T$, respectively. Then the following conditions are equivalent to each other:
(i) $T$ admits a cyclic vector;
(ii) $T_{0}$ and $T_{1}$ admit cyclic vectors;
(iii) $T$ is quasi-similar to $S_{\varphi} \oplus M_{E}$ for some inner function $\varphi$ and some measurable subset $E$ of $C$ (here $\varphi$ may be constant and $E$ may have measure zero);
(iv) $\{T\}^{\prime}$ is commutative;
(v) $\left\{T_{0}\right\}^{\prime}$ and $\left\{T_{1}\right\}^{\prime}$ are commutative.

This theorem suggests the following
Definition. A c.n.u. weak contraction $T$ is called multiplicity-free if it satisfies the equivalent conditions (i)-(v) in Theorem 5.

Note that Clark [3] also defined multiplicity-free operators among operators of class $\left[C_{0} . \cup C_{1}\right] \cap\left[C_{\cdot} \cup C_{\cdot 1}\right]$. It is clear that our definition is consistent with his.

Proof of Theorem 5. The equivalence of (i), (ii) and (iii) follows from Theorems 1,2 and 3. The implication (i) $\Rightarrow$ (iv) and the equivalence (ii) $\Leftrightarrow$ (v) were proved by Sz.-NaGY and Foiaş (cf. [11], [12] or [7], [13]). Thus to complete the proof we have only to show that (iv) implies one of the other conditions. Let us prove the implication (iv) $\Rightarrow$ (iii). Let $S \oplus M$ denote the Jordan model of $T$, where $S=S_{\varphi_{1}} \oplus S_{\varphi_{2}} \oplus \ldots$ and $M=M_{E_{1}} \oplus M_{E_{2}} \oplus \ldots$, and let $X, Y$ be two quasi-affinities such that $\quad T X=X(S \oplus M)$ and $\quad(S \oplus M) Y=Y T$.
Then, from (iv) it follows that the relation

$$
(X A Y)(X B Y)=(X B Y)(X A Y)
$$

holds whenever $A, B \in\{S \oplus M\}^{\prime}$ and hence

$$
A(Y X) B=B(Y X) A
$$

Now by Lemma 3 it follows that $Y X=Z \oplus V$ where $Z \in\{S\}^{\prime}, V \in\{M\}^{\prime}$ and we have

$$
\begin{equation*}
A Z B=B Z A, \quad A^{\prime} V B^{\prime}=B^{\prime} V A^{\prime} \tag{3}
\end{equation*}
$$

for any $A, B \in\{S\}^{\prime}, A^{\prime}, B^{\prime} \in\{M\}^{\prime}$. Taking $B=I, B^{\prime}=I$ in (3), it follows that $Z \in\{S\}^{\prime \prime}$ and $V \in\{M\}^{\prime \prime}$ such that, again by (3), we infer that $\{S\}^{\prime}$ and $\{M\}^{\prime}$ are commutative. From the implication (v) $\Rightarrow$ (ii) it follows that $S=S_{\varphi_{1}}$ and $M=M_{E_{1}}$ and (iii) follows.

We remark that conditions (i)-(v) in Theorem 5 are equivalent to the corresponding conditions for $T^{*}$. (This follows from Theorem 3 that $\mu_{T}=\mu_{T^{*}}$.) Also note that if the defect indices of $T$ are finite, then these conditions are equivalent to:
(vi) The minors of order $d_{T_{0}}-1$ of the matrix of $\Theta_{* i}(\lambda)$ have no common inner divisor, and rank $\Delta\left(e^{i t}\right) \leqq 1$ a.e. (cf. [9], pp. 267 and 271). In particular, we have

Corollary 1. If $T$ is a c.n.u. contraction with scalar-valued characteristic function $\varphi(\lambda) \not \equiv 0$, then $T$ is cyclic and $\{T\}^{\prime}$ is commutative.

Proof. $T$ is certainly a c.n.u. weak contraction which satisfies condition (vi). The assertion follows from the remark we made above.

Part of the previous result was obtained earlier by Sz.-NAGY and Foiaş [14].
Corollary 2. Let $T$ be a c.n.u. multiplicity-free weak contraction on $H$. If $K$ is an invariant subspace for $T$ such that $T \mid K$ is also a weak contraction, then $T \mid K$ is multiplicity-free.

Proof. Since $T$ is multiplicity-free, we have $\mu_{T^{*}}=1$, so that $\mu_{(T \mid K)^{*}}=1$. Therefore, if $T \mid K$ is a weak contraction, it follows that it is multiplicity-free.

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DEPARTMENT OF APPLIED MATHEMATICS
NATIONAL CHAO TUNG UNIVERSITY
HSINCHU, TAIWAN, CHINA


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