## Jordan model for weak contractions

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Sz.-NAGY and FOIAş defined in [10] a class of multiplicity-free operators among  $C_0$  contractions (also *cf.* [8]). Later on in [1] they developed a "Jordan model" for  $C_0$  contractions, which resembles in some respects the usual canonical model of a finite matrix. In the present paper we extend both concepts from the context of  $C_0$  contractions to that of weak contractions.

1. Preliminaries. Let T be a contraction defined on a complex, separable Hilbert space H. Denote by  $d_T = \operatorname{rank} (I - T^*T)^{1/2}$ ,  $d_{T^*} = \operatorname{rank} (I - TT^*)^{1/2}$  the defect indices of T.

Recall that T is called a weak contraction if (i) its spectrum  $\sigma(T)$  does not fill the open unit disk D, and (ii)  $I-T^*T$  is of finite trace. Thus in particular  $C_0(N)$ contractions and  $C_{11}$  contractions with finite defect indices are weak contractions. For the theory of  $C_0(N)$  contractions and  $C_{11}$  contractions, we refer the reader to [9]. If T is a completely non-unitary (c.n.u.) weak contraction on H, then  $d_T=d_{T^*}$  and we can consider its  $C_0-C_{11}$  decomposition. Let  $H_0$  and  $H_1$  be the invariant subspaces for T such that  $T_0 \equiv T|H_0$  and  $T_1 \equiv T|H_1$  are the  $C_0$  part and  $C_{11}$  part of T. Note that  $T_0$  and  $T_1$  are the operators appearing in the triangulations

$$T = \begin{bmatrix} T_0 & X \\ 0 & T_1' \end{bmatrix} \text{ and } T = \begin{bmatrix} T_1 & Y \\ 0 & T_0' \end{bmatrix}$$
$$\begin{bmatrix} C_0 & * \\ 0 & C_1 \end{bmatrix} \text{ and } \begin{bmatrix} C_{\cdot 1} & * \\ 0 & C_{\cdot 0} \end{bmatrix},$$

of type

respectively. These triangulations, in term, correspond to the \*-canonical factorization and canonical factorization

$$\Theta(\lambda) = \Theta_{*e}(\lambda) \Theta_{*i}(\lambda), \quad \Theta(\lambda) = \Theta_{i}(\lambda) \Theta_{e}(\lambda) \quad (\lambda \in D)$$

of the characteristic function  $\Theta(\lambda)$  of T, cf. [9], Chap. VIII.

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Let  $H^2$  denote the Hardy space of analytic functions on D. For each inner function  $\varphi$ ,  $S_{\varphi}$  denotes the operator on  $H^2 \ominus \varphi H^2$  defined by  $(S_{\varphi}f)(\lambda) = P(\lambda f(\lambda))$ for  $\lambda \in D$ , where P denotes the (orthogonal) projection of  $H^2$  onto  $H^2 \ominus \varphi H^2$ . For inner functions  $\varphi_1$  and  $\varphi_2$ ,  $\varphi_1 = \varphi_2$  means that  $\varphi_1$  and  $\varphi_2$  differ by a constant factor of modulus one;  $\varphi_1 | \varphi_2$  means that  $\varphi_1$  is a divisor of  $\varphi_2$ .  $H^2 \ominus \varphi H^2$  reduces to  $\{0\}$ if and only if  $\varphi$  is a constant inner function. For a measurable subset E of the unit circle C,  $M_E$  denotes the operator of multiplication by  $e^{it}$  on the space  $L^2(E)$  of square-integrable functions on E, where the measure considered is the (normalized) Lebesgue measure. For measurable subsets  $E_1$  and  $E_2$  of C,  $E_1 = E_2$  means that  $(E_1 \setminus E_2) \cup (E_2 \setminus E_1)$  is of Lebesgue measure zero. If  $E = \emptyset$  then  $L^2(E)$  reduces to  $\{0\}$ .

For arbitrary operators  $T_1$ ,  $T_2$  on  $H_1$ ,  $H_2$ , respectively,  $T_1 \prec T_2$  denotes that  $T_1$  is a quasi-affine transform of  $T_2$ , that is, there exists a one-to-one, continuous linear transformation X from  $H_1$  onto a dense linear manifold of  $H_2$  (called quasi-affinity) such that  $XT_1=T_2X$ .  $T_1$  and  $T_2$  are quasi-similar if  $T_1\prec T_2$  and  $T_2\prec T_1$ . For an arbitrary operator T on H, let  $\mu_T$  denote the multiplicity of T, that is, the least cardinal number of a subset K of vectors in H for which  $H=\bigvee_{n=0}^{\infty} T^n K$ . In particular, if  $\mu_T=1$  then T is cyclic and the vector in K is a cyclic vector for T. Note that both S and  $M_E$  are cyclic and that quasi-similar operators have equal multiplicities.

**2. Jordan model.** The following theorem, gives the Jordan model for  $C_0$  contractions (cf. [1] and [10]).

Theorem 1. Let T be a  $C_0$  contraction on a separable Hilbert space, with defect indices  $d_T = d_{T^*}$ . Then T is quasi-similar to a uniquely determined operator of the form

 $S_{\varphi_1} \oplus S_{\varphi_2} \oplus \ldots \oplus S_{\varphi_m} \oplus \ldots,$ 

where the  $\varphi_j$ 's are inner functions satisfying  $\varphi_{j+1}|\varphi_j$  (j=1, 2, ...). Moreover,  $\varphi_1$  is the minimal function of T, and if there are just m  $(0 \le m \le \infty)$  non-constant  $\varphi_j$ 's, then  $m = \mu_T = \mu_{T^*} \le d_T = d_{T^*}$ .

Next we consider  $C_{11}$  contractions. In this case a "Jordan model" can also be given.

Theorem 2. Let T be a c.n.u.  $C_{11}$  contraction on a separable Hilbert space, with defect indices  $d_T = d_{T^*}$ . Then T is quasi-similar to a uniquely determined operator of the form

(1) 
$$M_{E_1} \oplus M_{E_2} \oplus \ldots \oplus M_{E_n} \oplus \ldots,$$

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where the  $E_k$ 's are measurable subsets of C satisfying  $E_{k+1} \subseteq E_k$  (k=1, 2, ...). If there are just  $n \ (0 \le n \le \infty)$   $E_k$ 's with nonzero measure, then  $n = \mu_T = \mu_{T^*} \le \le d_T = d_{T^*}$ .

We start the proof with the following

Lemma 1. Let  $T_1$  and  $T_2$  be operators on  $H_1$  and  $H_2$ , respectively. Then  $\max \{\mu_{T_1}, \mu_{T_2}\} \leq \mu_{T_1 \oplus T_2} \leq \mu_{T_1} + \mu_{T_2}$ .

Proof. Let  $K = \{x_{\alpha} \oplus y_{\alpha}\}_{\alpha \in A}$  be a subset of vectors in  $H_1 \oplus H_2$  such that  $H_1 \oplus H_2 = \bigvee_{n=0}^{\infty} (T_1 \oplus T_2)^n K$ . Then  $K_1 \equiv \{x_{\alpha}\}_{\alpha \in A}$  is a subset of  $H_1$  satisfying  $H_1 = \bigvee_{n=0}^{\infty} T_1^n K_1$ . It follows that  $\mu_{T_1} \equiv \mu_{T_1 \oplus T_2}$ . By symmetry we have  $\mu_{T_2} \equiv \mu_{T_1 \oplus T_2}$ , and hence max  $\{\mu_{T_1}, \mu_{T_2}\} \equiv \mu_{T_1 \oplus T_2}$ .

and hence  $\max \{\mu_{T_1}, \mu_{T_2}\} \leq \mu_{T_1 \oplus T_2}$ . To prove the second inequality, let  $K_1 = \{x_\alpha\}_{\alpha \in A} \subseteq H_1$  and  $K_2 = \{y_\beta\}_{\beta \in \Omega} \subseteq H_2$ be such that  $H_1 = \bigvee_{n=0}^{\infty} T_1^n K_1$  and  $H_2 = \bigvee_{n=0}^{\infty} T_2^n K_2$ , respectively. Then  $K = \{x_\alpha \oplus 0, 0 \oplus y_\beta\}_{\alpha \in A, \beta \in \Omega}$  is a subset of  $H_1 \oplus H_2$  satisfying  $H_1 \oplus H_2 = \bigvee_{n=0}^{\infty} (T_1 \oplus T_2)^n K$ . It follows that  $\mu_{T_1 \oplus T_2} \leq \mu_{T_1} + \mu_{T_2}$ .

Note that the inequalities in Lemma 1 actually occur. For example, if  $T_1 = T_2$  is a simple unilateral shift then  $\mu_{T_1} = \mu_{T_2} = 1$  and  $\mu_{T_1 \oplus T_2} = 2$  (cf. [15], p. 308); if  $T_1 = T_2$  is the adjoint of a simple unilateral shift then  $\mu_{T_1} = \mu_{T_2} = 1$  and  $\mu_{T_1 \oplus T_2} = 1$  (cf. [6], Problem 126).

Lemma 2. If there are just  $n \ (0 \le n \le \infty)$   $E_k$ 's with nonzero measure in the operator  $T = M_{E_1} \oplus M_{E_2} \oplus \ldots \oplus M_{E_n} \oplus \ldots$ , where  $E_{k+1} \subseteq E_k$   $(k=1, 2, \ldots)$ , then  $n = \mu_T = \mu_{T^*}$ .

Proof. By the first inequality in Lemma 1, it suffices to consider the case  $n < \infty$ , that is, we have to show that if  $T = M_{E_1} \oplus \ldots \oplus M_{E_n}$ ,  $n < \infty$ , then  $n = \mu_T$ . Inequality  $\mu_T \leq n$  is obvious. To prove that  $\mu_T \geq n$ , let us make use of the direct integral representation of the Hilbert space  $H = L^2(E_1) \oplus \ldots \oplus L^2(E_n)$ , associated with the unitary operator T, that is, let

$$H = \int_{\sigma(T)}^{\infty} H_{\lambda} dm \quad \text{with} \quad T^{*k} T^{h} \{ x(\lambda) \} = \{ \overline{\lambda}^{k} \lambda^{h} x(\lambda) \},$$

where *m* denotes the Lebesgue measure on  $\sigma(T) \subseteq C$ . Let  $N = \mu_T$ . If  $K = \{x_1, \ldots, x_N\}$  satisfies  $H = \bigvee_{m=0}^{\infty} T^m K$  then  $K_{\lambda} = \{x_1(\lambda), \ldots, x_N(\lambda)\}$  is a set of vectors in  $H_{\lambda}$  such that  $H_{\lambda} = \bigvee_{m=0}^{\infty} \{\lambda^m x_1(\lambda), \ldots, \lambda^m x_N(\lambda)\}$  for almost all  $\lambda$  in  $\sigma(T)$ . But for  $\lambda$  in  $E_n$ ,  $H_{\lambda}$  is an *n*-dimensional space. Hence we have  $\mu_T = N \ge n$ , completing the proof. Proof of Theorem 2. Part of this theorem is implicitly contained in the work of Sz.-NAGY and FOIAS [9]. Indeed, since T is quasi-similar to the dual residual part of its minimal unitary dilation ([9], p. 72), by [9], pp. 88—89 we can infer that T is quasi-similar to an operator of the form (1) and  $n \leq d_{T^*}$  (also cf. [9], pp. 271—273 for the case  $d_T = d_{T^*} < \infty$ ). The uniqueness follows from the multiplicity theory of normal operators [5] and the fact that quasi-similar normal operators are unitarily equivalent. Lemma 2 furnishes the proof of the remaining part.

In light of these results we can generalize the notion of Jordan operators to the following

Definition. An operator T is called a Jordan operator if it is of the form

 $S_{\varphi_1} \oplus \ldots \oplus S_{\varphi_m} \oplus \ldots \oplus M_{E_1} \oplus \ldots \oplus M_{E_n} \oplus \ldots,$ 

where the  $\varphi_j$ 's are inner functions satisfying  $\varphi_{j+1}|\varphi_j$  (j=1, 2, ...), and the  $E_k$ 's are measurable subsets of C satisfying  $E_{k+1} \subseteq E_k$  (k=1, 2, ...).

Combining Theorems 1 and 2 we obtain

Theorem 3. Let T be a weak contraction on a separable Hilbert space, with defect indices  $d_T = d_{T^*}$ . Then T is quasi-similar to a uniquely determined Jordan operator

(2)  $S_{\sigma_1} \oplus \ldots \oplus S_{\sigma_m} \oplus \ldots \oplus M_{E_1} \oplus \ldots \oplus M_{E_n} \oplus \ldots$ 

If there are  $m \ (0 \le m \le \infty)$  non-constant  $\varphi_j$ 's and  $n \ (0 \le n \le \infty)$   $E_k$ 's with nonzero measure, then  $\mu_T = \mu_{T^*} = \max \{m, n\}$ . Moreover, if T is c.n.u., then its corresponding Jordan operator is also a weak contraction and  $\mu_T = \mu_{T^*} = \max \{m, n\} \le d_T = d_{T^*}$  hold.

We will call the uniquely determined Jordan operator the Jordan model for T.

We start the proof of Theorem 3 with the following

Lemma 3. Let  $T_1$ ,  $T'_1$  be  $C_0$  contractions on  $H_1$ ,  $H'_1$  and let  $T_2$ ,  $T'_2$  be unitary operators on  $H_2$ ,  $H'_2$ , respectively. If  $T_1 \oplus T_2$  is a quasi-affine transform of  $T'_1 \oplus T'_2$ , then  $T_1$  is quasi-similar to  $T'_1$  and  $T_2$  is unitarily equivalent to  $T'_2$ .

Proof. Let  $X: H_1 \oplus H_2 \to H_1' \oplus H_2'$  be a quasi-affinity such that  $X(T_1 \oplus T_2) = = (T_1' \oplus T_2')X$ . For any  $h \in H_1$ , let  $h_1 \oplus h_2 = X(h \oplus 0)$ , where  $h_1 \in H_1'$  and  $h_2 \in H_2'$ . Since  $T_1'$ , being a  $C_0$  contraction, is of class  $C_0$ , we have  $(T_1'^n h_1) \oplus (T_2'^n h_2) = = (T_1' \oplus T_2')^n X(h \oplus 0) = X(T_1 \oplus T_2)^n (h \oplus 0) = X(T_1^n h \oplus 0) \to 0$  as  $n \to \infty$ . Thus  $T_2'^n h_2 \to 0$  as  $n \to \infty$ . Since  $T_2'$  is of class  $C_1$ , this implies that  $h_2 = 0$ , and hence that  $X(h \oplus 0) \in H_1'$ . Thus with respect to the decompositions  $H_1 \oplus H_2$  and  $H_1' \oplus H_2'$ , X is triangulated as

$$X = \begin{bmatrix} X_1 & Z \\ 0 & X_2 \end{bmatrix}.$$

By considering the adjoint, a similar argument as above shows that Z=0. Hence we obtain quasi-affinities  $X_1: H_1 \rightarrow H'_1$  and  $X_2: H_2 \rightarrow H'_2$  such that  $X_1T_1 = T'_1X_1$ and  $X_2T_2 = T'_2X_2$ , that is,  $T_1 \prec T'_1$  and  $T_2 \prec T'_2$ . By the uniqueness of the Jordan model for  $C_0$  contractions, we infer that  $T_1$  is quasi-similar to  $T'_1$  (cf. [1], Theorem 1). On the other hand, that  $T_2$  is unitarily equivalent to  $T'_2$  follows from [4], Lemma 4.1.

Lemma 4. The operator  $S_{\varphi} \oplus M_E$  on the space  $(H^2 \oplus \varphi H^2) \oplus L^2(E)$  is cyclic.

Proof. Let f be an essentially bounded function in  $L^2(E)$ , which is cyclic for  $M_E$ . If  $E \neq C$ , such is the identity function  $1(e^{it}) = e^{it}$  on E. If E = C then it is well known that the cyclic vectors for the bilateral shift are those functions  $f \in L^2$  for which |f| > 0 a.e. and  $\int \log |f| = -\infty$ ; we may assume that f is essentially bounded, for otherwise let  $F = \{e^{it} : |f(e^{it})| \ge 1\}$ . Consider  $\chi_{C \subseteq F} f + \chi_F$ . Let P be the (orthogonal) projection of  $H^2$  onto  $H^2 \ominus \varphi H^2$ , and let 1 also denote the identity function in  $H^2$ . We want to show that  $P(1) \oplus f$  is a cyclic vector for  $S_{\varphi} \oplus M_E$ .

Let  $K = \bigvee_{n=0}^{\infty} (S_{\varphi} \oplus M_E)^n (P(1) \oplus f)$ . For each  $h \in H^2$ , let  $\{p_n\}$  be a sequence of polynomials such that  $p_n \to \varphi h$  in  $L^2$ -norm. Since f is essentially bounded, we have  $p_n f \to \varphi h f$ , and hence  $P(p_n) \oplus p_n f \to P(\varphi h) \oplus \varphi h f = 0 \oplus \varphi h f$ . This shows that  $0 \oplus \varphi h f$  is in K for any  $h \in H^2$ .

Now let g be an arbitrary function in  $L^2(E)$ . Since f is a cyclic vector for  $M_E$ , there exists a sequence of polynomials  $\{q_n\}$  such that  $q_n f \rightarrow \overline{\varphi}g$  in  $L^2$ -norm. Then  $\varphi q_n f \rightarrow \varphi \overline{\varphi}g = g$ . By what we proved before, we conclude that  $0 \oplus g \in K$  for any  $g \in L^2(E)$ . On the other hand, since it is clear that  $P(h) \oplus hf \in K$  for any  $h \in H^2$ , we have  $P(h) \oplus 0 = (P(h) \oplus hf) - (0 \oplus hf) \in K$ . Hence we obtain  $(H^2 \oplus \varphi H^2) \oplus L^2(E) = K$ , which completes the proof.

Proof of Theorem 3. Let  $T=U\oplus T'$  be the decomposition of T into the direct sum of its unitary part U and its c.n.u. part T'. Since T' is also a weak contraction, we may consider its  $C_0$  part  $T_0$  and  $C_{11}$  part  $T_1$ . It was proved in [16] that T' is quasi-similar to  $T_0\oplus T_1$ . Hence T is quasi-similar to  $T_0\oplus T_1\oplus U$ . By Theorem 1, Lemma 3 and the multiplicity theory of normal operators [5], we conclude that T is quasi-similar to a uniquely determined Jordan operator (2).

If T is quasi-similar to (2), then  $T^*$  is quasi-similar to

$$S_{\varphi_{\widetilde{1}}} \oplus \ldots \oplus S_{\varphi_{\widetilde{m}}} \oplus \ldots \oplus M_{E\widetilde{1}} \oplus \ldots \oplus M_{E\widetilde{n}} \oplus \ldots,$$

where  $\varphi_j^{\sim}(\lambda) = \overline{\varphi_j(\lambda)}$  (j=1, 2, ...) and  $E_k^{\sim} = \{e^{it} : e^{-it} \in E_k\}$  (k=1, 2, ...). Hence it is clear that to show that  $\mu_T = \mu_{T^*} = \max\{m, n\}$ , we have only to show that  $\mu_T = \max\{m, n\}$ . For convenience, we assume that  $n \le m$ . Let  $d_{T_0}$  and  $d_{T_1}$  denote the defect indices of  $T_0$  and  $T_1$ , respectively. By Theorem 1 and Lemma 1 we have  $m = \mu_{T_0} \le \mu_{T_0 \oplus T_1 \oplus U} = \mu_T$ . If  $m = \infty$  then we have already had the result. Hence

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we may assume that  $m < \infty$ . Since (2) is unitarily equivalent to

 $(S_{\varphi_1} \oplus M_{E_1}) \oplus \ldots \oplus (S_{\varphi_n} \oplus M_{E_n}) \oplus M_{E_{n+1}} \oplus \ldots \oplus M_{E_m},$ 

using Lemmas 1 and 4 we have  $\mu_T \leq \underbrace{1+\ldots+1}_{n} + \underbrace{1+\ldots+1}_{m-n} = m$ . Thus  $\mu_T = m = \max\{m, n\}$ . The case m < n is similarly proved.

Now we assume that T is c.n.u., that is,  $T=T_0\oplus T_1$ . We show that the Jordan model (2) is a weak contraction. Indeed, it is enough to show that  $S\equiv S_{\varphi_1}\oplus\ldots\oplus S_{\varphi_m}\oplus\ldots$  is weak. But S is the Jordan model of  $T_0$ , which is a weak  $C_0$  contraction, so the assertion follows from the results of §8 of [2]. By Theorems 1 and 2 we have  $m=\mu_{T_0}\leq d_{T_0}$  and  $n=\mu_{T_1}\leq d_{T_1}$ . Since  $d_{T_0}\leq d_T$  and  $d_{T_1}\leq d_T$  (cf. [9] p. 302) we obtain max  $\{m, n\}\leq d_T=d_{T^*}$ , completing the proof.

We make some remarks to conclude this section.

By Theorem 3 and Lemma 3 we infer that for weak contractions  $T_1$ ,  $T_2$ , if  $T_1$  is a quasi-affine transform of  $T_2$  then  $T_1$  and  $T_2$  are quasi-similar to each other.

For c.n.u. weak contractions the unitary part of the Jordan model has an absolutely continuous spectrum.

If T is a c.n.u. weak contraction with finite defect indices, then in the Jordan model of T we have  $E_k = \{e^{it}: \operatorname{rank} \Delta(e^{it}) \ge k\}$  (k=1, 2, ..., n), where  $\Delta(e^{it}) = = [I - \Theta(e^{it})^* \Theta(e^{it})]^{1/2}$  and  $\Theta(\lambda)$  denotes the characteristic function of T. Indeed, since the characteristic function  $\Theta_1(\lambda)$  of the  $C_{11}$  part  $T_1$  is the purely contractive part of the outer factor  $\Theta_e(\lambda)$  of  $\Theta(\lambda)$ , if  $\Delta_1(e^{it}) = [I - \Theta_1(e^{it})^* \Theta_1(e^{it})]^{1/2}$  then rank  $\Delta(e^{it}) = \operatorname{rank} \Delta_1(e^{it})$  a.e.. Thus the assertion follows from [9] Theorem VI. 6.1. In particular,  $E_1 = \{e^{it}: \Theta(e^{it}) \text{ is not isometric}\}$  and  $n = \operatorname{ess} \sup \operatorname{rank} \Delta(e^{it})$ .

3. Multiplicity-free operators. A  $C_0$  contraction T is called multiplicity-free if  $\mu_T = 1$ , or equivalently, T has a cyclic vector. Some of the equivalent conditions for multiplicity-free  $C_0$  contractions are gathered in the next theorem (cf. [10] and [13]).

Theorem 4. Let T be a  $C_0$  contraction on a separable Hilbert space. Then the following conditions are equivalent to each other:

- (i) T is multiplicity-free;
- (ii) T is quasi-similar to  $S_{\varphi}$  for some inner function  $\varphi$ ;
- (iii)  $\{T\}'$  is commutative.

Here  $\{T\}'$  denotes the commutant of T. We generalize this to the following

Theorem 5. Let T be a c.n.u. weak contraction on a separable Hilbert space. Let  $T_0$  and  $T_1$  denote the  $C_0$  and  $C_{11}$  part of T, respectively. Then the following conditions are equivalent to each other:

- (i) T admits a cyclic vector;
- (ii)  $T_0$  and  $T_1$  admit cyclic vectors;

(iii) T is quasi-similar to  $S_{\varphi} \oplus M_E$  for some inner function  $\varphi$  and some measurable subset E of C (here  $\varphi$  may be constant and E may have measure zero);

(iv)  $\{T\}'$  is commutative;

(v)  $\{T_0\}'$  and  $\{T_1\}'$  are commutative.

This theorem suggests the following

Definition. A c.n.u. weak contraction T is called *multiplicity-free* if it satisfies the equivalent conditions (i)-(v) in Theorem 5.

Note that CLARK [3] also defined multiplicity-free operators among operators of class  $[C_0 \cup C_1] \cap [C_0 \cup C_1]$ . It is clear that our definition is consistent with his.

Proof of Theorem 5. The equivalence of (i), (ii) and (iii) follows from Theorems 1, 2 and 3. The implication (i)  $\Rightarrow$  (iv) and the equivalence (ii)  $\Rightarrow$  (v) were proved by Sz.-NAGY and FOIAş (cf. [11], [12] or [7], [13]). Thus to complete the proof we have only to show that (iv) implies one of the other conditions. Let us prove the implication (iv)  $\Rightarrow$  (iii). Let  $S \oplus M$  denote the Jordan model of T, where  $S = S_{\varphi_1} \oplus S_{\varphi_2} \oplus \ldots$  and  $M = M_{E_1} \oplus M_{E_2} \oplus \ldots$ , and let X, Y be two quasi-affinities such that  $TX = X(S \oplus M)$  and  $(S \oplus M)Y = YT$ .

Then, from (iv) it follows that the relation

(XAY)(XBY) = (XBY)(XAY)

holds whenever A,  $B \in \{S \oplus M\}'$  and hence

A(YX)B = B(YX)A.

Now by Lemma 3 it follows that  $YX = Z \oplus V$  where  $Z \in \{S\}', V \in \{M\}'$ and we have

 $AZB = BZA, \quad A'VB' = B'VA'$ 

for any  $A, B \in \{S\}', A', B' \in \{M\}'$ . Taking B = I, B' = I in (3), it follows that  $Z \in \{S\}''$  and  $V \in \{M\}''$  such that, again by (3), we infer that  $\{S\}'$  and  $\{M\}'$  are commutative. From the implication  $(v) \Rightarrow (ii)$  it follows that  $S = S_{\varphi_1}$  and  $M = M_{E_1}$  and (iii) follows.

We remark that conditions (i)—(v) in Theorem 5 are equivalent to the corresponding conditions for  $T^*$ . (This follows from Theorem 3 that  $\mu_T = \mu_{T^*}$ .) Also note that if the defect indices of T are finite, then these conditions are equivalent to:

(vi) The minors of order  $d_{T_0} - 1$  of the matrix of  $\Theta_{*i}(\lambda)$  have no common inner divisor, and rank  $\Delta(e^{it}) \leq 1$  a.e. (cf. [9], pp. 267 and 271). In particular, we have

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Corollary 1. If T is a c.n.u. contraction with scalar-valued characteristic function  $\varphi(\lambda) \neq 0$ , then T is cyclic and  $\{T\}'$  is commutative.

Proof. T is certainly a c.n.u. weak contraction which satisfies condition (vi). The assertion follows from the remark we made above.

Part of the previous result was obtained earlier by Sz.-NAGY and FOIAS [14].

Corollary 2. Let T be a c.n.u. multiplicity-free weak contraction on H. If K is an invariant subspace for T such that T|K is also a weak contraction, then T|K is multiplicity-free.

Proof. Since T is multiplicity-free, we have  $\mu_{T^*}=1$ , so that  $\mu_{(T|K)^*}=1$ . Therefore, if T|K is a weak contraction, it follows that it is multiplicity-free.

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