

## Characterization of locally bounded functions with a finite number of negative squares

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### 1. Introduction

Throughout the paper  $G$  denotes a locally compact commutative group.

Let  $f$  be a complex-valued function on  $G$ . The function  $f$  is called Hermitian if  $f(-x) = \overline{f(x)}$  holds for every  $x \in G$ . If  $k$  is a nonnegative integer the Hermitian function  $f$  is said to have  $k$  negative squares if the Hermitian matrix

$$(1) \quad (f(x_i - x_j))_{i,j=1}^n$$

has at most  $k$  negative eigenvalues for any choice of  $n$  and  $x_1, \dots, x_n \in G$ , and for some choice of  $x_1, \dots, x_n$  the matrix (1) has exactly  $k$  negative eigenvalues. This definition reduces to that of a positive definite function in the case  $k=0$ . We denote by  $P_k(G)$  ( $P_k^c(G)$ ) the set of all (continuous) functions on  $G$  which have  $k$  negative squares.

For a function  $f \in P_k^c(G)$ , where  $G$  is second countable, an integral representation was given in [10]. The bounded functions in  $P_k^c(G)$  are exactly the Fourier transforms of such measures on the character group of  $G$  which assign negative measure to  $k$  points and which are nonnegative outside of these points [9, 10]. A survey and bibliography about functions with  $k$  negative squares can be found in [1, 10, 12].

It is the aim of this note to characterize those functions  $f \in P_k(G)$  which are locally bounded, i.e., bounded on every compact set  $K \subset G$ . As was shown in [11], every measurable function  $f$  with  $k$  negative squares on an arbitrary locally compact group is locally bounded. Moreover,  $f$  has the decomposition  $f = f_c + p$ , where  $f_c$  is a continuous function with  $k$  negative squares and  $p$  is a positive definite function vanishing almost everywhere on  $G$  [10].

If  $f$  is not measurable and  $k > 0$ , then it may be unbounded on every open set. To see this let  $l$  be a nonmeasurable real-valued function on  $\mathbb{R}$  satisfying the

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equation  $l(x+y)=l(x)+l(y)$  ( $x, y \in \mathbf{R}$ ). Then the function  $f=il$  has one negative square and  $f$  is unbounded on every open set  $V \subset \mathbf{R}$ .

The main result of the present paper is the following

**Theorem 1.** *Every locally bounded function  $f \in P_k(G)$  has the decomposition*

$$(2) \quad f = \gamma_1 f_1 + \dots + \gamma_n f_n + p$$

where

- (i)  $\gamma_j$  is a bounded (continuous or discontinuous) character of  $G$  ( $j=1, \dots, n$ );
- (ii)  $f_j$  is a continuous function with  $k_j$  negative squares and  $k_1 + \dots + k_n = k$ ;
- (iii)  $p$  is a positive definite function.

Recall that a complex-valued Hermitian function defined on  $G$  is said to be conditionally positive definite if

$$\sum_{i,j=1}^n f(x_i - x_j) c_i \bar{c}_j \geq 0$$

holds for every choice of  $x_1, \dots, x_n \in G$  and for every choice of complex numbers  $c_1, \dots, c_n$  such that  $c_1 + \dots + c_n = 0$ . It is easy to see that a conditionally positive definite function has at most one negative square. For a bibliography about conditionally positive definite functions we refer to [2, 4].

The above theorem has the following

**Corollary 1.** *Let  $f$  be a conditionally positive definite function on  $G$  which is bounded on a set of positive Haar measure. Then  $f$  has the decomposition*

$$f = f_c + p$$

where  $f_c$  is a continuous conditionally positive definite function and  $p$  is positive definite.

We remark that a conditionally positive definite function  $f$  is bounded if and only if  $f=p+m$ , where  $m \in \mathbf{R}$  and  $p$  is a positive definite function [2]. The function  $f=il$  introduced above is a conditionally positive definite function which is unbounded on every set  $V \subset \mathbf{R}$  of positive Haar measure.

## 2. Notation and preliminaries

(2.1) Let  $k$  be a nonnegative integer. Throughout the paper the symbol  $\Pi_k$  denotes a  $\pi_k$ -space with rank of negativity  $k$ . We shall assume familiarity with basic information about  $\pi_k$ -spaces as found in [3, 5].

Let

$$(3) \quad \Pi_k = \Pi_+ \oplus \Pi_-$$

be a fixed decomposition of  $\Pi_k$  where  $\Pi_+$  is a positive subspace and  $\Pi_-$  is a negative  $k$ -dimensional subspace. Representing each vector  $v \in \Pi_k$  in the form  $v = v_+ + v_-$  ( $v_+ \in \Pi_+$ ,  $v_- \in \Pi_-$ ) we introduce a new scalar product  $[ , ]$  in  $\Pi_k$  by

$$(4) \quad [v, w] = (v_+, w_+) - (v_-, w_-), \quad v, w \in \Pi_k.$$

This scalar product is positive definite and  $\Pi_k$  can be regarded as a Hilbert space with scalar product  $[ , ]$  and with the norm

$$(5) \quad \|v\| = \sqrt{[v, v]}.$$

The scalar product  $(v, w)$  is continuous with respect to the norm (5) in both variables  $v$  and  $w$ .

Let  $\{e_1, \dots, e_k\}$  be a basis of  $\Pi_-$  such that  $[e_i, e_j] = -(e_i, e_j) = \delta_{ij}$ . Then we have for any  $v \in \Pi_k$

$$(6) \quad \|v\|^2 = [v, v] = (v, v) + 2 \sum_{i=1}^k |(e_i, v)|^2.$$

Recall that a linear operator  $U$  in  $\Pi_k$  is called unitary if it maps  $\Pi_k$  onto  $\Pi_k$  and preserves the scalar product  $( , )$  of  $\Pi_k$ , i.e.,

$$(Uv, Uw) = (v, w) \quad \text{for all } v, w \in \Pi_k.$$

By a unitary representation of  $G$  in  $\Pi_k$  there is meant a mapping  $x \rightarrow U_x$  of  $G$  satisfying the following conditions:

- (i)  $U_0 = I$  where  $I$  is the identity operator in  $\Pi_k$ ;
- (ii)  $U_{x+y} = U_x U_y$  for any  $x, y \in G$ ;
- (iii)  $U_x$  is a unitary operator in  $\Pi_k$  for all  $x \in G$ .

We shall need the following correspondence between cyclic unitary representations of  $G$  in  $\pi_k$ -spaces and functions of the class  $P_k(G)$  [10, Satz 9.2].

**Theorem 2.** *For an arbitrary function  $f \in P_k(G)$  there exists a  $\pi_k$ -space  $\Pi_k(f)$  with the following properties:*

(i) *the elements of  $\Pi_k(f)$  are complex-valued functions on  $G$ ,  $f \in \Pi_k(f)$ , and  $\Pi_k(f)$  is invariant under translations;*

(ii) *the linear manifold  $T(f)$  spanned by all translations of  $f$  is dense in  $\Pi_k(f)$ ;*

(iii)  *$x \rightarrow U_x$  is a cyclic unitary representation of  $G$  in  $\Pi_k(f)$ , where  $U_x$  is defined by*

$$(U_x g)(y) = g(y-x), \quad g \in \Pi_k(f), \quad x, y \in G;$$

(iv)  *$g(x) = (g, U_x f)$ ,  $g \in \Pi_k(f)$ ,  $x \in G$ ;*

(v) *if  $f$  is locally bounded then every function  $g \in \Pi_k(f)$  is locally bounded.*

We now prove a further assertion.

(vi) *If  $f$  is locally bounded then the function  $x \rightarrow \|U_x\|$  is locally bounded.<sup>1)</sup>*

<sup>1)</sup> The operator norm is induced by the vector norm (5).

Proof of (vi). It follows from the proof of Satz 9.2 in [10] that

$$\Pi_k(f) = P \oplus N$$

where  $P$  is a positive subspace and  $N$  is a negative  $k$ -dimensional subspace such that every function  $h \in N$  is a finite linear combination of translations of  $f$ , i.e.,  $h \in T(f)$ . Let  $\{e_1, \dots, e_k\}$  be an orthonormal basis of  $N$ . By (6) we have

$$\|U_x g\|^2 = (g, g) + 2 \sum_{i=1}^k |(U_x g, e_i)|^2, \quad g \in \Pi_k(f).$$

From  $e_i \in T(f)$  and (iv) it follows easily that the function  $h_i(-x) = (U_{-x} g, e_i) = (g, U_x e_i)$  is a finite linear combination of translations of  $g$  ( $i=1, \dots, n$ ). By (v),  $g$  is locally bounded, so the function  $x \rightarrow \|U_x g\|^2$  is locally bounded for every  $g \in \Pi_n(f)$ . The local boundedness of  $x \rightarrow \|U_x\|$  follows now from the Banach—Steinhaus Theorem.

(2.2) Let  $x \rightarrow U_x$  be a representation of  $G$  by invertible bounded linear operators on a Hilbert space  $\mathfrak{H}$ . We say that the representation  $x \rightarrow U_x$  is locally bounded if the function  $x \rightarrow \|U_x\|$  is locally bounded. Denote by  $\mathfrak{H}_c$  the subspace of continuously translating elements of  $\mathfrak{H}$ , i.e., the set of all  $h \in \mathfrak{H}$  for which  $x \rightarrow U_x h$  is continuous from  $G$  into  $\mathfrak{H}$  in its weak topology. Let  $\mathcal{V}$  denote the set of all neighbourhoods  $V$  of the zero of  $G$ ,  $U_V = \{U_x : x \in V\}$ , and  $\mathcal{C}(U_V h)$  the closed convex hull of the "partial orbit"  $U_V h = \{U_x h : x \in V\}$ . The subspace  $\mathfrak{H}_0$  of elements averaging to  $0 \in \mathfrak{H}$  is the set of all  $h \in \mathfrak{H}$  for which

$$0 \in \bigcap_{V \in \mathcal{V}} \mathcal{C}(U_V h).$$

K. DELEEuw and I. GLICKSBERG [6, Th. 2.7] proved the following

**Theorem 3.** *Let  $x \rightarrow U_x$  be a locally bounded representation of  $G$  in a Hilbert space  $\mathfrak{H}$ . Then  $\mathfrak{H}_c$  and  $\mathfrak{H}_0$  are closed  $(U_x)$ -invariant subspaces and  $\mathfrak{H}$  is the orthogonal direct sum of  $\mathfrak{H}_c$  and  $\mathfrak{H}_0$ .*

Let now  $f \in P_k(G)$  be a locally bounded function and consider the unitary representation  $x \rightarrow U_x$  of  $G$  in  $\Pi_k(f)$ . By (vi) this representation is locally bounded with respect to the positive definite scalar product (4). (Note that local boundedness of  $x \rightarrow U_x$  does not depend on the special decomposition (3).) It follows from the definition of  $\mathfrak{H}_c$  and from (iv) that every  $h \in \mathfrak{H}_c$  is a continuous function. When  $h \in \mathfrak{H}_0$  then  $h$  has the following property: for  $\varepsilon > 0$  and any  $V \in \mathcal{V}$  for which  $\sup_{x \in V} \|U_x\| < \infty$  there exist  $x_1, \dots, x_n \in V$  and positive numbers  $p_1, \dots, p_n$  summing to 1 such that

$$(7) \quad \left| \sum_{i=1}^n p_i h(x - x_i) \right| < \varepsilon \quad \text{for all } x \in V.$$

Indeed, by the definition of  $\mathfrak{S}_0$  there are  $x_1, \dots, x_n \in V$  and positive numbers  $p_1, \dots, p_n$  summing to 1 such that

$$\left\| \sum_{i=1}^n p_i U_{x_i} h \right\| < \varepsilon / \sup_{x \in V} \|U_x f\|.$$

By (iv) we have

$$\begin{aligned} \left| \sum_{i=1}^n p_i h(x - x_i) \right| &= \left| \left( \sum_{i=1}^n p_i U_{x_i} h \right)(x) \right| = \left| \left( \sum_{i=1}^n p_i U_{x_i} h \right), U_x f \right| \leq \\ &\leq \left\| \sum_{i=1}^n p_i U_{x_i} h \right\| \|U_x f\| < \varepsilon \quad \text{for } x \in V. \end{aligned}$$

(2.3) Let  $G^d$  be the discrete version of  $G$ . The character group of  $G^d$  is denoted by  $\Gamma^d$ . We introduce the notation  $\Gamma_u^d$  for the set of unbounded characters of  $G^d$ ; i.e., the set of complex-valued unbounded functions  $\gamma$  on  $G^d$  for which  $\gamma(0)=1$  and  $\gamma(x+y)=\gamma(x)\gamma(y)$  hold. Let

$$\Gamma'^d = \Gamma^d \cup \Gamma_u^d.$$

In the proof of Theorem 1 we shall need the following result which is the discrete version of Folgerung 11.7 in [10] (see also Theorem 3.1 in [8]).

**Theorem 4.** *For every  $f \in P_k(G)$  there exist positive integers  $k_i$ , functions  $f_i \in P_{k_i}(G)$  and  $\gamma_i \in \Gamma'^d$  ( $i=1, \dots, n$ ) with the following properties:*

- (a)  $f = f_1 + \dots + f_n$ ;
- (b)  $k = k_1 + \dots + k_n$ ;
- (c)  $f_i \in \Pi_{k_i}(f)$  ( $i=1, \dots, n$ );
- (d) *the only common nonpositive eigenvector of the translation operators  $U_x$  in  $\Pi_{k_i}(f_i)$  are  $\gamma_i$  and  $\overline{\gamma_i^{-1}}$ .<sup>a)</sup>*

When  $f$  is locally bounded then by (c) and (v) in Theorem 2 the functions  $f_i$  are locally bounded as well.

### 3. Proof of Theorem 1 and Corollary 1

(3.1) Let  $f \in P_k(G)$  be a locally bounded function and consider the locally bounded unitary representation  $x \rightarrow U_x$  of  $G$  in  $\Pi_k(f)$ . By Theorem 4 we can restrict ourselves to the case where the only common nonpositive eigenvectors of the operators  $U_x$  are  $\gamma, \overline{\gamma^{-1}} \in \Gamma'^d$ . Since  $\frac{|\gamma|}{\gamma}$  is a bounded character of  $G$ , the (locally bounded)

<sup>a)</sup> Note that  $\gamma_i = \overline{\gamma_i^{-1}}$  if and only if  $\gamma_i \in \Gamma^d$ .

function  $f' = \frac{|\gamma|}{\gamma} f$  has  $k$  negative squares. Moreover, the only common nonpositive eigenvectors of the translation operators  $U_x$  in  $\Pi_k(f')$  are  $|\gamma|$  and  $|\gamma|^{-1}$  (see for this (11.5)(a) and (3.2)(c) in [10]). Thus, the proof of Theorem 1 will be complete if we verify the following.

**Proposition 1.** *Let  $f \in P_k(G)$  be a locally bounded function. If the only common nonpositive eigenvectors of the operators  $U_x$  in  $\Pi_k(f)$  are  $\gamma$  and  $\gamma^{-1}$ , and if they are positive then*

$$f = f_c + p,$$

where  $f_c \in P_k^c(G)$  and  $p \in P_0(G)$ .

**Proof.** We consider the  $\pi_k$ -space  $\Pi_k(f)$  as a Hilbert space with the scalar product  $[\cdot, \cdot]$  in (4). By Theorem 3  $\Pi_k(f)$  is the  $[\cdot, \cdot]$ -orthogonal direct sum of the closed  $(U_x)$ -invariant subspaces  $X_c$  and  $X_0$ . Considering  $X_0$  as subspace of the  $\pi_k$ -space  $\Pi_k(f)$  there are three possibilities:

- (i)  $X_0$  is a  $\pi_l$ -space ( $l \geq 1$ );
- (ii)  $X_0$  is degenerate;
- (iii)  $X_0$  is a Hilbert space.

In the first case the commuting unitary operators  $U_x$  have a common non-positive eigenvector in  $X_0$  [7] which by our assumption must be  $\gamma$  or  $\gamma^{-1}$ . In the second case the isotropic part  $N$  of  $X_0$  is  $(U_x)$ -invariant and finite dimensional. Hence the commuting operators  $U_x$  have a common eigenvector in  $N$  which must be again  $\gamma$  or  $\gamma^{-1}$ . Thus, in both cases we have  $\gamma \in X_0$  or  $\gamma^{-1} \in X_0$ . Suppose for example  $\gamma \in X_0$  and let  $V$  be an open symmetric neighbourhood of zero such that  $\gamma$  is bounded on  $V$ :

$$\gamma(x) < K \quad (x \in V).$$

As  $\gamma(-x)\gamma(x) = 1$ , we get

$$1/K < \gamma(x) < K \quad (x \in V).$$

Consequently, for any  $x, x_i \in V$  ( $i = 1, \dots, n$ ) and arbitrary positive numbers  $p_1, \dots, p_n$  summing to 1 we have:

$$\sum_{i=1}^n \gamma(x - x_i) p_i = \gamma(x) \sum_{i=1}^n \gamma(-x_i) p_i > \gamma(x)/K > 1/K^2,$$

in contradiction to (7). Hence (i) and (ii) are not possible and so  $X_0$  is a Hilbert space.

Let  $X_c'$  denote the  $(\cdot, \cdot)$ -orthogonal complement of  $X_0$ . Then  $X_c'$  is a closed  $(U_x)$ -invariant  $\pi_k$ -space and

$$(8) \quad \Pi_k(f) = X_c' \oplus X_0.$$

(the symbol  $\oplus$  denotes  $(, )$ -orthogonal direct sum). On the other hand,  $X'_c$  is a Hilbert space with respect to the scalar product  $[, ]$ , and the restriction  $x \rightarrow U'_x$  of  $x \rightarrow U_x$  to  $X'_c$  is a locally bounded representation of  $G$  in  $X'_c$ . If  $h' \in X'_c$  averages to zero with respect to the representation  $x \rightarrow U'_x$  then it averages to zero with respect to  $x \rightarrow U_x$  as well. Since  $X_0$  consists of all  $h \in \Pi_k(f)$  averaging to zero we necessarily have  $h' = 0$ . Applying Theorem 3 to the representation  $x \rightarrow U'_x$  in  $X'_c$  we see that every  $h \in X'_c$  is continuously translating. Hence the function  $x \rightarrow [g, U_x h]$  is continuous, from which the continuity of  $x \rightarrow (g, U_x h)$  follows ( $g, h \in X'_c$ ).

Let now  $f = f_c + p$  ( $f_c \in X'_c$ ,  $p \in X_0$ ) be the decomposition of  $f$  corresponding to (8). We have

$$f(x) = (f, U_x f) = (f_c + p, U_x f_c + U_x p) = (f_c, U_x f_c) + (p, U_x p).$$

Moreover,

$$(9) \quad f_c(x) = (f_c, U_x f) = (f_c, U_x f_c) + (f_c, U_x p) = (f_c, U_x f_c)$$

and analogously

$$p(x) = (p, U_x p).$$

It follows from (9) that  $f_c$  is continuous. The function  $f$  is a cyclic vector for  $x \rightarrow U_x$  and so  $f_c$  is cyclic for  $x \rightarrow U'_x$ . Thus,  $f_c$  has  $k$  negative squares [10, Satz 11.1]. Since  $X_0$  is a Hilbert space (with respect to  $(, )$ ) the function  $p$  is positive definite, completing the proof of Proposition 1.

(3.2) Let now  $f$  be a conditionally positive definite function which is bounded on a set  $A \subset G$  of positive Haar measure. By a well known property of the Haar measure,  $A - A$  contains an open set  $V \neq \emptyset$ . It follows from the inequality

$$(10) \quad \sqrt{|f(x-y)|} \leq \sqrt{|f(x)|} + \sqrt{|f(y)|}, \quad x, y \in G,$$

that  $f$  is bounded on  $V$ . Moreover, (10) implies that  $f$  is bounded on  $y+V$  for every  $y \in G$ . Since compact sets can be covered by finitely many sets  $V_i$  of the form  $V_i = y_i + V$ ,  $f$  is locally bounded.

Let us consider the (locally bounded) unitary representation  $x \rightarrow U_x$  in  $\Pi_1(f)$  (we neglect the trivial case where  $f$  is positive definite). By [10, (11.5)] the only common nonpositive eigenvector of the operators  $U_x$  is  $\gamma = 1$ . Therefore, we can apply Proposition 1 to obtain the decomposition

$$f = f_c + p,$$

where  $f_c \in P_1^c(G)$  and  $p \in P_0(G)$ . All what remains to prove is that  $f_c$  is conditionally positive definite. Since  $T(f)$  is dense in  $\Pi_1(f)$ , there is a sequence  $w_n$  of finitely supported complex measures on  $G$  such that

$$f_c = \lim_{n \rightarrow \infty} f * w_n$$

(the symbol  $*$  denotes convolution). By (9) and (iv) in Theorem 2 we have

$$\begin{aligned} f_c(x) &= (f_c, U_x f_c) = \lim_{n \rightarrow \infty} (f * w_n, U_x (f * w_n)) = \\ &= \lim_{n \rightarrow \infty} (f * w_n * \tilde{w}_n, U_x f) = \lim_{n \rightarrow \infty} f * w_n * \tilde{w}_n(x), \end{aligned}$$

where  $\tilde{w}_n$  is defined by  $\tilde{w}_n(\{-x\}) = \overline{w_n(\{x\})}$ . It follows immediately from the definition of conditional positive definiteness that the functions  $f * w_n * \tilde{w}_n$  and so  $f_c$  are conditionally positive definite. The proof of Corollary 1 is complete.

**Remark 1.** As we have seen, boundedness on a set of positive Haar measure of a conditionally positive definite function implies local boundedness. It would be interesting to know whether a similar assertion holds for functions with a finite number of negative squares.

**Remark 2.** Corollary 1 probably holds even for noncommutative groups while the problem of characterization of locally bounded functions  $f \in P_k(G)$  seems to be very difficult if  $G$  is not commutative.

**Remark 3.** Let  $G$  be an arbitrary commutative topological group. We say that a complex-valued function  $g$  on  $G$  is locally bounded if there exists an open set  $V \subset G$  such that  $g$  is bounded on  $y+V$  for every  $y \in G$ . Let now  $f \in P_k(G)$  be a locally bounded function and consider the representation  $x \rightarrow U_x$  in  $\Pi_k(f)$ . It follows by the same arguments as in the proof of property (vi) that the function  $x \rightarrow \|U_x\|$  is locally bounded. Since Theorem 3 holds for an arbitrary commutative topological group  $G$  [6, Th. 2.7] we can repeat the proof of Theorem 1 to get the decomposition (2) of  $f$ .

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