

## The function model of a contraction and the space $L^1/H_0^1$

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Recently, new techniques were invented for obtaining invariant subspaces for rather general classes of operators on Hilbert space, see [2]—[5]. The present note constitutes a first step to exploit similar techniques in the understanding of the fine structure of the functional model, in the sense of [1], of completely non-unitary contractions.

1. Recalling the canonical model of a completely non-unitary contraction on a separable Hilbert space we consider a contractive analytic function  $\{\mathfrak{E}, \mathfrak{E}_*, \Theta(\lambda)\}$  on the unit disc  $D = \{\lambda: |\lambda| < 1\}$ ;  $\mathfrak{E}$  and  $\mathfrak{E}_*$  being separable Hilbert spaces. Setting  $\Delta = \Delta(e^{it}) = (I - \Theta(e^{it})^* \Theta(e^{it}))^{1/2}$  we define the Hilbert function spaces

$$(1.1) \quad \mathfrak{R}_+ = H^2(\mathfrak{E}_*) \oplus \overline{\Delta L^2(\mathfrak{E})}, \quad \mathfrak{H} = \mathfrak{R}_+ \ominus \{\Theta w \oplus \Delta w: w \in H^2(\mathfrak{E})\}$$

(see [1], Chapter VI).  $P_{\mathfrak{H}}$  will denote orthogonal projection of  $\mathfrak{R}_+$  onto  $\mathfrak{H}$ .

We shall also have to do with spaces  $L^1, H^1, H_0^1, H^\infty$ , all with respect to normalized Lebesgue measure  $dm = dt/(2\pi)$  on the unit circle  $\{e^{it}: 0 \leq t < 2\pi\}$ . Recall that  $H^\infty$  is the Banach dual of the factor space  $L^1/H_0^1$ , through the bilinear form

$$\langle f^*, u \rangle = \int f u \, dm \quad (f \in L^1, u \in H^\infty),$$

$f \mapsto f^*$  denoting the natural map of  $L^1$  onto  $L^1/H_0^1$  (see e.g. [6]).

With any (ordered) pair  $\{h, k\}$  of elements of  $H$  we associate the element  $hk^*$  of  $L^1$  defined by

$$(1.2) \quad hk^*(e^{it}) = (h(e^{it}), k(e^{it}))_{\mathfrak{E}_* \oplus \mathfrak{E}} \quad (0 \leq t < 2\pi).$$

For sake of simplicity we shall also write, for any  $f \in L^1$ ,

$$\|f\|_{L^1/H_0^1} \quad \text{instead of} \quad \|f^*\|_{L^1/H_0^1},$$

and scalar product and norm of vectors without subscript will always mean those in the space  $\mathfrak{H}$ .

2. With the operator valued function  $\{\mathfrak{E}, \mathfrak{E}_*, \Theta(\lambda)\}$  we associate the multiplication operator

(2.1)  $\Theta_x: H^2(\mathfrak{E}) \rightarrow H^2(\mathfrak{E}_*)$  defined by  $(\Theta_x u)(e^{it}) = \Theta(e^{it})u(e^{it})$  ( $u \in H^2(\mathfrak{E})$ ) and its adjoint  $\Theta_x^*$  (i.e. the coanalytic Toeplitz operator denoted in [6] by  $T(\Theta^-)$ ); we have

$$(2.2) \quad (\Theta_x^* u)(e^{it}) = [\Theta(e^{it})^* u(e^{it})]_+ \quad (u \in H^2(\mathfrak{E})),$$

where  $[\cdot]_+$  denotes the natural orthogonal projection of any (scalar or vector valued function space)  $L^2$  onto its subspace  $H^2$ .

Observe that for any fixed  $\mu \in D$  the function

$$(2.3) \quad p_\mu(\lambda) = (1 - \bar{\mu}\lambda)^{-1}$$

belongs to  $H^2$ , and has norm

$$\|p_\mu\|_{H^2} = (1 - |\mu|^2)^{-1/2}.$$

It is easy to deduce from (2.2) that

$$(2.4) \quad \Theta_x^*(p_\mu a) = p_\mu \Theta(\mu)^* a \quad \text{for any } a \in \mathfrak{E}_*.$$

The following functional  $\eta_\Theta$  on  $H^2$  will play an important part:

$$(2.5) \quad \eta_\Theta(\varphi) = \inf_{\mathfrak{A} \in \Phi} \sup_{a \in \mathfrak{A}} s(\varphi, a), \quad \text{where } s(\varphi, a) = \frac{\|\Theta_x^* \varphi a\|_{H^2(\mathfrak{E})}}{\|\varphi a\|_{H^2(\mathfrak{E}_*)}} \quad (= 0 \text{ if } \varphi a = 0)$$

and  $\Phi$  denotes the family of subspaces of  $\mathfrak{E}_*$  with *finite codimension*.

Obviously,  $\eta_\Theta(c\varphi) = \eta_\Theta(\varphi)$  for any complex number  $c \neq 0$ . By virtue of (2.4) we have, in particular,

$$(2.6) \quad \eta_\Theta(p_\mu) = \inf_{\mathfrak{A} \in \Phi} \sup_{a \in \mathfrak{A}} \frac{\|\Theta(\mu)^* a\|_{\mathfrak{E}}}{\|a\|_{\mathfrak{E}_*}}$$

In what follows we shall assume that  $\mathfrak{E}_*$  is infinite dimensional.

**Lemma 1.** *Given any sequence  $\{\varphi_j\}_1^\infty$  of elements of  $H^2$  there exists an orthonormal sequence  $\{a_n\}_1^\infty$  in  $\mathfrak{E}_*$  such that,*

$$(2.7) \quad s(\varphi_j, a_n) \leq \eta_\Theta(\varphi_j) + \frac{1}{n} \quad \text{for } j = 1, 2, \dots, n; \quad n = 1, 2, \dots$$

**Proof.** By virtue of the definition (2.5) there exist  $\mathfrak{A}_{j,n} \in \Phi$  ( $j = 1, 2, \dots, n; n = 1, 2, \dots$ ) such that

$$\sup_{a \in \mathfrak{A}_{j,n}} s(\varphi_j, a) \leq \eta_\Theta(\varphi_j) + \frac{1}{n}.$$

Set

$$\mathfrak{U}_n = \left( \bigvee_{\substack{1 \leq j \leq n \\ 1 \leq m \leq n}} \mathfrak{U}_{j,m}^\perp \right)^\perp \quad (n = 1, 2, \dots).$$

Clearly,  $\mathfrak{U}_n \in \Phi$ ,  $\mathfrak{U}_n \subset \mathfrak{U}_{n-1}$  and  $\mathfrak{U}_n \subset \mathfrak{U}_{j,m}$  ( $1 \leq j \leq n$ ,  $1 \leq m \leq n$ ). From the last inclusion we infer

$$\sup_{a \in \mathfrak{U}_n} s(\varphi_j, a) \leq \sup_{a \in \mathfrak{U}_{j,n}} s(\varphi_j, a) \leq \eta_\Phi(\varphi_j) + \frac{1}{n}.$$

Choose inductively a sequence  $\{a_n\}_{n=1}^\infty$  of unit vectors in  $\mathfrak{E}_*$  such that  $a_n \in \mathfrak{U}_n$  and that  $a_n$  be orthogonal to  $a_1, \dots, a_{n-1}$  ( $n=2, 3, \dots$ ). Then we shall have (2.7.)

Notice, for further use, that each infinite orthonormal sequence weakly converges to 0.

3. A subset  $\mathcal{S}$  of the (open) unit ball  $\mathcal{D}$  of  $H^2$  will be called *dominant* if

$$(3.1) \quad \sup_{\varphi \in \mathcal{S}} \|[\bar{u}\varphi]_+\|_{H^2} = \|u\|_{H^\infty} \quad \text{for every } u \in H^\infty.$$

This is an obvious analogue of that a subset  $S$  of the unit disc  $D$  be dominant in the sense of [8], namely that

$$(3.2) \quad \sup_{\mu \in S} |u(\mu)| = \|u\|_{H^\infty} \quad \text{holds for every } u \in H^\infty.$$

Moreover, if  $S$  is dominant in  $D$  in the sense (3.2) then

$$(3.3) \quad \mathcal{S}_S = \{1 - |\mu|^2\}^{1/2} p_\mu : \mu \in S\}$$

is dominant in  $\mathcal{D}$  in the sense (3.1). Indeed,  $\mathcal{S}_S \subset \mathcal{D}$  is obvious and in analogy with (2.4) we easily obtain

$$[\bar{u}p_\mu]_+ = p_\mu \overline{u(\mu)} \quad \text{for } u \in H^\infty \quad \text{and } \mu \in D.$$

Hence,

$$(3.4) \quad \|[\bar{u}(1 - |\mu|^2)^{1/2} p_\mu]_+\|_{H^2} = |u(\mu)|$$

so validity of (3.2) for  $S$  implies that of (3.1) for  $\mathcal{S}_S$ .

**Lemma 2.** *If  $\mathcal{S}$  is dominant in the unit ball  $\mathcal{D}$  of  $H^2$  then the convex hull of the set*

$$(3.5) \quad \{(\psi\bar{\varphi}) : \varphi \in \mathcal{S}, \psi \in \mathcal{D}\}$$

*is dense in the unit ball of  $L^1/H_0^1$ .*

Proof. If not, there exist in the Banach dual  $H^\infty$  of  $L^1/H_0^1$  an element  $u$  and a unit vector  $f$  in  $L^1/H_0^1$  such that

$$(3.6) \quad \operatorname{Re} \langle f^*, u \rangle > \sup_{\substack{\varphi \in \mathcal{S} \\ \psi \in \mathcal{D}}} \operatorname{Re} \langle (\psi \bar{\varphi})^*, u \rangle = \sup_{\varphi \in \mathcal{S}} \sup_{\psi \in \mathcal{D}} \left| \int \bar{u} \bar{\varphi} \psi \, dm \right| = \\ = \sup_{\varphi \in \mathcal{S}} \sup_{\psi \in \mathcal{D}} \left| \int [\bar{u} \varphi]_+ \psi \, dm \right| = \sup_{\varphi \in \mathcal{S}} \| [\bar{u} \varphi]_+ \|_{H^2}.$$

$\mathcal{S}$  being dominant in  $\mathcal{D}$  the last member equals  $\|u\|_{H^\infty}$ , and hence is  $\geq \operatorname{Re} \langle f^*, u \rangle$ , in contradiction with the strict inequality in (3.6).

4. For fixed  $\varphi \in H^2$  and  $a \in \mathfrak{E}_*$  we denote

$$(4.1) \quad \varphi \circ a = P_{\mathfrak{H}}(\varphi a \oplus 0).$$

It is easy to show that

$$(4.2) \quad \varphi \circ a = (\varphi a - \Theta[\Theta^* \varphi a]_+) \oplus (-\Delta[\Theta^* \varphi a]_+).$$

For any  $h = h_0 \oplus h_1 \in \mathfrak{H}$  ( $h_0 \in H^2(\mathfrak{E}_*)$ ,  $h_1 \in \overline{\Delta L^2(\mathfrak{E})}$ ) we have therefore

$$(4.3) \quad (\varphi \circ a) h^* = \varphi(a, h_0)_{\mathfrak{E}_*} - (\Theta[\Theta^* \varphi a]_+, h_0)_{\mathfrak{E}_*} - (\Delta[\Theta^* \varphi a]_+, h_1)_{\mathfrak{E}} = \\ = \varphi(a, h)_{\mathfrak{E}_*} - ([\Theta^* \varphi a]_+, \Theta^* h_0 + \Delta h_1)_{\mathfrak{E}},$$

where the last term belongs to  $H_0^1$  since

$$(4.4) \quad h_2 \stackrel{\text{def}}{=} \Theta^* h_0 + \Delta h_1 \in L^2(\mathfrak{E}) \ominus H^2(\mathfrak{E})$$

because of the definition (1.1) of  $\mathfrak{H}$ .

Therefore,

$$(4.5) \quad (\varphi \circ a) h^* \equiv \varphi(a, h_0)_{\mathfrak{E}_*} \pmod{H_0^1}.$$

It also follows from (4.3) and (4.4) that

$$(4.6) \quad h(\varphi \circ a)^* = \overline{(\varphi \circ a) h^*} = \bar{\varphi}(h_0, a)_{\mathfrak{E}_*} - (h_2, [\Theta^* \varphi a]_+)_{\mathfrak{E}}.$$

Suppose  $\{a_n\}$  is a sequence of vectors in  $\mathfrak{E}_*$ , tending weakly to 0. Then by (4.5) and by the Lebesgue dominated convergence theorem,

$$\|(\varphi \circ a_n) h^*\|_{L^1/H_0^1} \leq \|\varphi(a_n, h_0)_{\mathfrak{E}_*}\|_{L^1} \leq \|\varphi\|_{H^2} \left[ \int |(a_n, h_0(e^{it}))|^2 \, dm \right]^{1/2} \rightarrow 0 \quad (n \rightarrow \infty).$$

We shall also show that  $\|h(\varphi \circ a_n)^*\|_{L^1/H_0^1} \rightarrow 0$ . Since  $\|\bar{\varphi}(h_0, a_n)_{\mathfrak{E}_*}\|_{L^1} \rightarrow 0$  by part of the preceding argument, by (4.6) it suffices to prove that

$$(4.7) \quad \|(h_2, [\Theta^* \varphi a_n]_+)_{\mathfrak{E}}\|_{L^1} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

It even suffices to prove (4.7) for  $\varphi = e^{irt}$  ( $r=0, 1, \dots$ ). Indeed, (4.7) then holds

for all partial sums  $\varphi_N(e^{it})$  of the  $L^2$ -expansion  $\varphi(e^{it}) = \sum_0^\infty c_r e^{irt}$ , and since  $\{a_n\}$  is bounded, say  $\|a_n\|_{\mathfrak{E}_*} \leq A$ , we have, setting  $\psi_N = \varphi - \varphi_N$ ,

$$(4.8) \quad \|(h_2, [\Theta^* \psi_N a_n]_+)_\mathfrak{E}\|_{L^1} = \int \|h_2\|_\mathfrak{E} \|[\Theta^* \psi_N a_n]_+\|_\mathfrak{E} dm = \\ \leq \|h_2\|_{L^2(\mathfrak{E})} \|[\Theta^* \psi_N a_n]_+\|_{L^2(\mathfrak{E})} \leq \|h_2\|_{L^2(\mathfrak{E})} \|\psi_N\|_{H^2} A,$$

and this bound is independent of  $n$  and as small as we wish upon choosing  $N$  large enough.

Now to prove (4.7) for  $\varphi = e^{irt}$  ( $r \geq 0$ ) observe that if  $\Theta(\lambda) = \Theta_0 + \lambda \Theta_1 + \lambda^2 \Theta_2 + \dots$  then we have

$$[\Theta(e^{it})^* e^{irt} a_n]_+ = \sum_{j=0}^r \Theta_{r-j} e^{ijt} a_n,$$

and hence,

$$\|(h_2, [\Theta^* e^{irt} a_n]_+)_\mathfrak{E}\|_{L^1} = \int \left| \sum_{j=0}^r (e^{-ijt} \Theta_{r-j}^* h_2, a_n)_{\mathfrak{E}_*} \right| dm,$$

which tends to 0 as  $n \rightarrow 0$ , again by the weak convergence of  $\{a_n\}$  to 0 and by the Lebesgue dominated convergence theorem.

So we have proved, in particular,

**Lemma 3.** *If  $\{a_n\}$  converges to 0 weakly in  $\mathfrak{E}_*$  then for any  $\varphi \in H^2$  and  $h \in \mathfrak{H}$  we have*

$$\|(\varphi \circ a_n) h^*\|_{L^1/H_0^1} \rightarrow 0, \quad \|h(\varphi \circ a_n)^*\|_{L^1/H_0^1} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

We shall also need the following

**Lemma 4.** *For all  $\varphi, \psi \in H^2$  and  $a \in \mathfrak{E}_*$  we have*

$$(4.9) \quad \|(\psi \circ a)(\varphi \circ a)^* - \psi \bar{\varphi} \|a\|_{\mathfrak{E}_*}^2\|_{L^1/H_0^1} \leq \|\psi\|_{H^2} \|\Theta_x^* \varphi a\|_{H^2(\mathfrak{E})} \|a\|_{\mathfrak{E}_*}.$$

**Proof.** By virtue of (4.5) and (4.2) we have

$$(\psi \circ \varphi)(\varphi \circ a)^* = \varphi(a, \varphi a - \Theta[\Theta^* \varphi a]_+)_\mathfrak{E}_* \bmod H_0^1.$$

Because

$$\|\psi(a, \Theta[\Theta^* \varphi a]_+)_\mathfrak{E}_*\|_{L^1} = \|\psi\|_{H^2} \|a\|_{\mathfrak{E}_*} \|\Theta_x^* \varphi a\|_{L^2(\mathfrak{E})}$$

(in analogy to (4.8)) we conclude to (4.9).

5. Next we prove the following

**Lemma 5.** *Suppose  $\mathfrak{E}_*$  is (countably) infinite dimensional and suppose  $h, k \in \mathfrak{H}$ ;  $\varphi_1, \dots, \varphi_r, \psi_1, \dots, \psi_r \in H^2$ , and  $\varepsilon > 0$  are given. Then there exist  $h', k' \in \mathfrak{H}$  such that*

$$(5.1) \quad \left\| (h+h')(k+k')^* - hk^* - \sum_1^r \psi_j \bar{\varphi}_j \right\|_{L^1/H_0^1} \leq \sum_1^r \|\psi_j\|_{H^2} \|\varphi_j\|_{H^2} \eta_\Theta(\varphi_j) + \varepsilon,$$

$$(5.2) \quad \|h'\|^2 \leq \sum_1^r \|\psi_j\|_{H^2}^2, \quad \|k'\|^2 \leq \sum_1^r \|\varphi_j\|_{H^2}^2.$$

**Proof.** Let  $\delta > 0$  be fixed and choose by virtue of Lemma 1 an orthonormal sequence  $\{a_n\}$  in  $\mathfrak{E}_*$  such that

$$\|\Theta_x^* \varphi_j a_n\|_{H^2(\mathfrak{E})} \leq \left( \eta_\Theta(\varphi_j) + \frac{1}{n} \right) \|\varphi_j\|_{H^2} \quad \text{for } j = 1, \dots, r \text{ and } n \geq r.$$

Hence, and from Lemma 3 we deduce that for  $n$  large enough, say for  $n \geq n_0$ , and for  $j = 1, \dots, r$  we have

$$(5.3) \quad \|\Theta_x^* \varphi_j a_n\|_{H^2(\mathfrak{E})} \leq (\eta_\Theta(\varphi_j) + \delta) \|\varphi_j\|_{H^2}$$

and

$$(5.4) \quad \|h(\varphi_j \circ a_n)^*\|_{L^1/H_0^1} \leq \delta, \quad \|(\psi_j \circ a_n)k^*\|_{L^1/H_0^1} \leq \delta.$$

Again by virtue of Lemma 3 we can choose, step by step, the integers  $(n_0 \leq) n_1 < n_2 < \dots < n_r$  such that

$$(5.5) \quad \|(\psi_i \circ a_{n_i})(\varphi_j \circ a_n)^*\|_{L^1/H_0^1} \leq \delta, \quad \|(\psi_j \circ a_n)(\varphi_i \circ a_{n_i})^*\|_{L^1/H_0^1} \leq \delta$$

$$(j = 1, \dots, r; i = 1, \dots, j-1; n \geq n_j).$$

Rename  $a_{n_j}$  by  $b_j$  ( $j = 1, \dots, r$ ) and set

$$(5.6) \quad h' = \sum_1^r (\psi_j \circ b_j)', \quad k' = \sum_1^r (\varphi_j \circ b_j).$$

Then we have

$$\begin{aligned} (h+h')(k+k')^* - hk^* - \sum_1^r \psi_j \bar{\varphi}_j &= hk'^* + h'k^* + h'k'^* - \sum_1^r \psi_j \bar{\varphi}_j = \\ &= \sum_1^r h(\varphi_j \circ b_j)^* + \sum_1^r (\psi_j \circ b_j)k^* + \sum_1^r [(\psi_j \circ b_j)(\varphi_j \circ b_j) - \psi_j \bar{\varphi}_j] + \\ &\quad + \sum_{j=1}^r \sum_{i=1}^{j-1} [(\psi_i \circ b_i)(\varphi_j \circ b_j)^* + (\psi_j \circ b_j)(\varphi_i \circ b_i)^*] = \Omega. \end{aligned}$$

Taking account of (5.3), (5.4), (5.5), and Lemma 4 we deduce that

$$\|\Omega\|_{L^1/H_0^1} \leq r\delta + r\delta + \sum_1^r \|\psi_j\|_{H^2} (\eta_\Theta(\varphi_j) + \delta) \|\varphi_j\|_{H^2} + \frac{r(r-1)}{2} \delta + \frac{r(r-1)}{2} \delta$$

so we arrive at the conclusion (5.3) by choosing  $\delta$  small enough, namely such that

$$\left[ (r(r+1) + \sum_1^r \|\psi_j\|_{H^2} \|\varphi_j\|_{H^2}) \right] \delta \leq \varepsilon.$$

Finally, (5.2) follows at once from (5.6) and (4.1); e.g.,

$$\|h'\|^2 = \left\| P_{\mathfrak{E}} \left( \sum_1^r \psi_j b_j \oplus 0 \right) \right\|^2 \leq \left\| \sum_1^r \psi_j b_j \right\|_{H^2(\mathfrak{E}_*)}^2 = \sum_{j,i=1}^r (\psi_j, \psi_i)_{H^2} (b_j, b_i)_{\mathfrak{E}_*} = \sum_1^r \|\psi_j\|^2$$

because of orthonormality of  $\{b_j\}_1^r$ .

Remark. The pair  $h', k'$  can obviously be replaced by any of the pairs  $h^{(n)}, k^{(n)}$  ( $n=1, 2, \dots$ ) defined by

$$(5.7) \quad h^{(n)} = \sum_{j=1}^r (\psi_j \circ b_{j+n}), \quad k^{(n)} = \sum_{j=1}^r (\varphi_j \circ b_{j+n}).$$

Then, for every  $l \in \mathfrak{H}$ ,

$$l \circ h^{(n)*}, h^{(n)} l^*, l k^{(n)*}, k^{(n)} l^*$$

tend to 0 in  $L^1/H_0^1$  as  $n \rightarrow \infty$ .

6. Now we are going to establish the main result of this paper.

Theorem. Suppose  $\{\mathfrak{E}, \mathfrak{E}_*, \Theta(\lambda)\}$  is a contractive analytic function, with separable  $\mathfrak{E}, \mathfrak{E}_*$ , and  $\dim \mathfrak{E}_* = \infty$ , and suppose that for some  $\vartheta, 0 < \vartheta < 1$ , the set

$$\mathcal{S} = \{\varphi \in H^2: \|\varphi\|_{H^2} = 1, \eta_{\Theta}(\varphi) \leq \vartheta\}$$

is dominant in the unit ball  $\mathcal{D}$  of  $H^2$ . Then

$$\{(hk^*)^* : h, k \in \mathfrak{H}\} = L^1/H_0^1.$$

i.e. every  $f \in L^1$  has a representation

$$f \equiv hk^* \bmod H_0^1 \quad \text{with} \quad h, k \in \mathfrak{H}.$$

Proof. Consider an  $f \in L^1$  with  $\|f\|_{L^1/H_0^1} \leq v_0$ ; it does not restrict generality to assume  $v_0 = 1$ . Choose a number  $\omega$  such that  $\vartheta < \omega < 1$  and set  $v_s = \omega^s$ ,  $\varepsilon_s = \frac{\omega - \vartheta}{2} \omega^s$ ; then

$$(6.1) \quad v_{s+1} = \vartheta v_s + 2\varepsilon_s.$$

Setting  $h_0, h_{-1}, k_0, k_{-1} = 0$  (in  $\mathfrak{H}$ ) we are going to prove that there exist  $h_s, k_s \in \mathfrak{H}$  ( $s=1, 2, \dots$ ) such that

$$(6.2) \quad \begin{aligned} \|f - h_s k_s^*\|_{L^1/H_0^1} &\leq v_s \\ \|h_s - h_{s-1}\|^2 &\leq v_{s-1}, \quad \|k_s - k_{s-1}\|^2 \leq v_{s-1} \end{aligned} \quad (s = 1, 2, \dots).$$

This being obvious for  $s=0$  we shall proceed by induction. Suppose  $h_s, k_s$  have been already found for  $s=0, \dots, q$ , satisfying (6.2), and perform the step  $q \rightarrow q+1$  as follows. Set

$$(6.3) \quad f' = f - h_q k_q^*;$$

then  $\|f'\|_{L^1/H_0^1} \leq v_q$  by (6.2) for  $s=q$ . It now follows from Lemma 2 that there exist  $\varphi_j \in \mathcal{S}$ ,  $\psi_j \in \mathcal{D}$ ,  $c_j \geq 0$  ( $j=1, \dots, r$ ), with  $\sum_1^r c_j = 1$  and

$$(6.4) \quad \left\| f' - \sum_{j=1}^r c_j \varphi_j \psi_j^* \right\|_{L^1/H_0^1} \leq \varepsilon_q.$$

On the other hand, from Lemma 5 it follows that there exist

$h_{q+1}=h_q+h'$ ,  $k_{q+1}=k_q+k'\in\mathfrak{H}$  such that

$$(6.5) \quad \left\| h_{q+1}k_{q+1}^* - h_qk_q^* - \sum_{j=1}^r c_j v_q \psi_j \overline{\varphi_j} \right\|_{L^1/H_0^1} \leq \\ \leq \sum_{j=1}^r \left\| \sqrt{c_j} v_q \psi_j \right\|_{H^2} \left\| \sqrt{c_j} v_q \varphi_j \right\|_{H^2} \eta_{\theta}(\varphi_j) + \varepsilon_q \leq \sum_{j=1}^r c_j v_q \vartheta + \varepsilon_q = v_q \vartheta + \varepsilon_q$$

and

$$(6.6) \quad \|h_{q+1} - h_q\|^2 \leq \sum_{j=1}^r \left\| \sqrt{c_j} v_q \psi_j \right\|_{H^2}^2 \leq v_q, \quad \|k_{q+1} - k_q\|^2 \leq \sum_{j=1}^r \left\| \sqrt{c_j} v_q \varphi_j \right\|_{H^2}^2 \leq v_q.$$

Because of the relation

$$f - h_{q+1}k_{q+1}^* = \left( f' - \sum_{j=1}^r c_j v_q \psi_j \overline{\varphi_j} \right) - \left( h_{q+1}k_{q+1}^* - h_qk_q^* - \sum_{j=1}^r c_j v_q \psi_j \overline{\varphi_j} \right),$$

from (6.4), (6.5) and (6.1) we deduce

$$(6.7) \quad \|f - h_{q+1}k_{q+1}^*\|_{L^1/H_0^1} \leq \vartheta v_q + 2\varepsilon_q = v_{q+1};$$

and (6.6), (6.7) yield (6.2) for the  $h_{q+1}$ ,  $k_{q+1}$  just defined. The construction by induction is thus established for all  $s$ .

From (6.2) now follows that  $h_s$ ,  $k_s$  converge (strongly in  $\mathfrak{H}$ ) to some limits  $h$ ,  $k$ , and that  $h_s k_s^*$  converges in  $L^1/H_0^1$  to  $f^*$ . Since  $h_s \rightarrow h$ ,  $k_s \rightarrow k$  obviously also imply  $\|h_s k_s^* - h k^*\|_{L^1} \rightarrow 0$  we conclude that  $\|f - h k^*\|_{L^1/H_0^1} = 0$ ; thus completing the proof of the theorem.

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