

## Some problems and results on the local behaviour of arithmetical functions

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1. Let  $s(n) = \sum (n-p)^{-1}$  where  $p$  runs over the set of primes less than  $n$ . ERDŐS and DE BRUIJN (see [1]) proved that

$$(1.1)–(1.2) \quad c_1 < \frac{1}{N} \sum_{n < N} s^2(n) < c_2, \quad c_1 < \frac{1}{\pi(N)} \sum_{p < N} s^j(p) < c_2 \quad (j = 1, 2)$$

hold with suitable positive constants  $c_1, c_2 > 0$ .

In [2] it was proved that

$$(1.3)–(1.4) \quad \sum_{n < N} s^k(n) = O(N), \quad \sum_{p < N} s^k(p) = O(\pi(N))$$

hold for every fixed  $k \in \mathbb{N}$ . The sums

$$E(N) := \sum_{n \leq N} (s(n) - 1)^2, \quad F(N) = \sum_{p < N} (s(p) - 1)^2$$

have been considered in [3], [4], [5] under the unproved density hypothesis assumed in the form

$$(1.5) \quad N(\sigma, T) < cT^{2(1-\sigma)}(\log T)^2$$

where  $N(\sigma, T)$  denotes the number of zeros  $\rho$  of  $\zeta(s)$  in the rectangle  $\operatorname{Re} \rho \geq \sigma$ ,  $|\operatorname{Im} \rho| \leq T$ .

In [5] we deduced from (1.5) that

$$(1.6)–(1.7) \quad E(N) \ll \frac{N}{\log N} (\log \log N)^2, \quad F(N) \ll \frac{N(\log \log N)}{(\log N)^{3/2}}$$

The proof was based on the inequality

$$\int_{-\Delta}^{\Delta} |T(z)|^2 dy \ll N(\log N)^{-1}, \quad z = \frac{1}{N} + iy,$$

$$T(z) = \sum_{\rho} z^{-\rho} \Gamma(\rho), \quad \Delta = (\log N)^{-7}$$

due to YU. V. LINNIK [6], [7]. We can prove that  $s(n)=1+o(1)$  holds for almost all  $n$  under the assumption

$$(1.8) \quad \sup_{X^\varepsilon \leq h < X} \frac{1}{Xh^2} \int_X^{2X} \left| \sum_{y \leq m \leq y+h} \Lambda(m) - h \right|^2 dy =: \varrho_{X,\varepsilon} \rightarrow 0 \quad (X \rightarrow \infty)$$

for every  $\varepsilon > 0$ .

It is not known whether (1.8) is true, but it can be deduced from the density-hypothesis

$$(1.9) \quad N(\sigma, T) \ll_\varepsilon T^{2(1-\sigma)+\varepsilon}, \quad 1/2 \leq \sigma \leq T.$$

Let  $a(m)$ ,  $m \in \mathbb{N}$ , be any sequence of complex numbers,

$$A(y) := \sum_{m \leq y} a(m), \quad B(y) = \sum_{m \leq y} |a(m)|,$$

$$\alpha(X, h) = X^{-1} \sum_{y=X}^{2X-1} |A(y+h) - A(y)|^2, \quad \beta(X, h) = X^{-1} \sum_{y=X}^{2X-1} |B(y+h) - B(y)|^2.$$

Assume that

$$(1.10)_\varepsilon \quad \sup_{X^\varepsilon \leq h \leq X} \frac{\alpha(X, h)}{\beta(X, h)} =: \varrho_{X,\varepsilon} \rightarrow 0 \quad \text{as } X \rightarrow \infty$$

holds for all  $\varepsilon > 0$ . Let

$$(1.11) \quad S_H(n) := \sum_{1 \leq m \leq n-H} \frac{a(m)}{n-m},$$

$$(1.12) \quad E(X, H) := \sum_{X \leq n < 2X} |S_H(n)|^2.$$

We should like to give an upper-estimate for the sum (1.12) in terms of  $\beta(x, \cdot)$  under assumption (1.10) $_\varepsilon$ . It is clear that

$$(1.13) \quad |S_{X/4}(n)| \leq (4/3X)B(2X).$$

Let  $1/2 > \delta > 0$  be fixed,  $H = H_0 = X^\delta$ . Let the sequence  $H_k$  be defined as follows:

$$H_k = (1+\delta)^k H_0, \quad k = 1, 2, \dots, k_0,$$

where  $H_{k_0} < X/4 < (1+\delta)H_{k_0}$ ,  $H_{k_0+1} = X/4$ , let

$$(1.14) \quad S^{(k)}(n) = \sum_{H_k \leq n-m < H_{k+1}} \frac{a(m)}{n-m} \quad (k \leq k_0).$$

Then

$$S_{H_0}(n) = \sum_{k=0}^{k_0} S^{(k)}(n) + S_{X/4}(n).$$

By the Cauchy—Schwarz inequality we get that

$$(1.15) \quad |S_{H_0}(n)|^2 \leq (k_0 + 2) \left( \sum_{k=0}^{k_0} |S^{(k)}(n)|^2 + |S_{X/4}(n)|^2 \right).$$

Furthermore, we have

$$|S^{(k)}(n)| \leq \left( \frac{1}{H_k} - \frac{1}{H_{k+1}} \right) (B(n-H_k) - B(n-H_{k+1})) + \frac{1}{H_k} |(A(n-H_k) - A(n-H_{k+1}))|,$$

and hence we get that

$$(1.16) \quad |S^{(k)}(n)|^2 \leq \frac{2\delta^2}{H_{k+1}^2} |B(n-H_k) - B(n-H_{k+1})|^2 + \frac{2}{H_k^2} |A(n-H_k) - A(n-H_{k+1})|^2.$$

Summing for  $n \in [X, 2X]$ , from (1.13), (1.15), (1.16) we deduce that

$$(1.17) \quad E(X, H_0) \leq (k_0 + 2) \cdot 2\delta^2 \sum_{k=0}^{k_0} \frac{1}{H_{k+1}^2} \sum_{X \leq n < 2X} |B(n-H_k) - B(n-H_{k+1})|^2 + 2(k_0 + 2) \sum_{k=0}^{k_0} \frac{1}{H_k^2} \sum_{X \leq n < 2X} |A(n-H_k) - A(n-H_{k+1})|^2 + \frac{16(k_0 + 2)}{9X} B^2(2X).$$

Let us assume now that  $X$  is large enough. Then

$$k_0 \leq \frac{\log X}{\log(1+\delta)} < \frac{2}{\delta} \log X.$$

Furthermore  $X^\delta \leq H_k < X/3$ ,  $H_{k+1} - H_k = \delta H_k \geq \delta X^\delta$ . So by (1.10) $_{\delta/2}$  we get for every large  $X$ ,

$$(1.18) \quad E(X, H_0) \leq \left[ 4\delta + \frac{4}{\delta} \varrho_{X/2, \delta/2} \right] (\log X) \cdot T + \frac{64}{9\delta} \frac{\log X}{X} B^2(2X),$$

where

$$(1.19) \quad T = X \sum_{k=0}^{k_0} H_k^{-2} (\beta(X - H_{k+1}), H_{k+1} - H_k) + \beta(2(X - H_{k+1}), H_{k+1} - H_k).$$

Assume that (1.9) is satisfied. A theorem due to K. RAMACHANDRA [8] gives that

$$(1.20) \quad \sum_{y=X}^{2X-1} \left[ \sum_{y \leq m < y+h} A(m) - h \right]^2 \ll h^2 e^{-(\log X)^{1/6}} + X^\epsilon,$$

$$(1.21) \quad \sum_{y=X}^{2X-1} \left[ \sum_{y \leq m < y+h} \lambda(m) \right]^2 \ll h^2 e^{-(\log X)^{1/6}} + X^\epsilon,$$

$$\sum_{y=X}^{2X-1} \left[ \sum_{y \leq m < y+h} \mu(m) \right]^2 \ll h^2 e^{-(\log X)^{1/6}} + X^\epsilon$$

hold for all  $\varepsilon > 0$ , uniformly as  $1 \leq h \leq X$ . The constant implied by  $\ll$ , may depend on  $\varepsilon$ . Let  $a(m) = \Lambda(m) - 1$ , and consider the function

$$S_A(n) := \sum_{m < n} \frac{a(m)}{n-m} = U(n) + S_H(n),$$

where

$$U(n) = \sum_{1 \leq n-m < H} \frac{a(m)}{n-m},$$

and  $S_H(n)$  is defined by (1.11). Since in our case  $B(y+h) - B(y) \geq h$ , from (1.20) we get immediately that (1.10)<sub>e</sub> holds. Furthermore  $B(X) \ll X$ , and from standard sieve result we get that

$$B(y+h) - B(y) \ll h + h \log y/h.$$

So by (1.18), (1.19) we get easily that

$$(1.22) \quad E(X, H)/X(\log X)^2 \rightarrow 0, \quad H = X^\delta.$$

Let us consider the sum

$$F(X, H) := \sum_{X \leq n < 2X} U^2(n).$$

Since

$$|U(n)| \leq V(n) + \sum_{1 \leq n-m < H} \frac{1}{n-m}, \quad V(n) = \sum_{1 \leq n-m < H} \frac{\Lambda(m)}{n-m},$$

we have

$$(1.23) \quad F(X, H) \leq 2F_1(X, H) + X(\log H + O(1))^2,$$

$$(1.24) \quad F_1(X, H) = \sum_{X \leq n < 2X} V^2(n).$$

To estimate (1.24) we can use standard sieve result, namely that

$$\sum_{X \leq m < 2X} \Lambda(m) \Lambda(m+k) \ll X \prod_{p|k} \left(1 + \frac{1}{p}\right),$$

squaring out  $V^2(n)$ , we get easily that

$$(1.25) \quad \frac{F_1(X, H)}{X \log^2 X} \leq r(\delta),$$

where  $r(\delta) \rightarrow 0$  as  $\delta \rightarrow 0$ . Hence and from (1.22) we get that

$$(1.26) \quad \sum_{X \leq n < 2X} S_A^2(n) = o(X \log^2 X).$$

After some obvious observation, hence we get that

$$(1.27) \quad \sum_{n < x} (s(n) - 1)^2 = o(x), \quad s(n) = \sum_{p < n} \frac{1}{n-p}.$$

Let us consider now

$$S_\lambda(n) := \sum_{m < n} \frac{\lambda(m)}{n-m}.$$

Let

$$R(n) = \sum_{n-m < H} \frac{\lambda(m)}{n-m}, \quad H = X^\delta.$$

Since  $R(n) \leq \log H + O(1)$ , from (1.21), (1.18), (1.19), we get that

$$(1.28) \quad \sum_{n \leq X} S_\lambda^2(n) = o(X \log^2 X).$$

Similarly, we can deduce from (1.9) that

$$(1.29) \quad \sum_{n \leq X} S_\mu^2(n) = o(X \log^2 X), \quad S_\mu(n) := \sum_{m < n} \frac{\mu(m)}{n-m}.$$

So we have proved the following

**Theorem 1.** *Under the unproved hypothesis (1.9) the inequalities (1.27), (1.28), (1.29) hold.*

From the unproved hypothesis (1.9) and the Main Theorem of RAMACHANDRA [8], from (1.18), (1.19) we can deduce nontrivial estimate for some other functions as well. We shall state without proof

**Theorem 2.** *Assume that (1.9) holds.*

*Let  $P_k$  run over the integers having exactly  $k$  prime factors. Then for each fixed  $k$ ,*

$$\sum_{n \leq X} \left( S_k(n) - \frac{(\log \log n)^{k-1}}{(k-1)!} \right)^2 = o(X (\log \log X)^{2(k-1)})$$

where

$$S_k(n) := \sum_{P_k < n} (n - P_k)^{-1}.$$

Let  $z$  be any nonpositive complex number,  $|z| = R$ ,

$$S_z(n) := \sum_{m < n} \frac{z^{\omega(m)}}{n-m}.$$

Then

$$\sum_{n \leq X} |S_z(n)|^2 = o(X (\log X)^{2R}) \quad \text{as } X \rightarrow \infty.$$

Let  $0 \leq l < k$ , let  $\mathcal{B}_{k,l}$  be the set of integers  $n$  satisfying the condition  $\omega(n) \equiv l \pmod{k}$ . Let

$$S_{k,l}(n) = \sum_{\substack{m < n \\ m \in \mathcal{B}_{k,l}}} (n-m)^{-1}.$$

Then

$$\sum_{n \leq X} (S_{k,l_1}(n) - S_{k,l_2}(n))^2 = o(X(\log X)^2).$$

2. Let  $e(m) = 1$  if  $m$  is a sum of two squares, and let  $e(m) = 0$  otherwise. Let us consider the sum

$$(2.1) \quad f(n) := \sum_{m < n} \frac{e(m)}{n-m}.$$

A classical result of Landau gives that

$$(2.2) \quad \sum_{m < x} e(m) \sim cx \sqrt{\log x}$$

with a suitable constant. Hence one can deduce that

$$\sum_{n \leq x} f(n) = \sum_{m < x} e(m) \log(x-m) \sim cx \sqrt{\log x}.$$

The Dirichlet-series

$$F(s) = \sum_{m=1}^{\infty} \frac{e(m)}{m^s}$$

can be written as

$$F(s) = \frac{1}{1-1/2^s} \prod_{p \equiv 1 \pmod{4}} \frac{1}{1-1/p^s} \prod_{p \equiv -1 \pmod{4}} \frac{1}{1-1/p^{2s}} = \sqrt{\xi(s)L(s,\chi)} v(s),$$

where  $L(s, \chi)$  is the Dirichlet  $L$ -function corresponding to the nonprincipal character mod 4,  $v(s)$  is a function which has a Dirichlet-series expansion

$$v(s) = \sum_n a_n/n^s,$$

that is absolute convergent in the halfplane  $\operatorname{Re} s > 1/2$ .

Let  $N(\sigma, T, \chi)$  denote the number of roots  $\rho$  of  $L(s, \chi)$  in the domain  $\operatorname{Re} \rho \geq \sigma$ ,  $|\operatorname{Im} \rho| \leq T$ .

**Theorem 3.** Assume that (1.9) holds, and that

$$(2.3) \quad N(\sigma, T, \chi) \ll_\varepsilon T^{2(1-\sigma)+\varepsilon}$$

holds for all  $\varepsilon > 0$ . Then

$$(2.4) \quad \sum_{n \leq x} (f(n) - c \sqrt{\log n})^2 = o(x \log x) \quad (x \rightarrow \infty),$$

with the  $c$  occurring in (2.2).

**Proof.** The Main Theorem [8] under the conditions (1.9), (2.3) guarantee the fulfilment of the inequality (1.10)<sub>e</sub> with  $a(m) = e(m) - C(\log x)^{-1/2}$ . By using the inequalities (1.18), (1.19) and some standard sieve results, namely that

$$\sum_{x \leq m < 2x} e(m)e(m+k) \ll \frac{x}{\log x} \prod_{p|k} \left(1 + \frac{2}{p}\right)$$

(see Halberstam—Richert [10]), we get (2.4) easily.

As an example of further conditional results that can be deduced similarly, we mention without proof the next

**Theorem 4.** Let  $k \in \mathbb{N}$  be fixed and assume that

$$N(\sigma, T, \chi) \ll_{\varepsilon} T^{2(1-\sigma)+\varepsilon} \quad (\sigma \cong 1/2)$$

holds for all character  $\chi \pmod{k}$  for all  $\varepsilon > 0$ . Let  $l_1 < l_2 < \dots < l_t$  be distinct residues mod  $k$ ,  $(l_j, k) = 1$  ( $j = 1, \dots, t$ ). Let  $\mathcal{E}$  denote the set of the integers  $n$  the prime factors  $p$  of which belong to the residue classes  $\equiv l_j \pmod{k}$  ( $j = 1, \dots, t$ ). Let  $e(n) = 1$  if  $n \in \mathcal{E}$ , and  $e(n) = 0$  if  $n \notin \mathcal{E}$ . Let

$$f(n) := \sum_{m < n} \frac{e(m)}{n-m}.$$

Then

$$\sum_{n \leq x} (f(n) - c(\log n)^s)^2 = o(x(\log x)^{2s}), \quad s := t/\varphi(k)$$

with a suitable constant  $c$ .

3. One can prove similar theorems for the sums

$$g(n) := \sum_{1 \leq h < n} \frac{a(n+h)}{h}.$$

For example, from (1.9) we can deduce that

$$\sum_{n < x} \left( \sum_{n < p < 2n} \frac{1}{p-n} - 1 \right)^2 = o(x),$$

and so we have

**Theorem 5.** If (1.9) is true, then

$$\sum_{n < x} t^2(n) = o(x), \quad t(n) = \sum_{n < p < 2n} (p-n)^{-1} - \sum_{p < n} (n-p)^{-1}.$$

Let us consider now the function

$$S(n) = \sum_{m \leq n-2} \frac{\Lambda(m)}{(n-m) \log(n-m)}.$$

One can get easily that

$$\sum_{n \leq x} S(n) = x \log \log x + O(x).$$

Assuming that with some suitable  $\varrho_x$ ,  $\varepsilon_x > 0$ ,  $\varrho_x \rightarrow 0$ ,  $\varepsilon_x \rightarrow 0$ ,

$$(3.1) \quad \sup_{Z_x \leq h \leq X} \frac{1}{h^2 X} \int_X^{2X} \left[ \sum_{y < m \leq y+h} \Lambda(m) - h \right]^2 dy \leq \varrho_x, \quad \log \log Z_x = \varepsilon_x \log \log X$$

holds, we can get that

$$(3.2) \quad \sum_{n < x} (S(n) - \log \log n)^2 = o(x(\log \log x)^2).$$

The inequality (3.1) holds if we assume somewhat more than (1.9), namely that

$$(3.3) \quad N(\sigma, T) \leq cT^{2(1-\sigma)} \log^2 T.$$

In [9] we proved that under the condition (3.3) the inequality (3.1) holds with  $Z_x = (\log x)^{7.5} h(x)$ ,  $h(x) \rightarrow \infty$ . So we have

Theorem 6. *If (3.3) is true then (3.2) holds.*

At present we are unable to prove that

$$\sum_{n < x} \left( \sum_{m \leq n-2} \frac{\lambda(n)}{(n-m) \log(n-m)} \right)^2 = o(x(\log \log x)^2)$$

even under the Riemann conjecture.

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