Tolerance Hamiltonian varieties of algebras

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The concept of Hamiltonian algebras was first introduced for groups. A group $\mathfrak G$ is Hamiltonian if every subgroup of $\mathfrak G$ is normal, i.e., a class of some congruence on $\mathfrak G$. Evans [6] introduced the Hamiltonian property for loops and Klukovits [7] generalized this concept for universal algebras and varieties: an algebra $\mathfrak A$ is Hamiltonian if every subalgebra of $\mathfrak A$ is a class of some congruence on $\mathfrak A$; a variety $\mathscr V$ is Hamiltonian if each $\mathfrak A \in \mathscr V$ has this property.

In [7], Hamiltonian varieties are characterized by a nice $\forall \exists$ -condition. Such conditions are also used for characterizations of varieties fulfilling given tolerance identities [3]. It is natural to ask whether the Hamiltonian property can be extended also for tolerances (see e.g. [5]) and which $\forall \exists$ -condition characterizes such varieties.

By a tolerance on an algebra $\mathfrak{A} = (A, F)$ is meant a reflexive and symmetric binary relation T on A having the Substitution Property with respect to F (i.e. T is a subalgebra of the direct product $\mathfrak{A} \times \mathfrak{A}$). Thus each congruence is a tolerance but not vice versa.

Definition 1. Let T be a tolerance on an algebra $\mathfrak{A}=(A, F)$. Call $\varnothing \neq B \subseteq A$ a block of T provided

- (i) $B \times B \subseteq T$,
- (ii) B is a maximal subset of A with respect to (i), i.e. if $B \subseteq C$ and $C \times C \subseteq T$, then B = C.

Clearly, if a tolerance T on $\mathfrak A$ is a congruence on $\mathfrak A$, every block of T is a congruence class of T and vice versa. Thus blocks of tolerances are generalizations of congruence classes.

The paper [2] contains a characterization of the property that every block of each tolerance on $\mathfrak A$ is a subalgebra of $\mathfrak A$. The objective of this paper is to describe the converse situation, namely:

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Definition 2. An algebra $\mathfrak A$ is tolerance Hamiltonian if every subalgebra of $\mathfrak A$ is a block of some tolerance on $\mathfrak A$. A variety $\mathscr V$ is tolerance Hamiltonian if each $\mathfrak A \in \mathscr V$ has this property.

Although [1], [2] contain necessary and sufficient conditions under which a subset of an algebra is a block of some tolerance on it, these conditions cannot be used in the way as Mal'cev's Lemma in [7]. The proof of our Theorem 1 is based on a characterization given by Lemma 3 below.

For the sake of brevity, write \vec{x}_j instead of $x_1, ..., x_n$ and \vec{y}_i instead of $y_1, ..., y_m$ if the integers n, m are given.

Theorem 1. Let $\mathscr V$ be a variety of algebras. The following conditions are equivalent:

- (1) \mathscr{V} is tolerance Hamiltonian.
- (2) For every (m+n+k)-ary polynomial p and for every (m+n+1)-ary polynomial t there exists an (m+n+1)-ary polynomial q over $\mathscr V$ such that

$$p(t(\vec{y}_i, \vec{x}_j, z)..., t(\vec{y}_i, \vec{x}_j, z), x_1, ..., x_n, v_1, ..., v_k) = t(\vec{y}_i, \vec{x}_j, z)$$
implies

$$p(y_1, ..., y_m, t(\vec{y}_i, \vec{x}_j, z), ..., t(\vec{y}_i, \vec{x}_j, z), v_1, ..., v_k) = q(\vec{y}_i, \vec{x}_j, z).$$

Let us begin the proof of Theorem 1 with some lemmas. If T is a binary relation on a set \mathfrak{A} , we denote $[z]_T = \{a \in A; \langle a, z \rangle \in T\}$.

Lemma 1. Let $\mathfrak{A}=(A,F)$ be an algebra and $z \in B \subseteq A$. The following conditions are equivalent:

- (a) $B=[z]_T$ for some tolerance T on \mathfrak{A} .
- (b) For every (m+n)-ary algebraic function φ over \mathfrak{A} ,

$$\varphi(z,...,z,\ b_1,...,b_n)=z$$
 for some $b_i\in B$ implies $\varphi(a_1,...,a_m,\ z,...,z)\in B$ for each $a_i\in B$.

Proof. (a) \Rightarrow (b): Routine.

 $(b)\Rightarrow(a)$: Let $R=\{\langle x,x\rangle;\ x\in A\}\cup\{\langle x,z\rangle;\ x\in B\}\cup\{\langle z,x\rangle;\ x\in B\}$. Let T be the set of all $\langle a,b\rangle$ such that $a=\varphi(a_1,\ldots,a_k),\ b=\varphi(b_1,\ldots,b_k)$ for some $\langle a_i,b_i\rangle\in R$ and for some algebraic function φ over $\mathfrak A$. Clearly T is a tolerance on $\mathfrak A$. It only remains to prove $B=[z]_T$. Evidenty, $B\subseteq [z]_T$. Suppose $c\in [z]_T$. Then $\langle c,z\rangle\in T$, i.e. $c=\psi(a_1,\ldots,a_k),\ z=\psi(b_1,\ldots,b_k)$ for some $\langle a_i,b_i\rangle\in R$ and some k-ary algebraic function ψ . We can suppose, that k=m+n+k' $(m\geq 0,\ n\geq 0,\ k'\geq 0)$, moreover, $b_i=z$ for $i=1,\ldots,m$ and $a_i=z$ for $i=m+1,\ldots,m+n$ and $a_i=b_i$ for $i=m+n+1,\ldots,k$. Put

$$\varphi(\xi_1,\ldots,\xi_{m+n})=\psi(\xi_1,\ldots,\xi_{m+n},\,a_{m+n+1},\ldots,a_k).$$

Since $z=\varphi(z,...,z,b_1,...,b_n)$, by (b) we obtain $c=\varphi(a_1,...,a_m,z,...,z)\in B$ proving the reverse inclusion $[z]_T\subseteq B$.

Lemma 2. Let $\mathfrak{A}=(A,F)$ and let T be a tolerance on \mathfrak{A} . For $\emptyset \neq B \subseteq A$ the following conditions are equivalent:

- (a) B is a block of T.
- (b) $B = \bigcap \{[z]_T; z \in B\}.$

Proof. Routine.

Lemma 3. Let $\mathfrak{A} = (A, F)$ and $\emptyset \neq B \subseteq A$. The following conditions are equivalent:

- (a) B is a block of some tolerance on \mathfrak{A} .
- (b) For every (m+n)-ary algebraic function φ over $\mathfrak A$ and for each $z \in B$,

This follows directly from Lemmas 1 and 2.

Proof of Theorem 1. (1) \Rightarrow (2): Let p and t be (m+n+k)-ary and (m+n+1)-ary polynomials over \mathscr{V} , respectively, such that

$$(*) p(t(\vec{y}_i, \vec{x}_i, z), ..., t(\vec{y}_i, \vec{x}_i, z), x_1, ..., x_n, v_1, ..., v_k) = t(\vec{y}_i, \vec{x}_i, z).$$

Let $\mathfrak{A}=(A,F)=\mathfrak{F}_{m+n+k+1}$ be the \mathscr{V} -free algebra with the set of free generators $\{x_1,\ldots,x_n,y_1,\ldots,y_m,v_1,\ldots,v_k,z\}$ and $\mathfrak{B}=(B,F)=\mathfrak{F}_{m+n+1}$ the \mathscr{V} -free algebra with generators $\{x_1,\ldots,x_n,y_1,\ldots,y_m,z\}$. Hence \mathfrak{B} is a subalgebra of \mathfrak{A} . Since \mathscr{V} is tolerance Hamiltonian, B is a block of some tolerance on \mathfrak{A} . By Lemma 3, (*) yields $p(y_1,\ldots,y_m,t(\vec{y}_i,\vec{x}_j,z),\ldots,t(\vec{y}_i,\vec{x}_j,z),v_1,\ldots,v_k)\in B$. Since $\mathfrak{B}=\mathfrak{F}_{m+n+1}$ there exists an (m+n+1)-ary polynomial q over \mathscr{V} such that (2) of Theorem 1 is valid.

(2) \Rightarrow (1): Let \mathscr{V} be a variety fulfilling (2), $\mathfrak{A}=(A,F)\in\mathscr{V}$, $\mathfrak{B}=(B,F)$ a subalgebra of \mathfrak{A} and $z\in B$. Let φ be an arbitrary (m+n)-ary algebraic function over \mathfrak{A} and p its generating polynomial, i.e. $\varphi(\xi_1,...,\xi_{m+n})=p(\xi_1,...,\xi_{m+n},c_1,...,c_k)$ for some $c_1,...,c_k\in A$. If $\varphi(z,...,z,b_1,...,b_n)=z$ for some $b_i\in B$, then, by (2),

$$\varphi(a_1, ..., a_m, z, ..., z) = q(a_1, ..., a_m, b_1, ..., b_n, z) \in B$$

for each $a_1, ..., a_m \in B$. By Lemma 3, $\mathfrak A$ and also $\mathscr V$ are tolerance Hamiltonian.

Theorem 2. The tolerance Hamiltonian property is local, i.e. an algebra $\mathfrak A$ is tolerance Hamiltonian if and only if every finitely generated subalgebra of $\mathfrak A$ is a block of some tolerance on $\mathfrak A$.

Proof. It is a direct consequence of Lemma 3: if $\mathfrak{B}=(B,F)$ is a subalgebra of \mathfrak{A} which is not a block of any tolerance on \mathfrak{A} and every finitely generated subalgebra is, then there exist $z \in B$ and an (m+n)-ary algebraic function φ over \mathfrak{A} such that $\varphi(z,...,z,b_1,...,b_n)=z$ and $\varphi(a_1,...,a_m,z,...,z) \notin B$ for some $a_1,...,a_m,b_1,...,b_n \in B$. Hence the subalgebra \mathfrak{C} of \mathfrak{A} generated by $\{a_1,...,a_m,b_1,...,b_n,z\}$ is not a block of any tolerance on A which contradicts the assumptions. The converse implication is trivial.

Theorem 3. The variety of all semilattices is tolerance Hamiltonian (but not Hamiltonian).

Proof. If p, t are semilattice polynomials fulfilling the assumptions of the condition (2) of Theorem 1, then clearly p does not depend on $v_1, ..., v_k$ and the statement of (2) is evident. Thus Theorem 3 is a direct consequence of Theorem 1. By the theorem of Klukovits [7], this variety is evidently not Hamiltonian.

Remark. As it was proved by ZELINKA [8], on every at least three element semilattice there exists a tolerance which is not a congruence.

Theorem 4. No non-trivial variety of lattices is tolerance Hamiltonian.

Proof. Let p and t be (2+0+1)-ary (i.e. ternary) lattice polynomials given as follows:

$$p(x, y, z) = x \lor (y \land z), \quad t(x, y, z) = z.$$

Then we have $p(t(y_1, y_2, z), t(y_1, y_2, z), v_1) = p(z, z, v_1) = z = t(y_1, y_2, z)$, thus the assumptions of (2) of Theorem 1 are valid, but $p(y_1, y_2, v_1)$ is essentially dependent on v_1 . Hence, no polynomial q of the required type exists.

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