

## Normalcy is a superfluous condition in the definition of $G$ -finiteness\*

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*Dedicated to Professor Károly Tandori on his 60th birthday*

Let  $M$  be a  $W^*$ -algebra and let  $G$  be a group of  $*$ -automorphisms of  $M$ . In [2] we have proved that if there exists a faithful  $G$ -invariant normal state  $\varphi$  on  $M$ , then for every  $t \in M$ , the  $w^*$ -closure of the convex hull of the orbit of  $t$  under  $G$  contains a unique  $G$ -invariant element  $t^G$  and the mapping  $t \rightarrow t^G$  is normal. (In fact, we have proved this result under the more general assumption that the family of  $G$ -invariant normal states on  $M$  is faithful, i.e.,  $M$  is  $G$ -finite [2]. If  $M$  is  $\sigma$ -finite, for example, if  $M$  is an operator algebra in a separable Hilbert space, then this assumption obviously implies the existence of a faithful  $G$ -invariant normal state on  $M$ .) In the present paper we shall prove that the assumption of normalcy of  $\varphi$  is superfluous in this theorem (cf. Theorem). Under additional hypotheses, we shall also prove that  $\varphi$  itself is a normal state (cf. Corollary 1). Furthermore, we shall prove some converse results (cf. Corollaries 2 and 3).

For the general theory of  $W^*$ -algebras, we refer the reader to [1] and [3].

At the end of the paper we shall make two comments on our paper [4].

**Theorem.** *Let  $M$  be a  $W^*$ -algebra and let  $G$  be a group of  $*$ -automorphisms of  $M$ . If there exists a faithful  $G$ -invariant state  $\varphi$  on  $M$ , then there exists a faithful  $G$ -invariant normal state  $\psi$  on  $M$ , i.e.,  $M$  is  $G$ -finite.*

**Proof\*\*.** Let  $\varphi = \varphi_n + \varphi_s$  be the canonical decomposition of  $\varphi$  into normal part  $\varphi_n$  and singular part  $\varphi_s$  [3]. Consider an element  $g \in G$ . Then  $\varphi_n(g \cdot)$  is normal due to

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\*\* The author's first proof of this theorem was much more complicated. This proof originated from a comment by R. R. Smith at a seminar at Texas A&M University, College Station.

the continuity properties of  $g$ . On the other hand,  $\varphi_s(g \cdot)$  is singular, since a positive linear form  $\mu$  on  $M$  is singular if and only if every nonzero projection  $p \in M$  majorizes a nonzero projection  $q \in M$  such that  $\mu(q) = 0$  [3]. Since  $\varphi$  is  $g$ -invariant and the decomposition into normal and singular parts is unique, we obtain that  $\varphi_n$  is  $g$ -invariant (for all  $g \in G$ ). Furthermore,  $\varphi_n$  is faithful. For let  $p$  be a nonzero projection in  $M$ . Since  $\varphi_s$  is singular, there exists a nonzero subprojection  $q$  of  $p$  in  $M$ , such that  $\varphi_s(q) = 0$ . Then  $\varphi_n(p) \cong \varphi_n(q) = \varphi(q) - \varphi_s(q) = \varphi(q) > 0$  because  $\varphi$  was assumed to be faithful. Summing up, we can choose  $\psi = \varphi_n$ .

**Corollary 1.** *Let  $M$  be a  $W^*$ -algebra and  $G$  a group of  $*$ -automorphisms of  $M$ . Suppose that for every  $t \in M$ , the norm-closed convex hull of the orbit  $Gt$  of  $t$  under  $G$  contains at least one  $G$ -invariant element. If  $\varphi$  is a  $G$ -invariant faithful state on  $M$  and the restriction of  $\varphi$  to the fixed-point algebra  $M^G$  is normal, then  $\varphi$  is normal.*

**Proof.** According to Theorem,  $M$  is  $G$ -finite [2]. Consequently, the  $G$ -invariant element, say  $t^G$ , in the norm-closed convex hull of  $Gt$  is unique [2]. Moreover, the mapping  $t \rightarrow t^G: M \rightarrow M^G$  is normal [2]. Since  $\varphi$  is  $G$ -invariant and norm-continuous,  $\varphi(t) = \varphi(t^G)$  ( $t \in M$ ). Therefore, the mapping  $t \rightarrow \varphi(t): M \rightarrow \mathbb{C}$  is the composite mapping of  $t \rightarrow t^G: M \rightarrow M^G$  and  $t \rightarrow \varphi(t): M^G \rightarrow \mathbb{C}$ . Since both of these mappings are normal,  $\varphi$  is normal.

**Corollary 2.** *Let  $M$  be a  $W^*$ -algebra and  $G$  a group of  $*$ -automorphisms of  $M$ . Suppose that for every  $t \in M$ , the  $w^*$ -closed (norm-closed) convex hull of the orbit  $Gt$  of  $t$  under  $G$  contains exactly one  $G$ -invariant element, say  $t^G$ . If  $t^G \neq 0$  for  $t \neq 0$ ,  $t \neq 0$ , then  $M$  is  $G$ -finite.*

**Proof.** The mapping  $t \rightarrow t^G: M \rightarrow M^G$  is linear. In the case of the norm-closed convex hull, this can be proved as follows. The homogeneity of the mapping  $t \rightarrow t^G$  is obvious. To prove its linearity, let  $t, s \in M$  and let  $\varepsilon > 0$  be a given number. There exists a  $v_0$  in the convex hull  $\text{conv } G$  of  $G$ , such that  $\|v_0(t) - t^G\| < \varepsilon/2$ . Similarly, there exists  $v_1 \in \text{conv } G$ , such that  $\|v_1 v_0(s) - s^G\| < \varepsilon/2$ . Since every element of  $G$  has norm 1, we have  $\|v_1 v_0(t) - t^G\| < \varepsilon/2$ . Consequently,  $\|v_1 v_0(t+s) - (t^G + s^G)\| \leq \|v_1 v_0(t) - t^G\| + \|v_1 v_0(s) - s^G\| < \varepsilon$ . Since  $\varepsilon > 0$  was arbitrary, this proves that  $(t+s)^G = t^G + s^G$ .

In the case of the  $w^*$ -closed convex hull, the linearity of  $t \rightarrow t^G$  can be proved as follows. The homogeneity of  $t \rightarrow t^G$  is obvious. Let us verify its additivity. Let  $s, t \in M$ . Then there exists a net  $v_n$  in  $\text{conv } G$ , such that  $\lim_n v_n(s) = s^G$ . Since the unit ball of  $M$  is  $w^*$ -compact, there exists a subnet  $v_k$  of  $v_n$ , such that  $t_k = \lim_k v_k(t)$  exists. Then  $\lim_k v_k(s+t) = \lim_k v_k(s) + \lim_k v_k(t) = \lim_n v_n(s) + t_k = s^G + t_k$  belongs to the  $w^*$ -closed convex hull of  $G(s+t)$ . By the definition of  $(t_k)^G$ , there is a net  $w_n$  in  $\text{conv } G$ , such that  $\lim_n w_n(t_k) = (t_k)^G$ . Then  $\lim_n w_n(s^G + t_k) = \lim_n [w_n(s^G) + w_n(t_k)] = \lim_n [s^G +$

$+w_n(t_k)] = s^G + \lim_n w_n(t_k) = s^G + (t_k)^G$ . Consequently,  $(s^G + t_k)^G = s^G + (t_k)^G$ . Since  $s^G + t_k$  belongs to the  $w^*$ -closed convex hull of  $G(s+t)$ , we have  $(s+t)^G = (s^G + t_k)^G$ . Therefore,  $(s+t)^G = s^G + (t_k)^G$ . Similarly, since  $t_k$  belongs to the  $w^*$ -closed convex hull of  $G(t)$ , we have  $t^G = (t_k)^G$ . Summing up, we have obtained that  $(s+t)^G = s^G + (t_k)^G = s^G + t^G$ , which was to be proved.

So far we have proved that  $t \rightarrow t^G: M \rightarrow M^G$  is linear. On the other hand, it is evident that  $[g(t)]^G = t^G$  for every  $g \in G$ ,  $t \in M$  and  $t^G = t$  for  $t \in M^G$ , the  $G$ -fixed-point algebra in  $M$ .

Now let  $\varphi_0$  be a normal state on  $M^G$ . Let  $\varphi(t) = \varphi_0(t^G)$  for  $t \in M$ . Then  $\varphi$  is a  $G$ -invariant state on  $M$ . Let  $p$  be the support of  $\varphi_0$ . Then  $p \in M^G$  and  $(ptp)^G = pt^Gp$ . Consequently,  $\varphi$  is faithful on  $pMp$ , by the hypotheses of the corollary and by the faithfulness of  $\varphi_0$  on  $pM^Gp$ . Since  $\varphi$  is invariant under the restriction of  $G$  to  $pMp$ , Theorem can be applied. We obtain that  $pMp$  is finite with respect to the restriction of  $G$  to  $pMp$ . This implies [2] that  $\varphi$  is a  $G$ -invariant normal state on  $M$  with support  $p$ . Since  $\sup p = 1$  if  $\varphi_0$  runs over all normal states of  $M^G$ , we obtain that  $M$  is  $G$ -finite [2].

**Corollary 3.** *Let  $M$  be a  $W^*$ -algebra and  $G$  a group of  $*$ -automorphisms of  $M$ . If  $\tau: M \rightarrow M^G$  is a  $G$ -invariant faithful positive linear mapping which leaves  $M^G$  elementwise fixed, then  $M$  is  $G$ -finite.*

**Proof.** It is similar to the end of the proof of Corollary 2.

**Remarks.** 1. The proof of one half of Corollary 2 does not require Theorem:

*Let  $M$  be a  $W^*$ -algebra and  $G$  a group of  $*$ -automorphisms of  $M$ . Suppose that for every  $t \in M$ , the norm-closed convex hull of the orbit  $Gt$  of  $t$  under  $G$  contains exactly one  $G$ -invariant element, say  $t^G$ . If  $t^G \neq 0$  for  $t \geq 0$ ,  $t \neq 0$ , then  $M$  is  $G$ -finite (and  $t \rightarrow t^G$  is a normal positive linear mapping of  $M$  onto  $M^G$ ).*

**Proof.** As in the proof of Corollary 2, we first prove that  $t \rightarrow t^G$  is a linear mapping. This done, let  $\varphi_0$  be a normal positive linear form on  $M^G$  and let  $p$  denote the support of  $\varphi_0$ . Then  $(ptp)^G = pt^Gp$  and  $t \rightarrow \varphi_0(t^G)$  is a faithful positive linear form  $\varphi$  on  $pMp$ , invariant under the restriction of  $G$  to  $pMp$ . Let  $e$  be a nonzero projection in  $pMp$ , such that  $\varphi(e \cdot e)$  is normal [1]. Then  $\varphi(\cdot e) \in M^G$ . Let  $v_n \in \text{conv } G$  be such that  $\|v_n(e) - e^G\| \rightarrow 0$  as  $n \rightarrow \infty$ . We have  $\varphi(\cdot v_n(e)) \in M^G$  by the  $G$ -invariance of  $\varphi$  and by the fact that  $\varphi(\cdot e) \in M^G$ . Then the norm limit of  $\varphi(\cdot v_n(e))$  in  $M^G$  is  $\varphi(\cdot e^G)$ , since  $\varphi \in M^*$ . Therefore,  $\varphi(\cdot e^G) \in M^G$ . Consequently,  $t \rightarrow \varphi(e^G t e^G) = \varphi_0((e^G t e^G)^G) = \varphi_0(e^G t^G e^G)$  is a normal positive linear form on  $M$ . Since  $e^G \leq p$ ,  $e^G \in M^G$ , we obtain that  $t \rightarrow e^G t^G e^G$  is normal on  $M$ . If  $\varphi_0$  runs over all normal forms on  $M^G$ , we obtain that every nonzero projection  $p \in M^G$  majorizes a

nonzero projection  $e \in M$  (it is  $e^G \in M^G$ ) such that  $t \rightarrow te^G e$  is normal. This implies that  $t \rightarrow t^G$  is normal on  $M$  and thus  $M$  is  $G$ -finite [2].

2. The assumption of Theorem that  $\varphi$  is faithful is essential. Indeed, let  $G$  be an abstract infinite Abelian group. Then  $G$  acts naturally on  $M = l^\infty(G)$  as a group of  $*$ -automorphisms. A  $G$ -invariant state on  $M$  is nothing else but an invariant mean on  $G$ . We know that there are infinitely many invariant means on  $G$ , none of which are normal (actually, they are singular).

Finally, the author would like to make two comments on his paper [4]. The first comment is that in Proposition 2 and in its corollary the assumption that  $M$  is  $\sigma$ -finite should be replaced by the assumption that the predual of  $M$  is separable.

The second comment is that all the results of the above mentioned paper remain valid if  $G$  is only assumed to be an amenable group (instead of an Abelian one). Indeed, if  $U_n \subset G$  is a summing sequence [5], then it is easy to prove that under the hypotheses of Lemma 1, the sequence  $\frac{1}{|U_n|} \sum_{g \in U_n} g(t)$   $w^*$ -converges to  $t^G$  for every  $t \in B^*$ . The remaining results of the paper can be extended to amenable groups  $G$  without altering the proofs.

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