

Hyperinvariant subspaces of weak contractions

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Introduction

The aim of this paper is to study Hyperlat T , the hyperinvariant subspace lattice, of a completely non-unitary (c.n.u.) weak contraction T with finite defect indices. The work here is a continuation of the investigations of Hyperlat T which we made in [14] and [15]. There we only considered c.n.u. C_{11} contractions with finite defect indices. Now we shall generalize the results of [14] and [15]. Among other things, we shall show that for the contractions considered, (i) if T_1 is quasi-similar to T_2 , then Hyperlat T_1 is (lattice) isomorphic to Hyperlat T_2 (Corollary 3.4) and (ii) Hyperlat T is (lattice) generated by subspaces of the forms $\text{ran } S$ and $\ker V$ where S, V are operators in $\{T\}''$, the double commutant of T (Theorem 3.8). We also give necessary and sufficient conditions, in terms of the characteristic function and the Jordan model of T , that $\text{Lat } T$, the invariant subspace lattice of T , be equal to Hyperlat T .

Preliminaries and results

We follow the notations and terminologies used in [14] and [15]. Only the concepts concerning weak contractions will be reviewed here.

A contraction T is called a *weak contraction* if (i) its spectrum $\sigma(T)$ does not fill the open unit disc, and (ii) $I - T^*T$ is of finite trace. Examples of weak contractions are $C_0(N)$ contractions and c.n.u. C_{11} contractions with finite defect indices. The characteristic function Θ_T of every weak contraction T admits a *scalar multiple*, that is, there exist a contractive analytic function Ω and a scalar valued analytic function $\delta \neq 0$ such that $\Omega\Theta_T = \Theta_T\Omega = \delta$. For a c.n.u. weak contraction

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T on H we can consider its C_0-C_{11} decomposition. Let $H_0, H_1 \subseteq H$ be the invariant subspaces for T such that $T_0 \equiv T|_{H_0}$ and $T_1 \equiv T|_{H_1}$ are the C_0 and C_{11} parts of T , respectively. Indeed, T_0 and T_1 are equal to those appearing in the triangulations

$$T = \begin{bmatrix} T_0 & X \\ 0 & T'_1 \end{bmatrix} \quad \text{and} \quad T = \begin{bmatrix} T_1 & Y \\ 0 & T'_0 \end{bmatrix}$$

on $H = H_0 \oplus H_0^\perp$ and $H = H_1 \oplus H_1^\perp$ corresponding to the $*$ -canonical factorization $\Theta_T = \Theta_{*e} \Theta_{*i}$ and the canonical factorization $\Theta_T = \Theta_i \Theta_e$, respectively. H_0 and H_1 are even hyperinvariant for T and satisfy $H_0 \vee H_1 = H$ and $H_0 \cap H_1 = \{0\}$. For the details the readers are referred to [4], Chap. VIII.

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It was shown in [4], p. 334 that $H_0 = \ker m(T)$ and $H_1 = \overline{\text{ran } m(T)}$, where m is the minimal function of T_0 . Note that $m(T) \in \{T\}''$. Now we have the following supplementary result.

Theorem 1. *If T is a c.n.u. weak contraction on H and H_0, H_1 are subspaces of H such that $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$ are the C_0 and C_{11} parts of T , respectively, then there exists an operator S in $\{T\}''$ such that $H_0 = \text{ran } S$ and $H_1 = \ker S$.*

Proof. We consider T being defined on $H \equiv [H_\mathfrak{D}^2 \oplus \overline{\Delta L_\mathfrak{D}^2}] \ominus \{\Theta_T w \oplus \Delta w : w \in H_\mathfrak{D}^2\}$ by $T(f \oplus g) = P(e^H f \oplus e^H g)$ for $f \oplus g \in H$, where Θ_T is the characteristic function of T , $\Delta(t) = (I_\mathfrak{D} - \Theta_T(t)^* \Theta_T(t))^{1/2}$ and P denotes the (orthogonal) projection onto H . Since Θ_T admits a scalar multiple, the same is true for its outer factor Θ_e and inner factor Θ_i (cf. [4], p. 217). Let $\delta_1 \neq 0$ and $\delta_2 \neq 0$ be their respective scalar multiples, and let Ω_1 and Ω_2 be contractive analytic functions such that $\Omega_1 \Theta_e = \Theta_e \Omega_1 = \delta_1 I_\mathfrak{D}$ and $\Omega_2 \Theta_i = \Theta_i \Omega_2 = \delta_2 I_\mathfrak{D}$. We may assume that δ_1 is outer and δ_2 is inner (cf. [4], p. 217). Let $\delta = \delta_1 \delta_2$ and $\Omega = \Omega_1 \Omega_2$. Then $\Omega \Theta_T = \Theta_T \Omega = \delta I_\mathfrak{D}$. Consider the operator $S = P \begin{bmatrix} \delta_1 A & 0 \\ \delta_2 \Delta \Omega & 0 \end{bmatrix}$. We prove $H_1 = \ker S$ and $H_0 = \overline{\text{ran } S}$ in the following steps. In each step the first statement is proved.

(1) $S \in \{T\}''$. Let $V = P \begin{bmatrix} A & 0 \\ B & C \end{bmatrix}$ be an operator in $\{T\}'$, where A is a bounded analytic function while B and C are bounded measurable functions satisfying the conditions $A \Theta_T = \Theta_T A$ and $B \Theta_T + C \Delta = \Delta A$ a.e., where A_0 is another bounded analytic function (cf. [5]). An easy calculation shows that

$$SV = P \begin{bmatrix} \delta_1 A & 0 \\ \delta_2 \Delta \Omega A & 0 \end{bmatrix} \quad \text{and} \quad VS = P \begin{bmatrix} A \delta_1 & 0 \\ B \delta_1 + C \delta_2 \Delta \Omega & 0 \end{bmatrix}.$$

We have $\delta_2 \Delta \Omega A \delta = \delta_2 \Delta \Omega A \Theta_T \Omega = \delta_2 \Delta \Omega \Theta_T A_0 \Omega = \delta_2 \Delta \delta A_0 \Omega = \delta_1 \Delta A_0 \Omega =$
 $= \delta_1 (B \Theta_T + C \Delta) \Omega = B \delta_1 \delta + C \delta_2 \Delta \Omega \delta = (B \delta_1 + C \delta_2 \Delta \Omega) \delta$. Since $\delta \neq 0$, we conclude
 that $\delta_2 \Delta \Omega A = B \delta_1 + C \delta_2 \Delta \Omega$. Hence $SV = VS$ and we have $S \in \{T\}''$.

(2) $H_1 \subseteq \ker S$. It was shown in [6] that $H_1 = \{f \oplus g \in H: f \in \Theta_i H_{\mathfrak{D}}^2\}$. For
 $\Theta_i u \oplus g \in H_1$, $S(\Theta_i u \oplus g) = P(\delta_1 \Theta_i u \oplus \delta_2 \Delta \Omega \Theta_i u) = P(\Theta_i \Theta_e \Omega_1 u \oplus \delta_2 \Delta \Omega_1 \Omega_2 \Theta_i u) =$
 $= P(\Theta \Omega_1 u \oplus \Delta \Omega_1 u) = 0$, which shows that $H_1 \subseteq \ker S$.

(3) $\ker S \subseteq H_1$. For $f \oplus g \in \ker S$, $S(f \oplus g) = P(\delta_1 f \oplus \delta_2 \Delta \Omega f) = (\delta_1 f - \Theta_T w) \oplus$
 $\oplus (\delta_2 \Delta \Omega f - \Delta w) = 0$ for some $w \in H_{\mathfrak{D}}^2$. Hence $\delta_1 f = \Theta_T w$. Note that $\frac{1}{\delta_1} \Theta_e w = \Theta_i^* f$
 is an element of $L_{\mathfrak{D}}^2$. However $\frac{1}{\delta_1} \Theta_e w$ is also analytic in the open unit disc, and
 therefore belongs to $H_{\mathfrak{D}}^2$. We conclude that $f = \Theta_i w'$, where $w' = \frac{1}{\delta_1} \Theta_e w \in H_{\mathfrak{D}}^2$.
 This shows that $f \oplus g \in H_1$, and hence $\ker S \subseteq H_1$.

(2) and (3) imply that $H_1 = \ker S$. Next we prove that $H_0 = \overline{SH}$.

(4) $\overline{SH} \subseteq H_0$. It was shown in [6] that $H_0 = \{f \oplus g \in H: \Theta_T g = \Delta_* f\}$, where
 $\Delta_* = (I_{\mathfrak{D}} - \Theta_T \Theta_T^*)^{1/2}$. For any $f \oplus g \in H$, $S(f \oplus g) = (\delta_1 f - \Theta_T w) \oplus (\delta_2 \Delta \Omega f - \Delta w)$ for
 some $w \in H_{\mathfrak{D}}^2$. Note that $(I_{\mathfrak{D}} - \Theta_T^* \Theta_T) \Omega = \Omega - \Theta_T^* \delta = \Omega (I_{\mathfrak{D}} - \Theta_T \Theta_T^*)$, whence $\Delta \Omega =$
 $= \Omega \Delta_*$. Similarly, $\Theta_T \Delta = \Delta_* \Theta_T$. Thus $\Theta_T (\delta_2 \Delta \Omega f - \Delta w) = \delta_2 \Delta_* \Theta_T \Omega f - \Delta_* \Theta_T w =$
 $= \delta_2 \Delta_* \delta f - \Delta_* \Theta_T w = \Delta_* (\delta_1 f - \Theta_T w)$, which shows that $S(f \oplus g) \in H_0$, and
 hence $\overline{SH} \subseteq H_0$.

(5) $S|_{H_0} = \delta_1(T_0)$. Since H_0 is the invariant subspace corresponding to $\Theta_T =$
 $= \Theta_{*e} \Theta_{*i}$ and Θ_{*i} is inner from both sides, $H_0 = \{\Theta_{*e} u \oplus Z^{-1}(\Delta_2 u): u \in H_{\mathfrak{D}}^2\} \oplus$
 $\oplus \{\Theta_T w \oplus \Delta w: w \in H_{\mathfrak{D}}^2\}$, where $\Delta_2 = (I_{\mathfrak{D}} - \Theta_{*e} \Theta_{*e}^*)^{1/2}$ and Z is the unitary operator
 from $\overline{\Delta L_{\mathfrak{D}}^2}$ onto $\overline{\Delta_2 L_{\mathfrak{D}}^2}$ such that $Z(\Delta v) = \Delta_2 \Theta_{*i} v$ for $v \in L_{\mathfrak{D}}^2$ (cf. [4], p. 288). For any
 $\Theta_{*e} u \oplus Z^{-1}(\Delta_2 u) \in H_0$, we have $S(\Theta_{*e} u \oplus Z^{-1}(\Delta_2 u)) = (\delta_1 \Theta_{*e} u - \Theta_T w) \oplus$
 $\oplus (\delta_2 \Delta \Omega \Theta_{*e} u - \Delta w)$ for some $w \in H_{\mathfrak{D}}^2$. Since Θ_T , along with Θ_{*e} and Θ_{*i} , admits
 a scalar multiple, $\Theta_T(t)^{-1} = \Theta_{*i}(t)^{-1} \Theta_{*e}(t)^{-1}$ exists for almost all t . Therefore,
 $\Omega = \delta \Theta_T^{-1} = \delta \Theta_{*i}^{-1} \Theta_{*e}^{-1}$ a.e. We have $Z(\delta_2 \Delta \Omega \Theta_{*e} u) = \Delta_2 \Theta_{*i} \delta_2 \Omega \Theta_{*e} u =$
 $= \Delta_2 \Theta_{*i} \delta_2 \delta \Theta_{*i}^{-1} \Theta_{*e}^{-1} \Theta_{*e} u = \delta_1 \Delta_2 u$, and it follows that $S(\Theta_{*e} u \oplus Z^{-1}(\Delta_2 u)) =$
 $= (\delta_1 \Theta_{*e} u - \Theta_T w) \oplus (\delta_1 Z^{-1}(\Delta_2 u) - \Delta w)$. This shows that $S|_{H_0} = \delta_1(T_0)$.

(6) $\overline{SH} = H_0$. Since δ_1 is outer, $\delta_1(T_0)$ is a quasi-affinity (cf. [4], p. 118). Hence
 $\overline{\delta_1(T_0)H_0} = H_0$. By (4) and (5), this implies that $\overline{SH} = H_0$.

The next lemma is needed in the proof of Theorem 3.3.

Lemma 2. Let T be a c.n.u. weak contraction on H and let H_0, H_1 be subspaces
 of H such that $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$ are the C_0 and C_{11} parts of T , respectively.
 If $H'_0, H'_0 \subseteq H$ are invariant subspaces for T such that $H'_0 \setminus H'_1 = H$ and $T|_{H'_0} \in C_0$,
 $T|_{H'_1} \in C_{11}$, then $H_0 = H'_0$ and $H_1 = H'_1$.

Proof. The maximality property of H_0 and H_1 implies that $H'_0 \subseteq H_0$ and $H'_1 \subseteq H_1$ (cf. [4], p. 331). Now we show that $H_0 \subseteq H'_0$. Since $H_0 = \text{ran } S$ where S is the operator defined in Theorem 1, for any $h \in H_0$ and $\varepsilon > 0$ there exists some k in H such that $\|h - Sk\| < \varepsilon$. The hypothesis $H = H'_0 \vee H'_1$ implies that $\|k - k_0 - k_1\| < \varepsilon$ holds for some $k_0 \in H'_0$ and $k_1 \in H'_1$. Hence $\|Sk - Sk_0 - Sk_1\| = \|Sk - Sk_0\| < \|S\|\varepsilon$, and it follows that $\|h - Sk_0\| < (1 + \|S\|)\varepsilon$. Since $Sk_0 = \delta_1(T_0)k_0 = \delta_1(T)k_0 \in H'_0$ and ε is arbitrary, we conclude that $h \in H'_0$ and hence $H'_0 = H_0$. $H'_1 = H_1$ can be proved in a similar fashion by noting that $H_1 = \text{ran } m(T)$ and $H_0 = \ker m(T)$, where m denotes the minimal function of T_0 .

Now we have the following main theorem.

Theorem 3. *Let T be a c.n.u. weak contraction on H and let H_0, H_1 be subspaces of H such that $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$ are the C_0 and C_{11} parts of T , respectively. Then the following lattices are isomorphic:*

$$\text{Hyperlat } T, \text{ Hyperlat } T_0 \oplus \text{Hyperlat } T_1, \text{ and } \text{Hyperlat } (T_0 \oplus T_1).$$

Proof. Since T_0 and T_1 are of class C_{00} and of class C_{11} , respectively, $\text{Hyperlat } T_0 \oplus \text{Hyperlat } T_1 \cong \text{Hyperlat } (T_0 \oplus T_1)$ follows from Prop. 3 and Lemma 4 of [2].

Next we show that a subspace $K \subseteq H$ is hyperinvariant for T if and only if $K = K_0 \vee K_1$ where $K_0 \subseteq H_0$ and $K_1 \subseteq H_1$ are hyperinvariant for T_0 and T_1 , respectively. To prove one direction, let $K \subseteq H$ be hyperinvariant for T and let $K_0 = K \cap H_0$, $K_1 = K \cap H_1$. Note that $\sigma(T|_K) \subseteq \sigma(T)$ [1] and hence $T|_K$ is also a weak contraction. Thus K_0 and K_1 are subspaces of K on which the C_0 and C_{11} parts of $T|_K$ act (cf. [4], p. 332). We have $K = K_0 \vee K_1$. Now we show the hyperinvariance of K_0 and K_1 . Note that $H_0 = \overline{SH}$, where S is the operator defined in Theorem 1. For any $S_0 \in \{T_0\}'$, consider the operator $S_0 S$ on H . It is easily seen that $S_0 S \in \{T\}'$. Since $K_0 = K \cap H_0$ is hyperinvariant for T , $S_0 S K_0 \subseteq K_0$. As proved in Theorem 1, $S|_{H_0} = \delta_1(T_0)$ for some outer function δ_1 . Thus $\overline{S K_0} = \overline{\delta_1(T|_{K_0}) K_0} = K_0$. It follows that $S_0 K_0 \subseteq K_0$ and hence K_0 is hyperinvariant for T_0 . That K_1 is hyperinvariant for T_1 can be proved similarly by noting that $H_1 = \overline{m(T)H}$ where m is the minimal function of T_0 and $m(T|_{K_1})$, being an analytic function of a c.n.u. C_{11} contraction, is a quasi-affinity (cf. [4], p. 123).

To prove the converse, let $S \in \{T\}'$ and $S_0 = S|_{H_0}$, $S_1 = S|_{H_1}$. It is obvious that $S_0 \in \{T_0\}'$ and $S_1 \in \{T_1\}'$. If $K_0 \subseteq H_0$ and $K_1 \subseteq H_1$ are hyperinvariant for T_0 and T_1 , respectively, then $S_0 K_0 \subseteq K_0$ and $S_1 K_1 \subseteq K_1$. Hence $S(K_0 \vee K_1) \subseteq K_0 \vee K_1$, which shows that $K_0 \vee K_1$ is hyperinvariant for T and proves our assertion.

That K_0 and K_1 are uniquely determined by K follows from Lemma 2, and it is easily seen that $\text{Hyperlat } T \cong \text{Hyperlat } T_0 \oplus \text{Hyperlat } T_1$.

In [11] a specific description of the elements in Hyperlat T for a special class of c.n.u. weak contractions is given.

Corollary 4. *Let T_1, T_2 be c.n.u. weak contractions with finite defect indices. If T_1 is quasi-similar to T_2 , then Hyperlat T_1 is isomorphic to Hyperlat T_2 .*

Proof. Let T_{10}, T_{20} be the C_0 parts of T_1, T_2 and T_{11}, T_{21} be their C_{11} parts, respectively. If T_1 is quasi-similar to T_2 , then T_{10}, T_{11} are quasi-similar to T_{20}, T_{21} , respectively (cf. [10]). Since T_1, T_2 have finite defect indices, T_{10}, T_{20} are of class $C_0(N)$ and the defect indices of T_{11}, T_{21} are also finite. Thus Hyperlat $T_{10} \cong$ Hyperlat T_{20} and Hyperlat $T_{11} \cong$ Hyperlat T_{21} (cf. [7] and [14], resp.). Now Hyperlat $T_1 \cong$ Hyperlat T_2 follows from Theorem 3.

Recall that a c.n.u. weak contraction T is *multiplicity-free* if T admits a cyclic vector and that T is multiplicity-free if and only if its C_0 part and C_{11} part are (cf. [12]).

Corollary 5. *Let T be a c.n.u. multiplicity-free weak contraction on H with defect indices $n < +\infty$. Let $K \subseteq H$ be an invariant subspace for T with the corresponding regular factorization $\Theta_T = \Theta_2 \Theta_1$. Then the following are equivalent to each other:*

- (1) $K \in \text{Hyperlat } T$;
- (2) the intermediate space of $\Theta_T = \Theta_2 \Theta_1$ is of dimension n .

Proof. (1) \Rightarrow (2). If $K \in \text{Hyperlat } T$, then, as proved before, $T|_K$ is a weak contraction. Hence its characteristic function admits a scalar multiple, which implies that the intermediate space of $\Theta_T = \Theta_2 \Theta_1$ is of dimension n .

(2) \Rightarrow (1). The hypothesis implies that $T|_K$ has equal defect indices. It is easily seen that a c.n.u. contraction S with finite equal defect indices is a weak contraction if and only if $\det \Theta_S \neq 0$. Since $\det \Theta_T \neq 0$ implies that $\det \Theta_1 \neq 0$, it follows that $T|_K$ is a weak contraction. Let K_0, K_1 be subspaces of K on which the C_0 and C_{11} parts of $T|_K$ act. We have $K = K_0 \vee K_1$. It follows from the proof of Theorem 3 that we have only to show that K_0 and K_1 are hyperinvariant for $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$, the C_0 and C_{11} parts of T , respectively. Since $K_0 \subseteq H_0$ is invariant for the multiplicity-free $C_0(N)$ contraction T_0 , it is hyperinvariant for it (cf. [8], Corollary 4.4). On the other hand, T_1 is a multiplicity-free C_{11} contraction on H_1 with finite defect indices and $K_1 \subseteq H_1$ is such that $T_1|_{K_1} \in C_{11}$. It follows easily from Theorem 1 of [14] that K_1 is hyperinvariant for T_1 , completing the proof.

The next corollary gives necessary and sufficient conditions that Lat T be equal to Hyperlat T for the operators we considered.

Corollary 6. *Let T be a c.n.u. weak contraction on H with defect indices $n < +\infty$. Let $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$ be its C_0 and C_{11} parts, respectively, and let Θ_e be the outer factor of the characteristic function Θ_T of T . Then the following conditions are equivalent:*

- (1) *Lat $T = \text{Hyperlat } T$;*
- (2) *Lat $T_0 = \text{Hyperlat } T_0$ and Lat $T_1 = \text{Hyperlat } T_1$;*
- (3) *T_0 and T_1 are multiplicity-free and $\Theta_e(t)$ is isometric on a set of positive Lebesgue measure;*
- (4) *T is multiplicity-free and $\Theta_T(t)$ is isometric on a set of positive Lebesgue measure.*

Proof. (1) \Rightarrow (2). We only show that Lat $T_0 = \text{Hyperlat } T_0$; Lat $T_1 = \text{Hyperlat } T_1$ can be proved similarly. To this end, let $K_0 \subseteq H_0$ be an invariant subspace for T_0 . It is obvious that $K_0 \in \text{Lat } T = \text{Hyperlat } T$. Let S be the operator defined in Theorem 1. Then $H_0 = \overline{SH}$ and $S|_{H_0} = \delta_1(T_0)$ for some outer function δ_1 . For any $S_0 \in \{T_0\}'$, $S_0 S$ is an operator in $\{T\}'$. Hence $\overline{S_0 S K_0} = \overline{S_0 \delta_1(T|_{K_0}) K_0} = \overline{S_0 K_0} \subseteq K_0$, which shows that $K_0 \in \text{Hyperlat } T_0$ and proves our assertion.

(2) \Rightarrow (3). This follows from Corollary 4.4 of [8] and Theorem 4.3 of [15].

(3) \Rightarrow (4). This follows from the remark before Corollary 5 and the fact that $\Theta_T(t)$ is isometric if and only if $\Theta_e(t)$ is.

(4) \Rightarrow (1). Let $K \in \text{Lat } T$ with the corresponding regular factorization $\Theta_T = \Theta_2 \Theta_1$. In light of Corollary 5 it suffices to show that the intermediate space of $\Theta_T = \Theta_2 \Theta_1$ is of dimension n . Note that $\text{rank } \Delta(t) = \text{rank } \Delta_1(t) + \text{rank } \Delta_2(t)$ a.e., where $\Delta(t) = (I - \Theta_T(t)^* \Theta_T(t))^{1/2}$ and $\Delta_j(t) = (I - \Theta_j(t)^* \Theta_j(t))^{1/2}$, $j = 1, 2$. The hypothesis implies that $\Delta(t) = 0$ on a set of positive Lebesgue measure, say α . It follows that $\Delta_1(t) = \Delta_2(t) = 0$ on α , and hence $\Theta_1(t)$ and $\Theta_2(t)$ are isometric for t in α . Therefore, the intermediate space of $\Theta_T = \Theta_2 \Theta_1$ is of dimension n , as asserted.

We remark that the preceding corollary generalizes part of the main result in [9].

Corollary 7. *Let T be a c.n.u. multiplicity-free weak contraction with finite defect indices. If $K_1, K_2 \in \text{Hyperlat } T$ and $T|_{K_1}$ is quasi-similar to $T|_{K_2}$, then $K_1 = K_2$.*

Proof. Since $K_1, K_2 \in \text{Hyperlat } T$, $T|_{K_1}$, $T|_{K_2}$ are weak contractions. Considering the C_0 and C_{11} parts of $T|_{K_1}$ and $T|_{K_2}$ and using the corresponding results for multiplicity-free $C_0(N)$ contractions and C_{11} contractions, we can deduce that $K_1 = K_2$ (cf. [3], Theorem 2 and [14], Corollary 3). We leave the details to the interested readers.

The next theorem, being another application of Theorem 3, is interesting in itself.

Theorem 8. *Let T be a c.n.u. weak contraction on H with finite defect indices. Then Hyperlat T is (lattice) generated by subspaces of the forms $\overline{\text{ran } S}$ and $\ker V$, where $S, V \in \{T\}''$.*

Proof. Let $T_0 = T|_{H_0}$ and $T_1 = T|_{H_1}$ be the C_0 and C_{11} parts of T , respectively, and let $K \in \text{Hyperlat } T$. Since $T|_K$ is a c.n.u. weak contraction, we may consider its C_0 part $T|_{K_0}$ and C_{11} part $T|_{K_1}$. By Theorem 1, $H_0 = \overline{SH}$ for some $S \in \{T\}''$. Since $K_0 \subseteq H_0$ is hyperinvariant for the $C_0(N)$ contraction T_0 (by Theorem 3), it follows from [13] that $K_0 = \bigvee_{i=1}^n [\ker \psi_i(T_0) \cap \overline{\xi_i(T_0)H_0}] = \bigvee_{i=1}^n [\ker \psi_i(T_0) \cap \overline{\xi_i(T)SH}]$, where ψ_i, ξ_i are inner functions, $i=1, \dots, n$. On the other hand, since $K_1 \subseteq H_1$ is hyperinvariant for T_1 (by Theorem 3 again), Theorem 3.6 of [15] implies that $K_1 = \overline{VH_1}$ for some $V \in \{T_1\}''$. Hence $K_1 = \overline{Vm(T)H}$, where m denotes the minimal function of T_0 . We claim that $K = \bigvee_{i=1}^n [\ker \psi_i(T) \cap \overline{\xi_i(T)SH}] \vee \overline{Vm(T)H}$. Indeed, this follows from $K = K_0 \vee K_1$ and the fact that $\ker \psi(T_0) = \ker \psi(T)$ for any $\psi \in H^\infty$. Since it is easily seen that $\psi_i(T), \xi_i(T)S \in \{T\}''$ for all i and $Vm(T) \in \{T\}''$, the proof is complete.

Corollary 9. *Let T be a c.n.u. multiplicity-free weak contraction on H with finite defect indices and let K be a subspace of H . Then the following are equivalent:*

- (1) $K \in \text{Hyperlat } T$;
- (2) $K = \overline{\text{ran } S}$ for some $S \in \{T\}''$;
- (3) $K = \ker V$ for some $V \in \{T\}''$.

Proof. The equivalence of (2) and (3) is easily established by considering T^* and K^\perp . (2) \Rightarrow (1) is trivial.

(1) \Rightarrow (2) is proved by following the same line of arguments in the proof of Theorem 8 and noting that any hyperinvariant subspace for a multiplicity-free $C_0(N)$ contraction T is of the form $\overline{\text{ran } \xi(T)}$ for some inner function ξ .

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