

## A spectral dilation of some non-Dirichlet algebra

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Let  $X$  be a compact Hausdorff space, let  $C(X)$  be the algebra of complex-valued continuous functions on  $X$ , and let  $A$  be a uniform algebra on  $X$ . Let  $\mathfrak{H}$  be a complex Hilbert space and  $L(\mathfrak{H})$  the algebra of all bounded linear operators on  $\mathfrak{H}$ .  $I$  is the identity operator in  $\mathfrak{H}$ . An algebra homomorphism  $f \rightarrow T_f$  of  $A$  in  $L(\mathfrak{H})$ , which satisfies

$$T_1 = I \quad \text{and} \quad \|T_f\| \leq \|f\|$$

is called a representation of  $A$  on  $\mathfrak{H}$ . A representation  $\Phi \rightarrow U_\Phi$  of  $C(X)$  on a Hilbert space  $\mathfrak{K}$  is called a spectral dilation of the representation  $f \rightarrow T_f$  of  $A$  on  $\mathfrak{H}$  if  $\mathfrak{H}$  is a Hilbert subspace of  $\mathfrak{K}$  and

$$T_f x = P U_f x \quad \text{for } f \in A \text{ and } x \in \mathfrak{H}$$

where  $P$  is the orthogonal projection of  $\mathfrak{K}$  on  $\mathfrak{H}$ .

If  $A$  is a Dirichlet algebra on  $X$  and  $f \rightarrow T_f$  a representation of  $A$  on  $\mathfrak{H}$ , then there exists a spectral dilation. This was proved by FOIAŞ and SUCIU (cf. [3, Theorem 8.7]). However, it is unknown whether any representation of a non-Dirichlet algebra has a spectral dilation. In this paper we give an example of a uniform algebra which has a spectral dilation for any operator representation and is a subalgebra of a disc algebra, of codimension one.

If  $f \rightarrow T_f$  is a representation of  $A$  on a Hilbert space  $\mathfrak{H}$  with the inner product  $(x, y)$  ( $x, y \in \mathfrak{H}$ ), then there are measures  $\mu_{x,y}$  ( $x, y \in \mathfrak{H}$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  for  $x, y \in \mathfrak{H}$  and

$$(T_f x, y) = \int f d\mu_{x,y} \quad \text{for } f \in A \text{ and } x, y \in \mathfrak{H}$$

(see [3, p. 173]). Let  $\tau$  be in the maximal ideal space of  $A$  and  $G$  the Gleason part of  $\tau$ . We say that the representation  $f \rightarrow T_f$  of  $A$  is  $G$ -continuous ( $G$ -singular) if

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there exists a system of finite measures  $\{\mu_{x,y}\}$  such that  $\mu_{x,y}$  is  $G$ -absolutely continuous ( $G$ -singular) and  $(T_f x, y) = \int f d\mu_{x,y}$  for all  $f \in A$  and all  $x, y \in \mathfrak{H}$  (cf. [2, p. 182]). We need the following three lemmas to give a theorem. The first one is a theorem of MLAK [2, Theorem 2.3] and the second one is one result of FOIAS and SUCIU (cf. [3, p. 173]).

**Lemma 1.** *Let  $f \rightarrow T_f$  be a representation of  $A$  on  $\mathfrak{H}$ . Then  $f \rightarrow T_f$  is a unique orthogonal sum  $T_f = T_f^a \oplus T_f^s$  where the representation  $f \rightarrow T_f^a$  ( $f \rightarrow T_f^s$ ) of  $A$  is  $G$ -absolutely continuous ( $G$ -singular).*

**Lemma 2.** *Let  $f \rightarrow T_f$  be a representation of  $A$  on  $\mathfrak{H}$ . Then there are measures  $\mu_{x,y}$  ( $x, y \in \mathfrak{H}$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  for  $x, y \in \mathfrak{H}$  and*

$$((T_f + T_f^*)x, y) = \int (f + \bar{g}) d\mu_{x,y}$$

for  $f, g \in A$  and  $x, y \in \mathfrak{H}$ .

A family  $\lambda_{x,y}$  ( $x, y \in \mathfrak{H}$ ) of measures on  $X$  is called semispectral if it satisfies the following properties:

- (1)  $\lambda_{\alpha x + \beta y, z} = \alpha \lambda_{x,z} + \beta \lambda_{y,z}$ ,
- (2)  $\int \Phi d\lambda_{x,y} = \overline{\int \bar{\Phi} d\lambda_{y,x}}$  ( $\Phi \in C(X)$ ),
- (3)  $\lambda_{x,x} \geq 0$ ,
- (4)  $\|\lambda_{x,y}\| \leq \gamma \|x\| \|y\|$

where  $\alpha$  and  $\beta$  are complex numbers, and  $\gamma$  is a positive number.

Now we can give an example of a uniform algebra which has a spectral dilation for any operator representation and is not a Dirichlet algebra. Let  $\mathbf{T}$  be the unit circle and  $\mathcal{A}$  the algebra of those continuous functions on  $\mathbf{T}$  which have analytic extensions  $\tilde{f}$  to the interior such that  $\tilde{f}(0) = f(1)$ . Then  $\mathcal{A}$  is a uniform algebra on  $\mathbf{T}$  and  $\mathbf{T}$  is the Shilov boundary of  $\mathcal{A}$ . The complex homomorphism  $\tau$  on  $\mathcal{A}$  is defined by  $\tau(f) = \tilde{f}(0) = f(1)$ . Both  $d\theta/2\pi$  and the unit point mass  $\delta_1$  at 1 represent the same linear functional  $\tau$  on  $\mathcal{A}$ . Therefore  $\mathcal{A}$  is not a logmodular algebra and hence not a Dirichlet algebra on  $\mathbf{T}$  (cf. [1, p. 38]).

**Lemma 3.** *If  $\mu$  is an annihilating measure on  $\mathbf{T}$  for  $\mathcal{A} + \overline{\mathcal{A}}$  then  $d\mu = c(d\theta/2\pi - d\delta_1)$  for some constant  $c$ .*

**Proof.** We may assume that  $\mu$  is a real measure on  $\mathbf{T}$ . If  $\mu$  annihilates  $\mathcal{A}$  then

$$\int z d\mu = \int z^2 d\mu = \int z^3 d\mu = \dots$$

because the functions  $z - z^2, z^2 - z^3, z^3 - z^4, \dots$  are all in  $\mathcal{A}$ . Hence for any positive integer  $n$

$$\int z^n (d\mu - c_1 d\delta_1) = 0,$$

where  $c_1 = \int z d\mu$ . By a theorem of F. and M. Riesz (cf. [1, p. 45]),  $d\mu - c_1 d\delta_1 = h d\theta/2\pi$  for some  $h$  in the usual Hardy space  $H^1$ . The absolutely continuous part of  $\mu$  with respect to  $d\theta/2\pi$  is a real measure and coincides with  $h d\theta/2\pi$ . Since  $H^1$  has not nonconstant real functions,  $h$  is constant. Thus  $d\mu = c d\theta/2\pi + c_1 d\delta_1$  and  $c = -c_1$  because  $\int 1 d\mu = 0$ .

**Theorem.** *Let  $f \rightarrow T_f$  be a representation of  $\mathcal{A}$  on a Hilbert space  $\mathfrak{H}$ . There exists a spectral dilation  $\Phi \rightarrow U_\Phi$  of  $f \rightarrow T_f$ .*

**Proof.** By Lemma 1 we may assume that the representation  $f \rightarrow T_f$  of  $\mathcal{A}$  is  $G$ -continuous or  $G$ -singular, where  $G$  is the Gleason part of  $\tau$  in the maximal ideal space of  $\mathcal{A}$ . Suppose the representation is  $G$ -continuous. By Lemma 2 there are measures  $\mu_{x,y}$  ( $x, y \in \mathfrak{H}$ ) such that  $\|\mu_{x,y}\| \leq \|x\| \|y\|$  and  $((T_f + T_g^*)x, y) = \int (f + \bar{g}) d\mu_{x,y}$  for  $f, g \in \mathcal{A}$  and  $x, y \in \mathfrak{H}$ . Since the representation of  $\mathcal{A}$  is  $G$ -continuous, by the definition  $\mu_{x,y}$  is absolutely continuous with respect to  $d\theta/2\pi + d\delta_1$ . Hence

$$d\mu_{x,y} = h_{x,y} d\theta/2\pi + c_{x,y} d\delta_1$$

where  $h_{x,y}$  is in the usual Lebesgue space  $L^1(d\theta/2\pi)$  and  $c_{x,y}$  is constant.

Put

$$d\lambda_{x,y} = (h_{x,y} + c_{x,y}) d\theta/2\pi.$$

We shall prove that the family  $\lambda_{x,y}$  ( $x, y \in \mathfrak{H}$ ) of measures on  $\mathbf{T}$  is semispectral, that is, it satisfies (1)–(4). (4) is clear.  $d\mu_{\alpha x + \beta y, z} - (\alpha d\mu_{x,z} + \beta d\mu_{y,z})$  annihilates  $\mathcal{A} + \overline{\mathcal{A}}$ . Therefore by Lemma 3 for some constant  $a_{x,y,z}$

$$d\mu_{\alpha x + \beta y, z} - (\alpha d\mu_{x,z} + \beta d\mu_{y,z}) = a_{x,y,z} (d\theta/2\pi - d\delta_1),$$

consequently

$$h_{\alpha x + \beta y, z} - (\alpha h_{x,z} + \beta h_{y,z}) = a_{x,y,z}$$

and

$$c_{\alpha x + \beta y, z} - (\alpha c_{x,z} + \beta c_{y,z}) = -a_{x,y,z}.$$

This implies (1).  $d\mu_{x,y} - d\bar{\mu}_{y,x}$  annihilates  $\mathcal{A} + \overline{\mathcal{A}}$ .

Therefore by Lemma 3 for some constant  $b_{x,y}$

$$d\mu_{x,y} - d\bar{\mu}_{y,x} = b_{x,y} (d\theta/2\pi - d\delta_1)$$

consequently

$$h_{x,y} - \bar{h}_{y,x} = b_{x,y} \quad \text{and} \quad c_{x,y} - \bar{c}_{y,x} = -b_{x,y}.$$

This implies (2). By Proposition 7.8 in [3], if  $f \in \mathcal{A}$  and  $\operatorname{Re} f \geq 0$  then  $\operatorname{Re} T_f \geq 0$ . Hence if  $u \in \mathcal{A} + \overline{\mathcal{A}}$  and  $u \geq 0$  then  $\int u d\mu_{x,x} \geq 0$ . Thus for  $u \in \mathcal{A} + \overline{\mathcal{A}}$  with  $u \geq 0$

$$\begin{aligned} \int u d\lambda_{x,x} &= \int u (h_{x,x} + c_{x,x}) d\theta/2\pi = \int u h_{x,x} d\theta/2\pi + c_{x,x} \int u d\theta/2\pi = \\ &= \int u h_{x,x} d\theta/2\pi + c_{x,x} \int u d\delta_1 = \int u d\mu_{x,x} \geq 0. \end{aligned}$$

By the Riemann—Lebesgue lemma we know that  $z^n \rightarrow 0$  in the weak\* topology of  $L^\infty(d\theta/2\pi)$ . Hence the functions  $z, z^2, z^3, \dots$  are all in the weak\*-closure of  $\mathcal{A}$  because  $z^k = (z^k - z^{k-1}) + \dots + (z^n - z^{n-1}) - z^n$  for  $n > k$ . Therefore for  $u \in C(\mathbb{T})$  with  $u \geq 0$   $\int u d\lambda_{x,x} \geq 0$  and this implies (3).

Since the family  $\lambda_{x,y}$  ( $x, y \in \mathfrak{H}$ ) of measures on  $\mathbb{T}$  is semispectral, there is a positive definite map  $\Phi \rightarrow T'_\Phi$  of  $C(\mathbb{T})$  in  $L(\mathfrak{H})$  (cf. [3, Theorem 7.1]). By a dilation theorem of Naimark (cf. [3, Theorem 7.5]), we obtain a representation  $\Phi \rightarrow U_\Phi$  of  $C(\mathbb{T})$  on a Hilbert space  $\mathfrak{K}$  which is a spectral dilation of  $\Phi \rightarrow T'_\Phi$ . If  $f \in \mathcal{A}_0$  then  $\int f d\theta/2\pi = \int f d\delta_1 = 0$  and hence

$$(T'_f x, y) = \int f d\lambda_{x,y} = \int f h_{x,y} d\theta/2\pi = \int f d\mu_{x,y} = (T_f x, y), \quad (x, y \in \mathfrak{H}).$$

Thus  $T'_f = T_f$  if  $f \in \mathcal{A}$  and the representation  $\Phi \rightarrow U_\Phi$  is the spectral dilation of  $f \rightarrow T_f$ .

If the representation is  $G$ -singular, the family  $\mu_{x,y}$  ( $x, y \in \mathfrak{H}$ ) is singular with respect to  $d\theta/2\pi + d\delta_1$ . Then Lemma 3 implies that it is semispectral immediately, and the proof can be completed as above.

### References

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